

FRACTALS 2014

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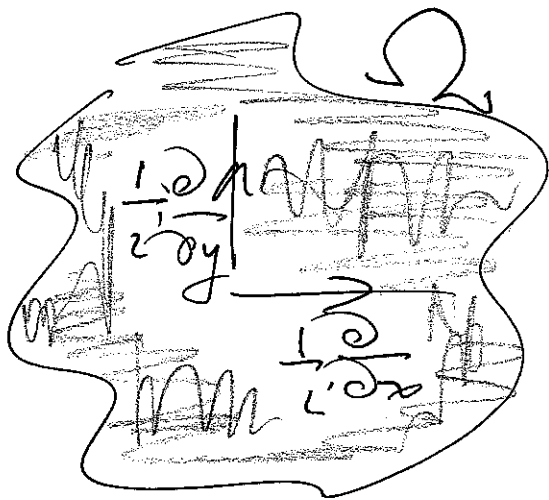
Examples

$\mathbb{R}^2 / \mathbb{Z}^2 = \mathbb{T}^2$   $d > 1$

$\mathbb{R}^2 /$   
lattice

$C_c^\infty(\Omega)$

$L^2(\Omega)$



Conjecture  
Theorems

translations set  
 $\exists ?$  discrete sets  
st  $\Omega + D = \mathbb{R}^2$

Induced representation  $d=1$  {  $\mathbb{Z} \ni n \mapsto e^{inx} = \chi_n(x) \in \mathbb{T}^1$   
 induction  
 $\mathbb{R} : f(x+n) = \chi_n(x) f(x) : \int_{\mathbb{R}/\mathbb{Z}} |f|^2 dx$

J. von Neumann, I.E. Segal, R. Fuglede

Eigen-functions :  $d > 1$

$$\frac{\partial}{\partial x_k} e^{i\lambda \cdot x} = i\lambda_k e^{i\lambda \cdot x}$$

$$\lambda \cdot x = \lambda_1 x_1 + \dots + \lambda_d x_d$$

$$\Delta e^{i\lambda \cdot x} = -|\lambda|^2 e^{i\lambda \cdot x}$$

$$\Delta = \sum_{k=1}^d \frac{\partial^2}{\partial x_k^2} \quad ) \quad |\lambda|^2 = \sum_{k=1}^d \lambda_k^2$$

$e_\lambda$  :  $e^{i\lambda \cdot x}$  , or its restriction to  $\Omega$

Theorem

If there are commuting selfadjoint extensions of  $(\frac{\partial}{i \partial x_k}, C_c^\infty(\Omega))$  in  $L^2(\Omega)$ ;

$$\mathbb{R}^d$$

$$\int_{\Omega} 1 < \infty$$

$$C_c^\infty(\Omega) \in L^2(\Omega)$$

then the joint spectrum is pure-point; and the joint eigenspaces  $\in L^2(\Omega)$  are one-dimensional; each spanned by

$$e_{\lambda}(x) = e^{i\lambda \cdot x}, \quad x \in \Omega \in \mathbb{R}^d$$

for some discrete subset  $\Lambda \subset \mathbb{R}^d, \lambda \in \Lambda$ .

$d=1$

(14)

$$\frac{1}{i} \frac{\partial}{\partial x}$$

$$L(0, 1)$$

PNTD

$$e^{i\theta\pi(\theta+n)x}$$

$$C_c^\infty(0, 1)$$

$\theta$  fixed: spectrum

$$\{\theta + n \mid n \in \mathbb{Z}\}$$

spectrum

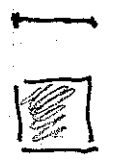
$$[0, 1) + \mathbb{Z} = \mathbb{R}$$

fundamental

||  
tile

# Warwick

$$\mathbb{I}^d = \underbrace{[0,1] \times [0,1] \times \dots \times [0,1]}_d$$



$d=3$

⋮  
 $d=9$   
 ⋮

is not trivial.

What are the sets  $\Lambda \subset \mathbb{R}^d$  such that  $(\mathbb{I}^d, \Lambda)$  is a spectral pair?

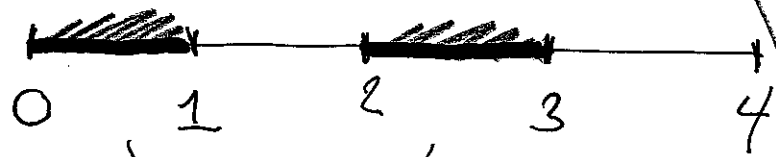
Known if  $d \leq 3$ , Jo-Pedersen; and ...

$d=1$

SPECTRAL PAIRS

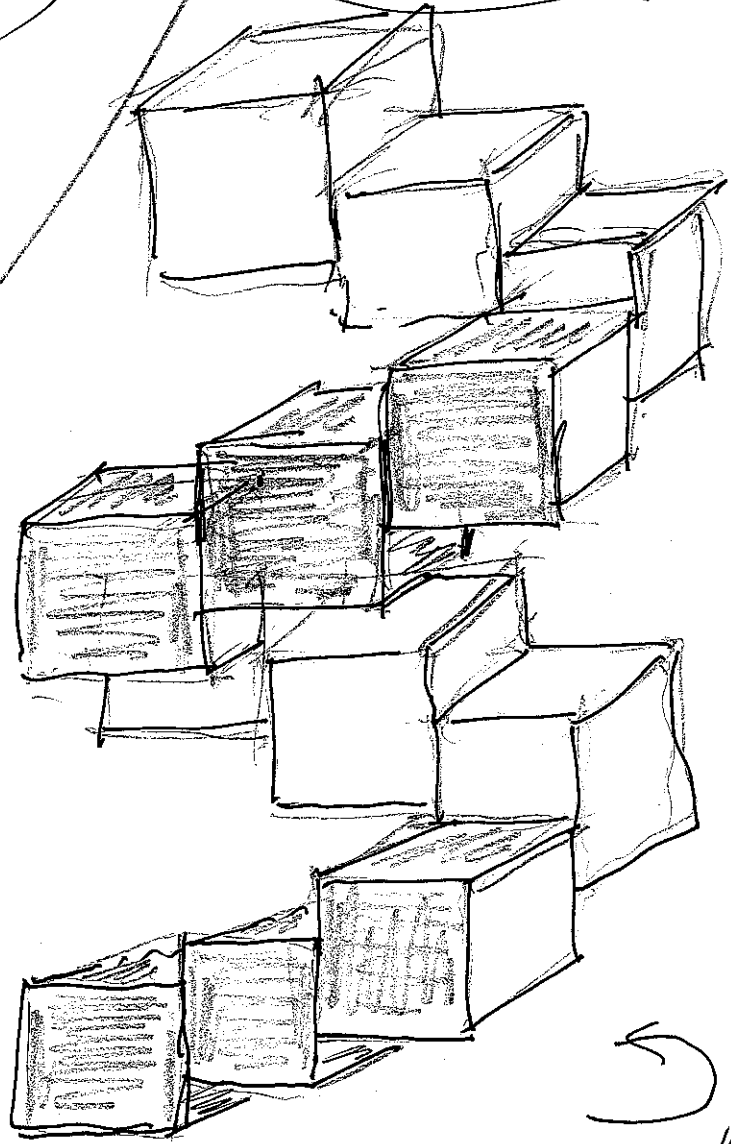
$(\Omega, \wedge)$

$d=3$



$\Omega_0 \cup \Omega_1 = \Omega$

$L^2(\Omega)$



Spectrum

$\{0, 1\} + 4\mathbb{Z}$

$= 4\mathbb{Z} \cup (1 + 4\mathbb{Z})$

not a lattice

$(4\mathbb{Z}) \times (4\mathbb{Z}) \times (\{0, 1\} + 4\mathbb{Z})$

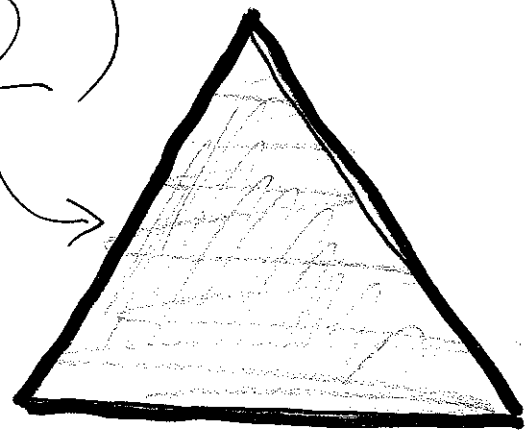
(4)





no

$L^2(\Omega)$

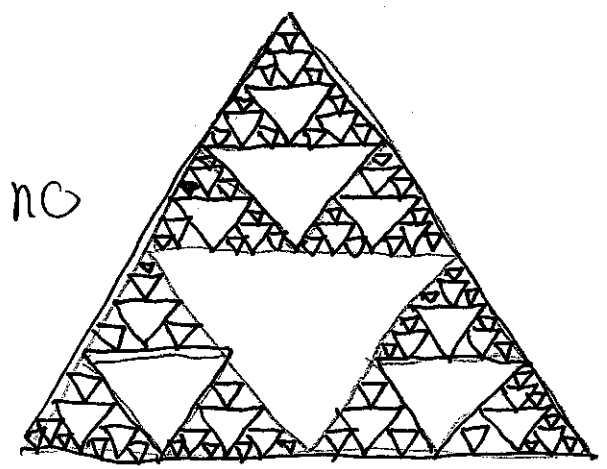


PLATAU: NO

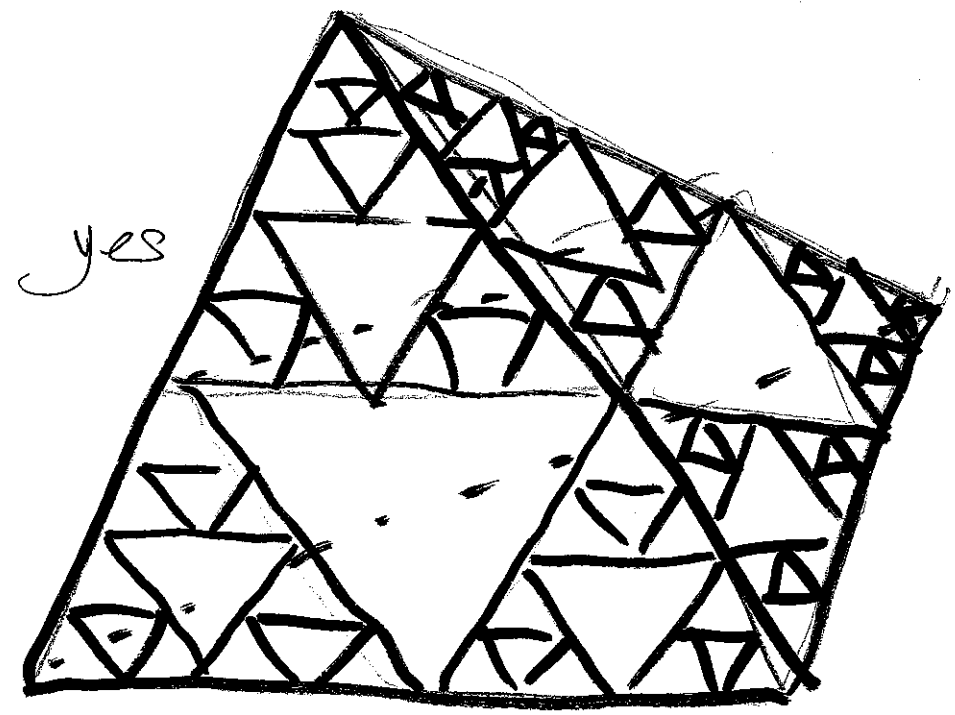
$\{e_n\}$

no, but

↓  
FRACTAL



no



yes

$L^2(\mu)$  not spectral

$\mu :=$  affine max entropy measure on  $\underline{X}$

yes!  $\{e_n\}$  ONB  $L^2(\mu)$

Jo-Pedersen

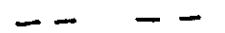
gaps/similarity

Spectrum



$$\frac{x}{4}$$

$$\frac{x+2}{4}$$



$$\left\{ \sum_{k=1}^{\infty} \frac{b_k}{4^k} \mid b_k \in \{0, 2\} \right\}$$

$$\sum_{k=1}^{\infty} \frac{1}{4^k}$$



$$\sum_{k=0}^{\text{finite}} a_k 4^k$$

$$\{0, 1\} + 4\mathbb{Z}$$

$$\{0, 1\} + \{0, 1\}4 + 4^2\mathbb{Z}$$

$$\{0, 1\} + \{0, 1\}4 + \{0, 1\}4^2 + 4^3\mathbb{Z}$$

$$a_k 4^k = a_0 + a_1 4 + a_2 4^2 + \dots$$

$$= \{0, 1, 4, 5, 16, 17, 20, 21, \dots\}$$

# LACUNARY SETS (9)

$$\Lambda_M, M > 1$$

$$\prod_{k=0}^{\infty} (1 + x^{M^k}) = \sum_{\lambda \in \Lambda_M} x^\lambda$$

Ex  $M=4$  :  $\prod_{k=0}^{\infty} (1 + x^{4^k}) = \sum_{\lambda \in \Lambda_4} x^\lambda$

$$\Lambda_4 = \{0, 1, 4, 5, 16, 17, 64, 65, \dots\}$$

# Jorgensen/Pedersen

(\*)

$\frac{1}{4}$  Bernoulli/Cantor measure  $\mu_{\frac{1}{4}}$   $(\mu_{\frac{1}{4}}, \Lambda_4)$ : spectral pair

$$\frac{1}{2} \int \left( f\left(\frac{x}{4}\right) + f\left(\frac{x+2}{4}\right) \right) d\mu_{\frac{1}{4}}(x) = \int f(x) d\mu_{\frac{1}{4}}(x) ;$$

$$\text{Support}(\mu_{\frac{1}{4}}) = \Sigma_{\frac{1}{4}} ; \quad \mathcal{L}(\mu_{\frac{1}{4}}) = \mathcal{L}(\Sigma_{\frac{1}{4}} / \mu_{\frac{1}{4}})$$

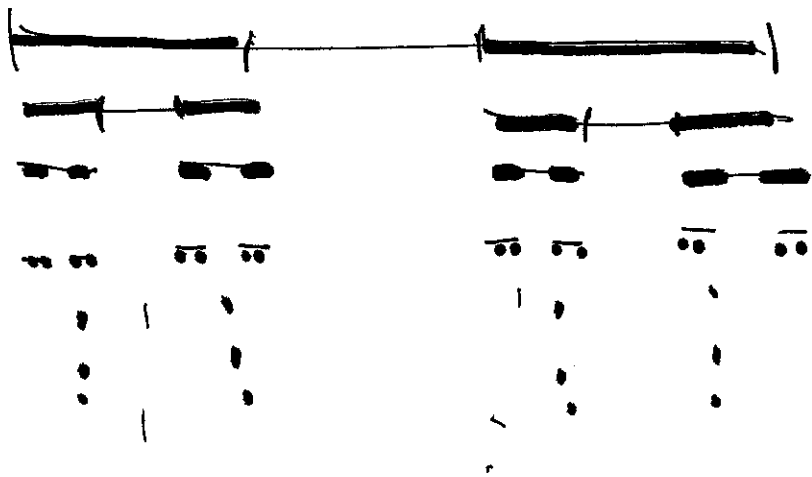
Theorem (Jo-Pe) :  $L^2(\mu_{\frac{1}{4}})$  has as an ONB

$$\left\{ e_{\lambda} \mid \lambda \in \Lambda_4 \right\}$$

Contrast to  $\mu_{\frac{1}{3}}$  (middle third Cantor!)

~~FOUR~~ NOT the middle third

(\*)



$$\left\{ \sum_{k=0}^{\infty} \frac{b_k}{3^k} \mid b_k \in \{0, 2\} \right\}$$

~~As above~~

$L(\frac{4}{3})$  has no  
more than two  
orthogonal  $e_k$ 's.

$$\int f d\mu_{\frac{4}{3}} = \frac{1}{2} \left( \int f\left(\frac{x}{3}\right) + \int f\left(\frac{x+2}{3}\right) \right) d\mu_{\frac{4}{3}}(x)$$

Bernoulli-measure  $\mu_\lambda$   $\lambda \in (0,1)$   
 $\lambda$  fixed

$\mu_\lambda :=$  the distribution of the random Power series

$$\overline{X}_\lambda(\cdot) = \sum_{k=0}^{\infty} \{\pm 1\} \lambda^k$$

on  $\Omega = \prod_{k=0}^{\infty} \{\pm 1\}$

$$\mu_\lambda(t) = \prod_{k=1}^{\infty} |\cos(\omega \lambda^k t)|$$

fair coin  $\left\{ \frac{1}{2}, \frac{1}{2} \right\}$   
 $(\Omega, \mathcal{F})$

MANY AUTHORS

$$\mathcal{F} = \prod_{k=0}^{\infty} \left\{ \frac{1}{2}, \frac{1}{2} \right\}$$

$\lambda < \frac{1}{2}$ ,  $\lambda \geq \frac{1}{2}$  ...  
 Erdős ... Solomyak

$\lambda < \frac{1}{2}$  When does  $(\mathbb{Q}, \mu_\lambda)$  have an  $\{e_1\}$   
BDD, i.e., when is  $\mu_\lambda$  spectral? (13)

Answer (many authors DD... PJ... Sie...)

$$\Leftrightarrow \lambda = \frac{1}{\text{even}}, \lambda \in \left\{ \frac{1}{2}, \frac{1}{4}, \frac{1}{6}, \frac{1}{8}, \dots \right\}$$

i.e. The Bernoulli measure  $\mu_\lambda$  is spectral

$$\Downarrow \lambda = \frac{1}{\text{even}}$$

Questions: Spectral pairs  $(\Lambda, \mu)$ :

$\mathcal{M}_{\mathbb{Q}} :=$  Borel probability measures on  $\mathbb{R}^d$ ,  
 $\Lambda \subset \mathbb{R}^d$  discrete, fixed.

~~Def~~  $\mathcal{M}(\Lambda) = \left\{ \mu \in \mathcal{M}_{\mathbb{Q}} \mid \sum_{\lambda \in \Lambda} |\hat{\mu}(s-1)|^2 \approx 1, \forall s \in \mathbb{R}^d \right\}$

1. When is  $\mathcal{M}(\Lambda) \neq \emptyset$ ?
2.  $\Lambda_3$
3. If  $\neq \emptyset$ , then describe  $\mathcal{M}(\Lambda)$ .



# APPROXIMATE FRACTIONS

$\mathbb{R}^d$ ,  $d$  fixed,  $M$   $d \times d$ ,  $|A| > 1$ ,  
over  $\mathbb{Z}$

$B \subset \mathbb{R}^d$  finite set  $\Rightarrow$  vector  $B = \{b\}$

$\varphi(x) := M^{-1}(x+b) \implies \mu = \mu(B)$

Can take <sup>any</sup>  $P_b > 0$ ,  $\sum P_b = 1$ , but then not spectral.

$$\frac{1}{\#B} \int_B f(\varphi(x)) d\mu(x) = \int f d\mu$$

$\wedge$  is  $\alpha$  spectrum  $\iff$  Jo-Keen

$$\sum_{\lambda \in \wedge} |\mu(s-\lambda)|^2 \equiv 1, \forall s \in \mathbb{R}.$$

Notation

$$\hat{\mu}(s) := \sum_{\beta \in \Lambda} |V_{\beta}(s-\beta)|^2$$

$$V_{\mathcal{B}}(s) = \frac{1}{\#\mathcal{B}} \sum_{b \in \mathcal{B}} e_b(M^T s)$$

$$\hat{\mu}(s) = V_{\mathcal{B}}(s) \hat{\mu}(M^T s)$$

Let  $\mathcal{B} = \{\beta\} \subset \mathbb{T}^d$  be a finite set, and set

$$\mathbb{R}_{\mathcal{B}}(s) = \sum_{\beta \in \mathcal{B}} |V_{\mathcal{B}}(s-\beta)|^2 f(M^T(s-\beta)) ;$$

Ruelle operator (transfer-operator)

The Suppose  $\exists B$  s.t.  $\bigwedge (\cdot)$

(17)

subspace

$$R_B \left( \bigwedge \right) = \bigwedge$$

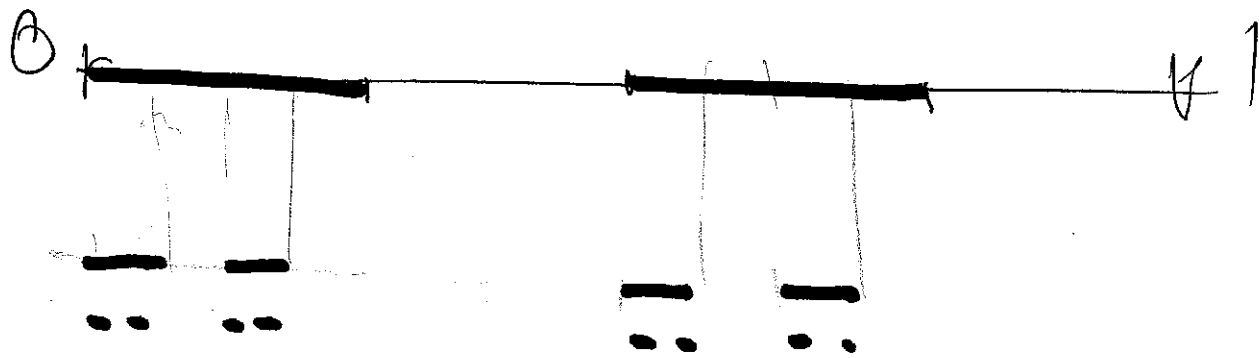
then  $\bigwedge$  is a spectrum for  $\rho = \rho_{B, M}$

$\Leftrightarrow$  The constant function  $\mathbb{1}$  is, up to a constant multiple, the only continuous eigenfunction of  $R_B$ .

(Perron - Frobenius)

CASE in POINT

$$A = \frac{1}{4}$$



$$f(s) = \Gamma_{\frac{1}{4}}(s)$$

$$\Lambda_{\frac{1}{4}} = \{0, 1, 4, 5, 16, 17, 20, 21, \dots\}$$

$$\left( \sum_{\frac{1}{4}}^1, \sqrt{\frac{1}{4}} \right)$$

$$\Lambda = (4\Lambda) \cup \{1 + 4\Lambda\}$$

$$(R_f)(s) = \cos^2\left(\frac{\pi s}{2}\right) f\left(\frac{s}{4}\right) + \sin^2\left(\frac{\pi s}{2}\right) f\left(\frac{s-1}{4}\right), s \in \mathbb{R}$$

# Complex version $\leadsto$ RKHS

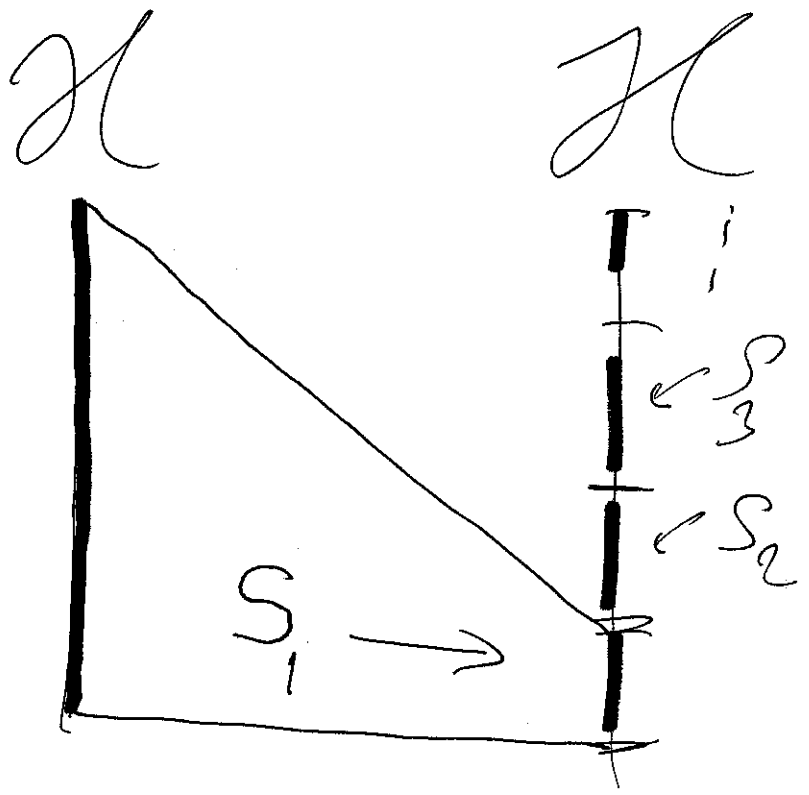
$\wedge \leadsto 1, z, z^4, z^5, z^{16}, z^{17}, z^{20}, z^{21}, \dots$   
 $f(z) = c_0 + c_1 z + c_4 z^4 + c_5 z^5 + c_{16} z^{16} + \dots$

$\mathcal{H} \Rightarrow f(z) = f_0(z^4) + z f_1(z^4) = \dots$  ONB

$|z| < 1$  closed subspace of the Hardy space  
 lacunary  $f(z) = \sum_{\lambda \in \Lambda} c_\lambda z^\lambda$

$(S_0 f)(z) = f(z^4)$ ,  $(S_1 f)(z) = z f(z^4)$

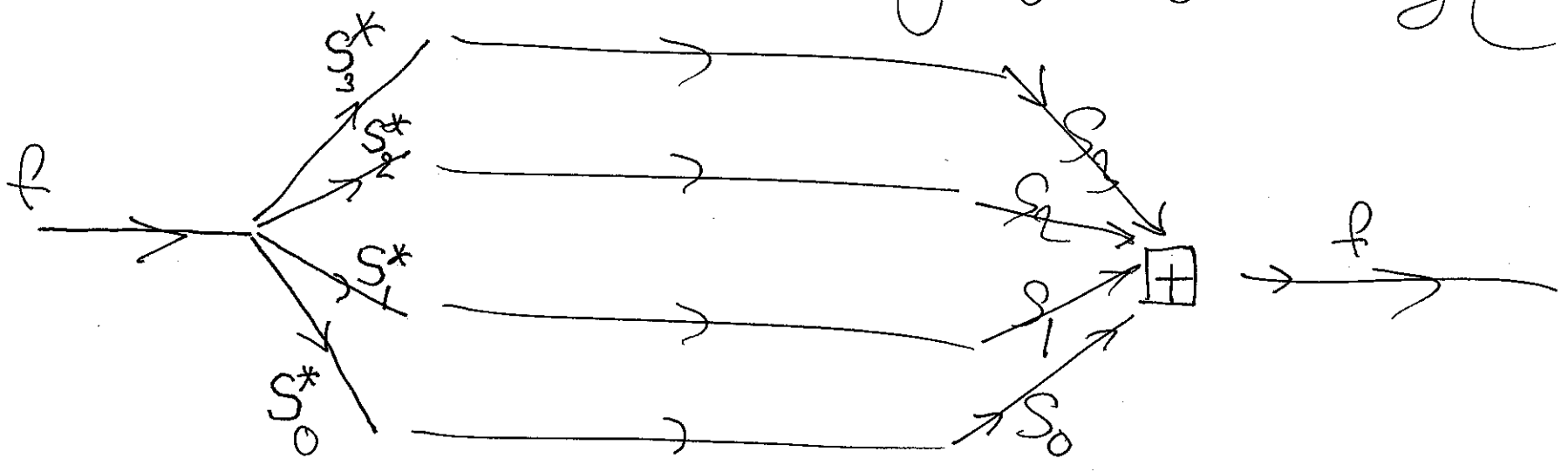
Cuntz relations:  $S_0^* S_1 = 0$ ,  $S_0 S_0^* + S_1 S_1^* = I$



$$(S, f)(z) = \sum_j s_j(z) f(\varphi(z))$$

$$\sum_{j=0}^{\infty} s_j s_j^* = I_{\mathcal{H}}$$

$$s_r^* s_j = \delta_{jk}$$



Shur (D. Dutty, PJ, S. Pedersen) · D. Alpay

$$L^2(\mu_{\frac{1}{4}}) \ni \{e_{\lambda} \mid \lambda \in \Lambda\} \longleftrightarrow \{z^{-1}\} \in H_{\frac{1}{4}}$$

define a unitary <sup>intertwining</sup> isomorphism

$$\left\{ \frac{x}{4}, \frac{x+2}{4} \right\}_{in} \subset L^2(\mu_{\frac{1}{4}}) \xrightarrow{\text{isomorphism}} \left\{ \begin{matrix} 0 \\ 1 \end{matrix} \right\} \subset H_{\frac{1}{4}}$$

reproduces kernel

fractional Szegő kernel or  $\mathbb{D} = \{z \mid |z| < 1\}$

$$K(z, w) = \prod_{n=0}^{\infty} \left( 1 + (z \bar{w})^4 \right), \quad \forall z, w \in \mathbb{D}$$

$$\mathcal{H}(\wedge) := \text{cl span} \{ z^\lambda \mid \lambda \in \wedge \} \subset H_2$$

Coroll  $\mathcal{H}_{\frac{1}{4}}$  is a (RK) space of analytic

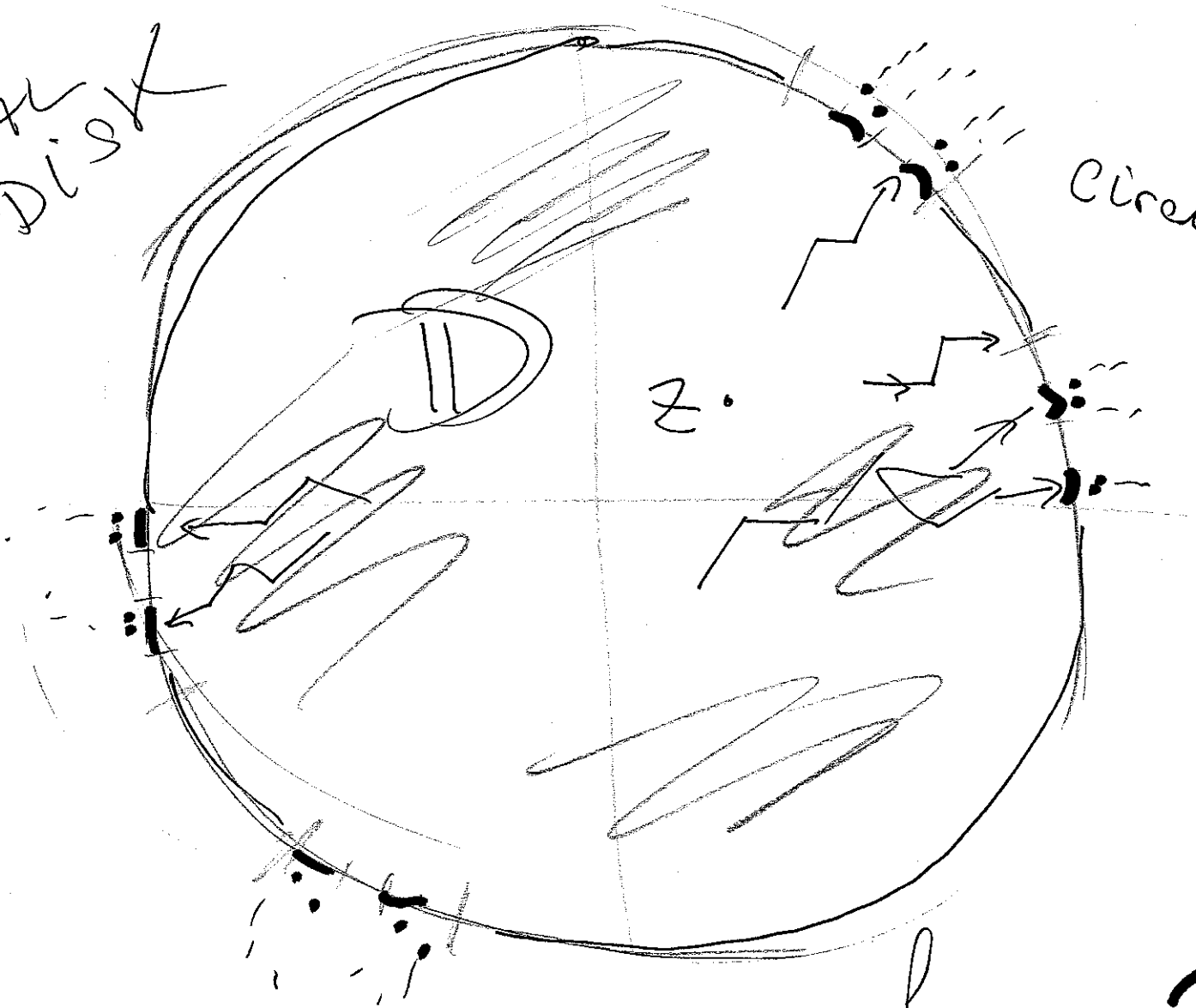
functions, a closed subspace of the Hardy

space  $\equiv \mathcal{L}(\mu_{\frac{1}{4}})$

i.e.,  $\mathcal{H}(\wedge_{\frac{1}{4}}) = \mathcal{L}(\mu_{\frac{1}{4}})$



FRactal Disk



Circle  $X_{\frac{1}{4}}$

Length  $= 0$

$\sim f \in L^2(\mu_{\frac{1}{4}})$

Then

$\mathcal{G} \mapsto \tilde{f}$  is onto!

$$f(z) = \int_{X_{\frac{1}{4}}} K(z, x) f(x) d\mu_{\frac{1}{4}}(x) \sim$$

Fix  $\Lambda \subset \mathbb{N}_0 = \{0\} \cup \mathbb{N}$

Set

$\mathcal{H}(\Lambda) \subset H_2$  closure in the Hardy space

$\mathcal{H}(\Lambda) = \text{cl.sp.} \{ z^\lambda \mid \lambda \in \Lambda \}$

Set:

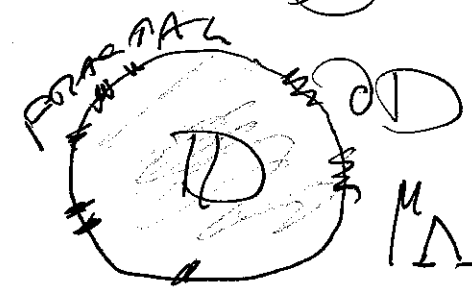
$f \in \mathcal{H}(\Lambda) \Rightarrow f(z) = \sum_{\lambda \in \Lambda} c_\lambda z^\lambda, \quad (c_\lambda) \in \ell^2(\Lambda)$

$\mapsto \mathcal{RK} \mapsto \mathcal{RK} H^2$

$K_\Lambda(z, w) \quad \mathbb{D} \times \mathbb{D}$

Theorem

$K_\Lambda(z, w) = \sum_{\lambda \in \Lambda} (z w^*)^\lambda$



then  $\exists \mu_{\wedge} \in \mathcal{M}(\partial \mathbb{D}) \cong \mathcal{M}(\mathbb{T})$

(25)

st.

$$f(z) = \int_{\mathbb{T}} K(z, x) \tilde{f}(x) d\mu_{\wedge}(x), \quad z \in \mathbb{D}$$

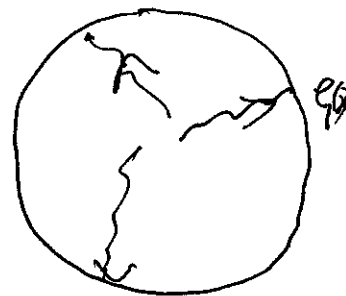
$f \mapsto \tilde{f}$  is onto  $L^2(\mu_{\wedge})$   $\forall f \in \mathcal{H}_{\wedge}$

$\left\{ e^{i\lambda x} \right\}_{\lambda \in \wedge}$  is an ONB in  $L^2(\mu_{\wedge})$

$\lambda \in \wedge$

so  $(\mu_{\wedge}, \wedge)$  is a spectral pair.

# Boundary limit



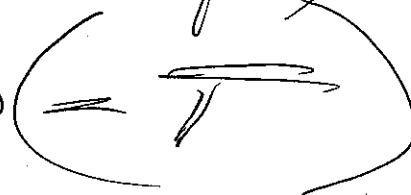
Proposition

$$\Lambda \in \mathcal{N}_0$$



$$\text{supp}(\mu) \subset T$$

spectral pair  $\lambda$



$$X := \text{supp}(\mu)$$

Def

Set

$$\tilde{f}(x) = \sum_{\lambda \in \Lambda} c_{\lambda} e^{i2\pi \lambda x}$$

$$(c_{\lambda}) \in \ell^1(\Lambda)$$

and if

$$r < 1, \quad f(r, x) = \sum_{\lambda \in \Lambda} c_{\lambda} (r e^{i2\pi \lambda x})^{\lambda}$$

$$(c_{\lambda}) = e^{i2\pi \lambda x}$$

$$, x \in [0, 1)$$

then

$$\lim_{r \rightarrow 1} \| \tilde{f} - f_r \|_{L(\mu)} = 0$$

# Remarks Existence

Given  $K$ , then one probability space  $(\mathcal{X}, \mu)$

and extend  $K(z, x)$ ,  $x \in \mathcal{X}$  ~~to~~

$$K(z, w) = \int_{\mathcal{X}} K(z, x) K(w, x) d\mu(x)$$

but not with  $\mathcal{X} \subseteq \overline{\mathbb{R}^d}$

# Examples

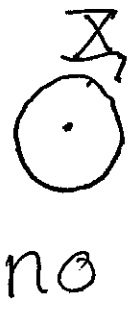
## KERNELS

$\mu$  ?

$$\Lambda_1 = \mathbb{N}_0 = \{0, 1, 2, \dots\}$$

$$K(z, w) = \frac{1}{1 - zw^*}$$

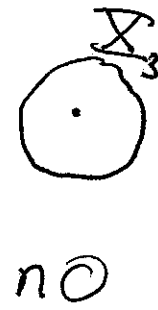
Pzyjō'



$$\Lambda_3 = \left\{ \sum_0^{\infty} a_k 3^k ; a_k \in \{0, 1\} \right\}$$

$$= \{0, 1, 3, 4, 9, 10, 12, \dots\}$$

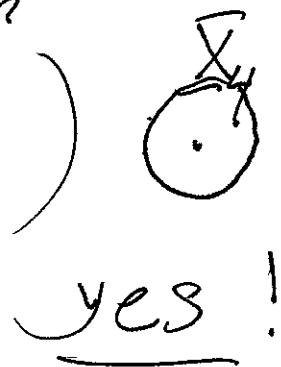
$$\prod_{n=0}^{\infty} (1 + (zw^*)^{3^n})$$



$$\Lambda_4 = \left\{ \sum_0^{\infty} a_k 4^k ; a_k \in \{0, 1\} \right\}$$

$$= \{0, 1, 4, 5, 16, 17, 20, \dots\}$$

$$\prod_{n=0}^{\infty} (1 + (zw^*)^{4^n})$$



$$X_{\frac{1}{4}}(\cdot) = \sum_{n=1}^{\infty} (\pm 1) \frac{1}{4^n} \rightsquigarrow \text{distribution } \mu_{\frac{1}{4}}$$

$$\Omega = \prod_{\mathbb{N}} \{\pm 1\}$$

$$\mathbb{P} = \prod_{\mathbb{N}} \left\{ \frac{1}{2}, \frac{1}{2} \right\}$$

↓  
Cantor function  
lacunary

^  
4

$$\mathcal{L}(\Omega, \mathbb{P}) \rightsquigarrow \mathcal{L}(X_{\frac{1}{4}}, \mu_{\frac{1}{4}}) \rightsquigarrow \mathcal{H}(\wedge_4) \rightarrow \Sigma_{\frac{1}{4}}$$

The kernels  $\begin{cases} K_3 = K \wedge_3 \\ K_4 = K \wedge_4 \end{cases}$

differences

$$K_3(z, w) = \prod_{n=0}^{\infty} (1 + (zw^*)^{3^n}) = \sum_{\lambda \in \wedge_3} z^{|\lambda|} w^{*|\lambda|}$$

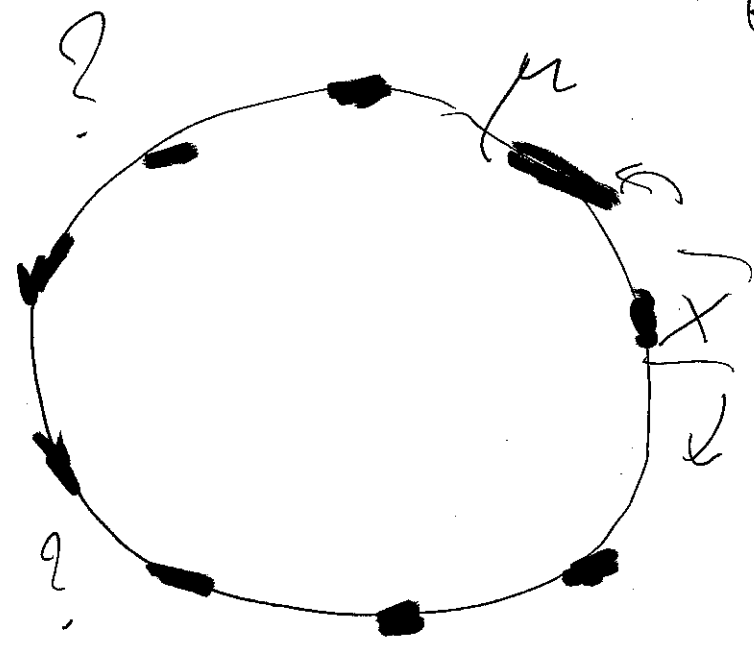
$$K_4(z, w) = \prod_{n=0}^{\infty} (1 + (zw^*)^{4^n}) = \sum_{\lambda \in \wedge_4} z^{|\lambda|} w^{*|\lambda|}$$

~~$$\int K_4(z, e_1(x)) K_4(w, e_1(x))^* d\mu_{\frac{1}{4}}(x) = K_4(z, w),$$~~

$\forall (z, w) \in \mathbb{D} \times \mathbb{D}$



but we do not  
know measures  $\mu \neq \text{Leb}$ .



$$\int_X K_3(z, \varphi(x)) K_3(w, \varphi(x))^* d\mu(x) = K_3(z, w)$$

What is  $\mathcal{M}(K_3)$  ?

Definition Given  $K : \mathbb{D} \times \mathbb{D} \rightarrow$

analytic in  $z, w^*$

$\implies \mathcal{H}(K) = \text{RKHS}$

Set

$$\mathcal{M}(K) = \left\{ \mu \text{ on } \mathbb{D} : \int_{\mathbb{D}} K(z, x) K(w, x)^* d\mu(x) = K(z, w) \right\}$$

If  $K_4 \wedge K_3$  then  $\text{Leb} \in \mathcal{M}(K_4 \wedge K_3)$

$\mu_{1/4} \in \mathcal{M}(K_4)$  ,  $\mu_{1/3} \notin \mathcal{M}(K_3)$

Question : What is  $\mathcal{M}(K_3)$  ?

Question! Given  $\Lambda \subset \mathbb{N}_0$

Given  $\mu \in \mathcal{M}(K_\Lambda)$ ; what

is  $\text{span}^{L(\mu)} \{e_\lambda(x) \mid \lambda \in \Lambda\} \subset L(\mu)$ !

Question Is  $\mathcal{M}(K_{\wedge_3}) = \{Leb\}$  (singleton)?

Moral  $\mathcal{M}(K_{\wedge_3})$  is "too small" on the boundary!

Fact Given  $K : \mathbb{D} \times \mathbb{D} \rightarrow \mathbb{C}$

then  $\rho.d.$  analytic  $z, w^*$

$\mathcal{M}(K)$  is convex and  $w^*$ -compact,

Questions 1. What is  $\text{Ext}(\mathcal{M}(K))$ ,

i.e., its extreme points?

Q.  $1/s \mu_{1/4} \in \text{Ext}(\mathcal{M}(K \wedge_4))$ ?

$$5 \wedge_4 = \{0, 5, 20, 25, 80, 85, 100, 105, \dots\}$$

Question

yes!

$$P \wedge_4 + 7 \wedge_4 \quad ?$$

$$f(5 \wedge_4)$$

over yes!

Theorem

$$(U_f)(x) = f(x) \bmod \mathbb{Z}_4 \text{ is unitary in } L(\mu_4).$$

Q. What is its spectrum?  $\implies$  fractal ----

The prod of an infinite prod func

$$K(z, w) = \prod_{n=0}^{\infty} (1 + (zw^n)^4)$$

$(z, w) \in \mathbb{D} \times \mathbb{D}$

is a special case of the following transformation rules: Suppose

$$(S_k \gamma)(z) = m_k(z) f(\varphi(z)) \quad , \text{ and}$$

$$\sum_k S_k S_k^* = I_{\mathcal{H}} \quad , \quad \mathcal{H} = \mathcal{H}(K) \text{ some } \Omega \times \Omega$$

ORKHS ; then

$$K(z, w) = \left( \sum_j m_j(z) m_j(w)^* \right) K(\varphi(z), \varphi(w)),$$

$$(z, w) \in \Omega \times \Omega.$$

Application: Julia sets :  $\varphi(z) = c + z^2$ ,  
 $\varphi = \text{rat}$ ,  $\varphi(z) = \frac{P(z)}{Q(z)}$  ...

This includes  $\text{mg}(K, \Omega)$  etc. with

$$\varphi^{o n}(z) = \varphi(\varphi(\dots \varphi(z) \dots))$$

$$\varphi^{o n+1}(z) = \varphi^{o n}(\varphi(z))$$

$n$  fold  
substitution

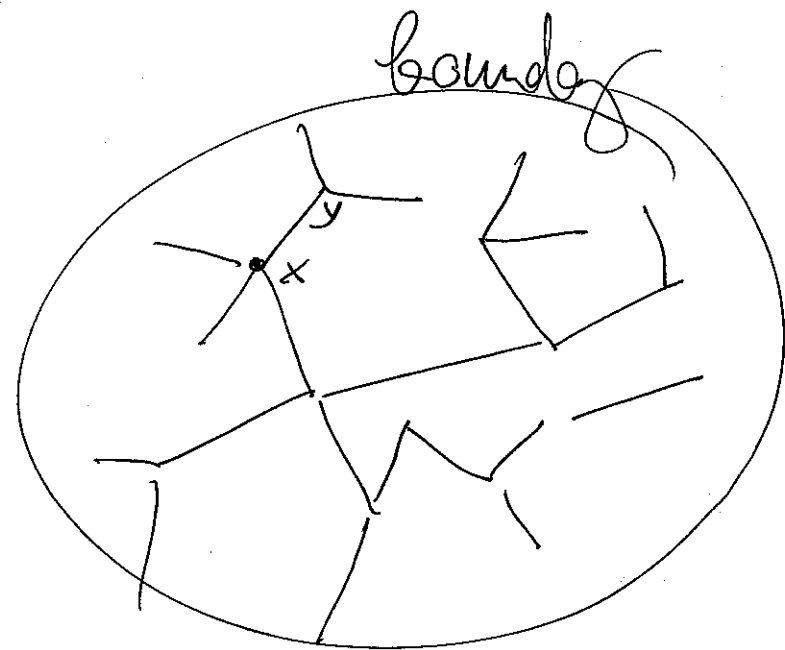
$$K(z, w) = \prod_{n=0}^{\infty} \left( \sum_{j \neq l} m_j (\varphi_j^{(n)}(z), \varphi_j^{(n)}(w)) \right) K(l, l)$$



$V$ : Vertices  $x, y, z$

$E$ : Edges  $e = (x, y)$

Notation  $x \sim y : (x, y) \in E$



$$(\Delta f)(x) = \sum_{y \sim x} c_{xy} (f(x) - f(y))$$

$\{c_{xy}\}_{(x,y) \in E}$

fixed conductance  $c_{xy}$