

# Notes on the Orbit Method and Quantization

Reyer Sjamaar

Les Diablerets, March 1997

## **Contents**

1. Orbit method and quantization
2. Index theorem in symplectic geometry

# Orbit method and quantization

**A. A. Kirillov**

$G =$  Lie group (infinite-dimensional group, quantum group...)

*Category of unitary representations of  $G$*

Objects: continuous homomorphisms  $T: G \rightarrow U(\mathcal{H})$  ( $\mathcal{H}$  a Hilbert space)

Morphism (“intertwining operator”) from  $T_1$  to  $T_2$ : continuous linear  $A: \mathcal{H}_1 \rightarrow \mathcal{H}_2$

$$\begin{array}{ccc} \mathcal{H}_1 & \xrightarrow{A} & \mathcal{H}_2 \\ T_1(g) \downarrow & & \downarrow T_2(g) \\ \mathcal{H}_1 & \xrightarrow{A} & \mathcal{H}_2 \end{array}$$

*Example.*  $X = G$ -manifold with  $G$ -invariant measure  $\mu$ . Unitary representation on  $L^2(X, \mu)$ :  $T(g)f(x) = f(g^{-1}x)$ . Map  $F: X_1 \rightarrow X_2$  induces intertwining map  $F^*: L^2(X_2, \mu_2) \rightarrow L^2(X_1, \mu_1)$  (if  $\mu_2$  is absolutely continuous w.r.t.  $F_*\mu_1$ ).

$T$  is *indecomposable* if  $T \neq T_1 \oplus T_2$  for nonzero  $T_1$  and  $T_2$ .  $T$  is *irreducible* if does not have nontrivial invariant subspaces.

For unitary representation irreducible  $\iff$  indecomposable.

“Unirrep” = unitary irreducible representation.

## Main problems of representation theory

1. Describe unitary dual:

$$\widehat{G} = \{\text{unirreps of } G\}/\text{equivalence.}$$

2. Decompose any  $T$  into unirreps:

$$T(g) = \int_{\widehat{Y}} T_y(g) d\mu(y).$$

Special cases: for  $H < G$  closed (“little group”),

(a) for  $T \in \widehat{G}$  decompose *restriction*  $\text{Res}_H^G T$ .

(b) for  $S \in \widehat{H}$  decompose *induction*  $\text{Ind}_H^G S$ .

3. Compute character of  $T \in \widehat{G}$ .

Ad 2b: let  $S: H \rightarrow U(\mathcal{H})$ . Suppose  $G/H$  has  $G$ -invariant measure  $\mu$ .  $\text{Ind}_H^G S = L^2$ -sections of  $G \times^H \mathcal{H}$ . Obtained by taking space of functions  $f: G \rightarrow \mathcal{H}$  satisfying  $f(gh^{-1}) = S(h)f(g)$ , and completing w.r.t. inner product

$$\langle f_1, f_2 \rangle = \int_{G/H} \langle f_1(x), f_2(x) \rangle_{\mathcal{H}} d\mu(x).$$

Ad 3: let  $\phi \in C_0^\infty(G)$ . Put

$$T(\phi) = \int_G \phi(g)T(g)dg.$$

With luck  $T(\phi): \mathcal{H} \rightarrow \mathcal{H}$  is of trace class and  $\phi \mapsto \text{Tr} T(\phi)$  is a distribution on  $G$ , the *character* of  $T$ .

## Solutions proposed by orbit method

1. Let  $\mathfrak{g}$  = Lie algebra of  $G$ . Coadjoint representation = (non-unitary) representation of  $G$  on  $\mathfrak{g}^*$ .

$$\widehat{G} = \mathfrak{g}^*/G, \text{ the space of coadjoint orbits}$$

2. Let  $T_{\mathcal{O}}$  be unirrep corresponding to  $\mathcal{O} \in \mathfrak{g}^*/G$ . For  $H < G$  have projection  $\text{pr}: \mathfrak{g}^* \rightarrow \mathfrak{h}^*$ . Then

$$\text{Res}_H^G T_{\mathcal{O}} = \int_{\substack{\mathcal{O}' \in \mathfrak{h}^*/H \\ \mathcal{O}' \subset \text{pr } \mathcal{O}}} m(\mathcal{O}, \mathcal{O}') T_{\mathcal{O}'}$$

$$\text{Ind}_H^G T_{\mathcal{O}'} = \int_{\substack{\mathcal{O} \in \mathfrak{g}^*/G \\ \text{pr } \mathcal{O} \supset \mathcal{O}'}} m(\mathcal{O}, \mathcal{O}') T_{\mathcal{O}}$$

Same  $m(\mathcal{O}, \mathcal{O}')$  (Frobenius reciprocity).

3. For  $\mathcal{O} \in \mathfrak{g}^*/G$  let  $\chi_{\mathcal{O}} =$  character of  $T_{\mathcal{O}}$ . Kirillov character formula: for  $\xi \in \mathfrak{g}$

$$\sqrt{j(\xi)} \chi_{\mathcal{O}}(\exp \xi) = \int_{\mathcal{O}} e^{2\pi i \langle f, \xi \rangle} df,$$

Fourier transform of  $\delta_{\mathcal{O}}$ . ( $df =$  canonical measure on  $\mathcal{O}$ ,  $j = \sqrt{j_l j_r}$ , where  $j_{l,r} =$  derivative of left resp. right Haar measure w.r.t. Lebesgue measure.)

**Theorem** (Kirillov). *Above is exactly right for connected simply connected nilpotent groups (where  $j(\xi) = 1$ ).*



## Examples

$G = \mathbb{R}^n$ . Then  $\mathfrak{g}^*/G = \mathfrak{g}^* = (\mathbb{R}^n)^*$ . Unirrep corresponding to  $\lambda \in (\mathbb{R}^n)^*$  is

$$T_\lambda(x) = e^{2\pi i \langle \lambda, x \rangle} \quad (\mathcal{H} = \mathbb{C})$$

(Fourier analysis).

Heisenberg group:  $G =$  group of matrices

$$g = \begin{pmatrix} 1 & g_1 & g_3 \\ 0 & 1 & g_2 \\ 0 & 0 & 1 \end{pmatrix}$$

Typical element of Lie algebra  $\mathfrak{g}$  is

$$\xi = \begin{pmatrix} 0 & \xi_1 & \xi_3 \\ 0 & 0 & \xi_2 \\ 0 & 0 & 0 \end{pmatrix}$$

Basis:

$$p = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad q = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \quad z = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Note  $[p, q] = z$ ,  $z$  generates centre of  $\mathfrak{g}$ .

*Complete list of unirreps (Stone-von Neumann)*

For  $\hbar \neq 0$ :  $T_{\hbar}: G \rightarrow L^2(\mathbb{R})$  is generated by

$$p \longmapsto \hbar \frac{d}{dx}, \quad q \longmapsto ix, \quad z \longmapsto i\hbar,$$

i.e.  $T_{\hbar}(e^{tp})f(x) = f(x + t\hbar)$ ,  $T_{\hbar}(e^{tq})f(x) = e^{itx}f(x)$ ,  $T_{\hbar}(e^{tz}) = e^{it\hbar}$ . Note  $[T_{\hbar}p, T_{\hbar}q] = T_{\hbar}z$  (uncertainty principle).

For  $\alpha, \beta \in \mathbb{R}$ :  $S_{\alpha, \beta}: G \rightarrow \mathbb{C}$  is generated by

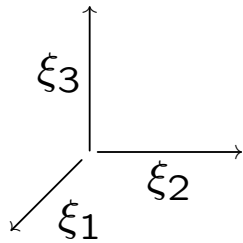
$$p \longmapsto i\alpha, \quad q \longmapsto i\beta, \quad z \longmapsto 0.$$

## *Description of $\mathfrak{g}/G$*

Adjoint action:

$$g \cdot \xi = g \xi g^{-1} = \begin{pmatrix} 0 & \xi_1 & \xi_3 - g_2 \xi_1 + g_1 \xi_2 \\ 0 & 0 & \xi_2 \\ 0 & 0 & 0 \end{pmatrix}$$

Adjoint orbits:



### *Description of $\mathfrak{g}^*/G$*

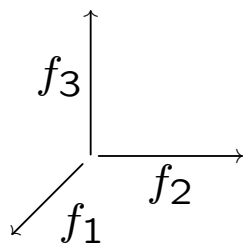
Identify  $\mathfrak{g}^*$  with lower triangular matrices. Typical element is

$$f = \begin{pmatrix} 0 & 0 & 0 \\ f_1 & 0 & 0 \\ f_3 & f_2 & 0 \end{pmatrix}$$

Pairing  $\langle f, \xi \rangle = \text{Tr } f\xi = f_1\xi_1 + f_2\xi_2 + f_3\xi_3$ .  
Coadjoint action:

$$\begin{aligned} g \cdot f &= \text{lower triangular part of } gfg^{-1} = \\ &= \begin{pmatrix} 0 & 0 & 0 \\ f_1 + g_2f_3 & 0 & 0 \\ f_3 & f_2 - g_1f_3 & 0 \end{pmatrix} \end{aligned}$$

Coadjoint orbits:



Two-dimensional orbits correspond to  $T_{\hbar}$ , zero-dimensional orbits to  $S_{\alpha,\beta}$

## “Explanation” for orbit method

<i>Classical</i>	<i>Quantum</i>
Symplectic manifold $(M, \omega)$	Hilbert space $\mathcal{H} = Q(M)$ (or $\mathbb{P}\mathcal{H}$ )
Observable (function) $f$	skew-adjoint operator $Q(f)$ on $\mathcal{H}$
Poisson bracket $\{f, g\}$	commutator $[Q(f), Q(g)]$
Hamiltonian flow of $f$	1-PS in $U(\mathcal{H})$

Dirac’s “rules”:  $Q(c) = ic$  ( $c$  constant),  $f \mapsto Q(f)$  is linear,  $[Q(f_1), Q(f_2)] = \hbar Q(\{f_1, f_2\})$ .

I.e.  $f \mapsto \hbar^{-1}Q(f)$  is a Lie algebra homomorphism  $C^\infty(M) \rightarrow \mathfrak{u}(\mathcal{H})$ .

So Lie algebra homomorphism  $\mathfrak{g} \rightarrow C^\infty(M)$  gives rise to unitary representation of  $G$  on  $\mathcal{H}$ .

Last “rule”: if  $G$  acts transitively,  $Q(M)$  is a unirrep.

## Hamiltonian actions

$(M, \omega)$  symplectic manifold on which  $G$  acts. Action is *Hamiltonian* if there exists  $G$ -equivariant map  $\Phi: M \rightarrow \mathfrak{g}^*$ , called *moment map* or *Hamiltonian*, such that

$$d\langle \Phi, \xi \rangle = \iota(\xi_M)\omega,$$

where  $\xi_M =$  vector field on  $M$  induced by  $\xi \in \mathfrak{g}$ .

If  $G$  connected, equivariance of  $\Phi$  is equivalent to: transpose map  $\phi: \mathfrak{g} \rightarrow C^\infty(M)$  defined by  $\phi(\xi)(m) = \Phi(m)(\xi)$  is *homomorphism of Lie algebras*.

Triple  $(M, \omega, \Phi)$  is a *Hamiltonian  $G$ -manifold*.

Notation:  $\Phi^\xi = \phi(\xi) =$  composite map  $M \xrightarrow{\Phi} \mathfrak{g}^* \xrightarrow{\xi} \mathbb{R}$  ( $\xi$ -component of  $\Phi$ ).

## Examples

1.  $Q =$  any manifold w.  $G$ -action  $\rho: G \rightarrow \text{Diff}(Q)$ .  $M = T^*Q$  with lifted action

$$\bar{\rho}(g)(q, p) = (\rho(g)q, \rho(g^{-1})^*p),$$

where  $q \in Q$ ,  $p \in T_q^*Q$ .  $\omega = -d\alpha$ , where  $\alpha_{(q,p)}(v) = p(\pi_*v)$ ;  $\pi =$  projection  $M \rightarrow Q$ .  
Moment map:

$$\Phi^\xi(q, p) = p(\xi_Q).$$

2. Poisson structure on  $\mathfrak{g}^*$ : for  $\varphi, \psi \in C^\infty(\mathfrak{g}^*)$ ,  $f \in \mathfrak{g}^*$ ,

$$\{\varphi, \psi\}(f) = \langle f, [d\varphi_f, d\psi_f] \rangle.$$

(Here  $d\varphi_f, d\psi_f \in \mathfrak{g}^{**} \cong \mathfrak{g}$ .)

Leaves: orbits for coadjoint action. For coadjoint orbit  $\mathcal{O}$  moment map is *inclusion*  $\mathcal{O} \rightarrow \mathfrak{g}^*$ .



**Theorem** (Kirillov–Kostant–Souriau). *Let  $(M, \omega, \Phi)$  be homogeneous Hamiltonian  $G$ -manifold. Then  $\Phi: M \rightarrow \mathfrak{g}^*$  is local symplectomorphism onto its image. Hence, if  $G$  compact,  $\Phi$  is global symplectomorphism.*

*Sketch proof.*  $M$  homogeneous  $\Rightarrow$  image of  $\Phi$  is single orbit in  $\mathfrak{g}^*$ , and therefore a symplectic manifold.

$\Phi$  equivariant  $\Rightarrow \Phi$  is Poisson map. Conclusion:  $\Phi$  preserves symplectic form.

If  $G$  compact all coadjoint orbits are simply connected. □

## Prequantization

First attempt:  $Q(M) = L^2(M, \mu)$ , where  $\mu = \omega^n/n!$ , Liouville volume element on  $M$ . For  $f$  function on  $M$  put

$$Q(f) = \hbar \Xi_f$$

skew-symmetric operator on  $L^2$  ( $\Xi_f =$  Hamiltonian vector field of  $f$ ).

Wrong:  $Q(c) = 0!$  Second try:

$$Q(f) = \hbar \Xi_f - if.$$

But then  $[Q(f_1), Q(f_2)] = \dots = \hbar^2 \Xi_{f_3} + 2i\hbar f_3 \neq \hbar Q(f_3)$ , where  $f_3 = \{f_1, f_2\}$ .

(Sign convention:  $\{f, g\} = \omega(\Xi_f, \Xi_g) = -\Xi_f(g)$ .)

Third attempt: suppose  $\omega = -d\alpha$ . Put

$$Q(f) = \hbar \Xi_f + i(\alpha(\Xi_f) - f).$$

Works! But: depends on  $\alpha$ ; and what if  $\omega$  not exact? Note: first two terms are covariant differentiation w.r.t. connection one-form  $\alpha/\hbar$ .

**Definition** (Kostant-Souriau).  $M$  is *prequantizable* if there exists a Hermitian line bundle  $L$  (*prequantum bundle*) with connection  $\nabla$  such that curvature is  $\omega/\hbar$ .

Prequantum Hilbert space is  $L^2$ -sections of  $L$ , and operator associated to  $f \in C^\infty(M)$  is

$$Q(f) = \hbar \nabla_{\Xi_f} - if.$$

## Example

$M = \mathbb{R}^{2n}$ ,  $\omega = \sum_k dx_k \wedge dy_k$ ,  $L = \mathbb{R}^{2n} \times \mathbb{C}$ ,  $\alpha = -\sum_k x_k dy_k$ . Inner product:

$$\langle \varphi, \psi \rangle = \int_{\mathbb{R}^{2n}} \varphi(x, y) \bar{\psi}(x, y) dx dy.$$

$\Xi_{x_k} = -\partial/\partial y_k$  and  $\Xi_{y_k} = \partial/\partial x_k$  so

$$Q(x_k) = -\hbar \frac{\partial}{\partial y_k},$$
$$Q(y_k) = \hbar \frac{\partial}{\partial x_k} - iy_k.$$

Snag: prequantization is too big. For  $n = 2$  get  $L^2(\mathbb{R}^2)$ .  $\mathbb{R}^2$  is homogeneous space under Heisenberg group, but  $L^2(\mathbb{R}^2)$  is not unirrep for this group.

## Polarizations

Polarization on  $M =$  integrable Lagrangian subbundle of  $T^{\mathbb{C}}M$ , i.e. subbundle  $\mathcal{P} \subset T^{\mathbb{C}}M$  s.t.  $\mathcal{P}_m$  is Lagrangian in  $T_m^{\mathbb{C}}M$  for all  $m$ , and vector fields tangent to  $\mathcal{P}$  are closed under Lie bracket.

$\mathcal{P}$  is *totally real* if  $\mathcal{P} = \bar{\mathcal{P}}$ .  $\mathcal{P}$  is *complex* if  $\mathcal{P} \cap \bar{\mathcal{P}} = 0$ .

Frobenius: real polarization  $\Rightarrow$  Lagrangian foliation of  $M$

Newlander-Nirenberg: complex polarization  $\Rightarrow$  complex structure  $J$  on  $M$  s.t.  $\mathcal{P}$  is spanned by  $\partial/\partial z_k$  in holomorphic coordinates  $z_k$ .

$\mathcal{P}$  is *Kähler* if it is complex and  $\omega(\cdot, J\cdot)$  is a Riemannian metric.

Section  $s$  of  $L$  is *polarized* if  $\nabla_{\bar{v}}s = 0$  for all  $v$  tangent to  $\mathcal{P}$ .

**Definition.**  $Q(M) = L^2$  polarized sections of  $L$ .

### *Problems*

1. Existence of polarizations.
2.  $Q(f)$  acts on  $Q(M)$  only if  $\Xi_f$  preserves  $\mathcal{P}$ .
3. Polarized sections are constant along (real) leaves of  $\mathcal{P}$ . Square-integrability?!
4.  $M$  compact,  $\mathcal{P}$  complex but not Kähler  $\Rightarrow$  there are no polarized sections.
5.  $Q(M)$  independent of  $\mathcal{P}$ ?

## Coadjoint orbits

$\mathcal{O}$  = coadjoint orbit through  $f \in \mathfrak{g}^*$ . Assume  $G$  simply connected,  $(\mathcal{O}, \omega)$  prequantizable.  $G$ -action on  $\mathcal{O}$  lifts to  $L$ . Infinitesimally,

$$\xi_L = \text{lift of } \xi_{\mathcal{O}} + 2\pi\Phi^\xi \nu_L,$$

where  $\xi \in \mathfrak{g}$ ,  $\nu_L$  = generator of scalar  $S^1$ -action on  $L$ .

$G$ -invariant polarization  $\mathcal{P}$  of  $\mathcal{O}$  is determined by  $\mathfrak{p} \supset \mathfrak{g}_f^{\mathbb{C}}$ , inverse image of  $\mathcal{P}_f$  under  $\mathfrak{g}^{\mathbb{C}} \rightarrow T_f^{\mathbb{C}}\mathcal{O}$ .

$\mathcal{P}$  integrable  $\iff \mathfrak{p}$  subalgebra.

$\mathcal{P}$  Lagrangian  $\iff f|_{[\mathfrak{p}, \mathfrak{p}]} = 0$  (i.e.  $f|_{\mathfrak{p}}$  is infinitesimal character) and  $2 \dim_{\mathbb{C}} \mathfrak{p} = \dim_{\mathbb{R}} G + \dim_{\mathbb{R}} G_f$ .

$\mathcal{P}$  real  $\iff \mathfrak{p} = \mathfrak{p}_0^{\mathbb{C}}$  for  $\mathfrak{p}_0 \subset \mathfrak{g}$ . Let  $P_0 =$  group generated by  $\exp \mathfrak{p}_0$ . Assume  $f: \mathfrak{p}_0 \rightarrow \mathbb{R}$  exponentiates to character  $S_f: P_0 \rightarrow S^1$ ; then

$$Q(M) = \text{Ind}_{P_0}^G S_f.$$

If  $\mathcal{P}$  complex,  $Q(M)$  is *holomorphically* induced representation.

### Example

$G$  compact (and simply connected). Let  $T =$  maximal torus,  $\mathfrak{t}_+^* =$  positive Weyl chamber,  $f \in \mathfrak{t}_+^*$ . Then  $\mathcal{O} = Gf$  integral  $\iff f$  in integral lattice.

All invariant polarizations are complex and are determined by *parabolic* subalgebras  $\mathfrak{p} \supset \mathfrak{g}_f^{\mathbb{C}}$ . In fact,  $\mathcal{O} = G/G_f \cong G^{\mathbb{C}}/P$ , where  $P = \exp \mathfrak{p}$ .

$$\begin{aligned} Q(\mathcal{O}) &= \text{holomorphic sections of } G^{\mathbb{C}} \times^P S_f \\ &= \text{unirrep with highest weight } f. \end{aligned}$$



Character formula:

$$\sqrt{j(\xi)} \chi_{\mathcal{O}}(\exp \xi) = \int_{\mathcal{O}} e^{2\pi i \langle f, \xi \rangle} df,$$

where

$$\sqrt{j(\xi)} = \prod_{\alpha > 0} \frac{e^{\langle \alpha, \xi \rangle / 2} - e^{-\langle \alpha, \xi \rangle / 2}}{\langle \alpha, \xi \rangle}.$$

$\xi = 0$ :

$$\dim Q(\mathcal{O}) = \text{vol}(\mathcal{O}) = \prod_{\alpha > 0} \frac{\langle \alpha, f \rangle}{\langle \alpha, \rho \rangle},$$

where  $\rho = 1/2$  sum of positive roots. Compare Weyl dimension formula:

$$\dim Q(\mathcal{O}) = \prod_{\alpha > 0} \frac{\langle \alpha, f + \rho \rangle}{\langle \alpha, \rho \rangle}$$

( $\rho$ -shift).

# Index theorem in symplectic geometry

Recall table:

<i>Classical</i>	<i>Quantum</i>
Symplectic manifold $(M, \omega)$	Hilbert space $\mathcal{H} = Q(M)$ (or $\mathbb{P}\mathcal{H}$ )
Observable (function) $f$	skew-adjoint operator $Q(f)$ on $\mathcal{H}$
Poisson bracket $\{f, g\}$	commutator $[Q(f), Q(g)]$
Hamiltonian flow of $f$	1-PS in $U(\mathcal{H})$

Continuation:

Hamiltonian $G$ -action on $M$	unitary representation on $Q(M)$
Moment polytope $\Delta(M)$	highest weights of irreducible components
Symplectic cross-section $\Phi^{-1}(\mathfrak{t}_+^*)$	highest-weight spaces
Symplectic quotients $\Phi^{-1}(\mathcal{O})/G$	isotypical components $\text{Hom}(Q(\mathcal{O}), Q(M))^G$

**Lemma.**  $\ker d\Phi_m = T_m(Gm)^\omega$ , where  $Gm = G$ -orbit through  $m$ .

$\text{im } d\Phi_m = \mathfrak{g}_m^0$ , where  $\mathfrak{g}_m = \{\xi : (\xi_M)_m = 0\}$ .

Hence: if  $f \in \mathfrak{g}^*$  is regular value of  $\Phi$ ,  $G_f$  acts locally freely on  $\Phi^{-1}(f)$ .

**Theorem** (Meyer, Marsden-Weinstein). *If  $f$  is regular value of  $\Phi$ , null-foliation of  $\omega|_{\Phi^{-1}(f)}$  is equal to  $G$ -orbits of  $G_f$ -action. Hence the quotient  $M_f = \Phi^{-1}(f)/G_f = \Phi^{-1}(\mathcal{O}_f)/G$  is a symplectic orbifold.*

**Conjecture** (Guillemin-Sternberg, “[ $Q, R$ ] = 0”).

$$Q(M_0) = Q(M)^G.$$

(This implies  $Q(M_{\mathcal{O}}) = \text{Hom}(Q(\mathcal{O}), Q(M))^G$ .)

Proved by Guillemin-Sternberg in Kähler case using geometric invariant theory.

In compact case can make life easier by changing definition of  $Q(M)$ : regard prequantum bundle  $L$  as element of  $K_G(M)$ . Let  $\pi: M \rightarrow \bullet$  be map to a point. Define

$$Q(M) = \pi_*([L]),$$

regarded as element of  $K_G(\bullet) = \text{Rep}(G)$  (representation ring).

Disadvantages: works only for compact  $M$  and  $G$ ; dimension can be negative; no natural inner product.

Advantages: by and large satisfies Dirac's rules; don't need polarization; can be computed by Atiyah-Segal-Singer Equivariant Index Theorem.

Definition of  $\pi_*$ : choose  $G$ -invariant compatible *almost* complex structure  $J$ . Splitting of de Rham complex  $\Omega^p = \bigoplus_{k+l=p} \Omega^{kl}$ .

Dolbeault operator  $\bar{\partial}$  is  $(0, 1)$ -part of  $d$ .  $\bar{\partial}^2 \neq 0$  unless  $J$  integrable. With coefficients in  $L$ :

$$\bar{\partial}_L = \bar{\partial} \oplus 1 + 1 \otimes \nabla: \Omega^{0l}(L) \rightarrow \Omega^{0,l+1}.$$

Dolbeault-Dirac operator:

$$\not\partial_L = \bar{\partial}_L + \bar{\partial}_L^*: \Omega^{0,\text{even}}(L) \rightarrow \Omega^{0,\text{odd}}.$$

Pushforward of  $L$ :

$$Q(M) = \pi_*([L]) = \ker \not\partial_L - \text{coker } \not\partial_L,$$

a virtual  $G$ -representation.

$\text{RR}(M, L)$ , the *equivariant index* of  $M$ , is the character of  $Q(M)$ . Note  $\text{RR}(M, L)(0) = \text{index } \not\partial_L$ .

$\text{RR}(M, L)^G$  is by definition  $\int_G \text{RR}(M, L)(g) dg$ , the multiplicity of 0 in  $Q(M)$ .

**Theorem** (Meinrenken, Guillemin, Vergne, . . . ).  
*If 0 regular value of  $\Phi$ ,*

$$\mathrm{RR}(M, L)^G = \mathrm{RR}(M_0, L_0).$$

(See [S] for attributions.)

*Outline of proof for  $G = S^1$  [DGMW]*

Two ingredients:

**Proposition.** *If  $0 \notin \Phi(M)$ , then  $\mathrm{RR}(M, L)^G = 0$ . If 0 is minimum or maximum of  $\Phi$ , then  $\mathrm{RR}(M, L)^G = \mathrm{RR}(M_0, L_0)$ .*

**Theorem** (gluing formula).

$$\begin{aligned} \mathrm{RR}(M_{\leq 0}, L_{\leq 0}) + \mathrm{RR}(M_{\geq 0}, L_{\geq 0}) &= \\ &= \mathrm{RR}(M, L) + \mathrm{RR}(M_0, L_0). \end{aligned}$$

(Cf. gluing formula for topological Euler characteristic.)

Here  $(M_{\leq 0}, \omega_{\leq 0}, \Phi_{\leq 0})$ ,  $(M_{\geq 0}, \omega_{\geq 0}, \Phi_{\geq 0})$  are Hamiltonian  $G$ -manifolds (orbifolds) such that

$$\Phi_{\leq 0}(M_{\leq 0}) = \Phi(M) \cap \mathbb{R}_{\leq 0},$$

$$\Phi_{\geq 0}(M_{\geq 0}) = \Phi(M) \cap \mathbb{R}_{\geq 0},$$

and  $\Phi_{\leq 0}^{-1}(0)$  and  $\Phi_{\geq 0}^{-1}(0)$  are symplectomorphic to  $M_0$ .

By Proposition,

$$\mathrm{RR}(M_{\leq 0}, L_{\leq 0})^G = \mathrm{RR}(M_{\geq 0}, L_{\geq 0})^G = \mathrm{RR}(M_0, L_0).$$

Hence, taking  $G$ -invariants on both sides in gluing formula

$$2 \mathrm{RR}(M_0, L_0) = \mathrm{RR}(M, L)^G + \mathrm{RR}(M_0, L_0),$$

Q.E.D.

Proposition and gluing formula follow from equivariant index theorem.

Definition of  $M_{\leq 0}$  and  $M_{\geq 0}$ : *symplectic cutting* (Lerman). Roughly,  $M_{\geq 0}$  is obtained by taking  $\Phi^{-1}([0, \infty))$  and collapsing  $S^1$ -orbits on boundary  $\Phi^{-1}(0)$ . So  $M_{\geq 0} =$  union of  $M_{>0}$  and  $M_0$ .

$$M_{\geq 0}$$

$$M_0$$

$$M_{\leq 0}$$



Consider diagonal action of  $S^1$  on  $M \times \mathbb{C}$ , which has moment map  $\tilde{\Phi}(m, z) = \Phi(m) - \frac{1}{2}|z|^2$ . Here  $\mathbb{C}$  = is complex line w. standard circle action and symplectic structure. Symplectic cut is symplectic quotient at 0,

$$M_{\geq 0} = (M \times \mathbb{C}) // S^1.$$

(“//” means symplectic quotient at 0.)

Embedding  $\Phi^{-1}(0) \hookrightarrow \tilde{\Phi}^{-1}(0)$  defined by  $m \mapsto (m, 0)$  descends to symplectic embedding  $M_0 \hookrightarrow M_{\geq 0}$ .

$M_{>0} = \Phi^{-1}((0, \infty))$  also embeds symplectically into  $M_{\geq 0}$ : define  $M_{>0} \rightarrow \tilde{\Phi}^{-1}(0)$  by sending  $m$  to  $(m, \sqrt{2\Phi(m)})$ .

## Selected references

A. A. Kirillov, *Elements of the theory of representations*, Grundlehren der mathematischen Wissenschaften, vol. 220, Springer-Verlag, Berlin-Heidelberg-New York, 1976.

\_\_\_\_\_, *Geometric quantization*, Dynamical Systems IV (V. I. Arnol'd and S. P. Novikov, eds.), Encyclopaedia of Mathematical Sciences, vol. 4, Springer-Verlag, Berlin-Heidelberg-New York, 1990, pp. 137–172.

N. M. J. Woodhouse, *Geometric quantization*, second ed., Oxford Univ. Press, Oxford, 1992.

V. Guillemin and S. Sternberg, *Geometric asymptotics*, revised ed., Mathematical Surveys and Monographs, vol. 14, Amer. Math. Soc., Providence, R. I., 1990.

\_\_\_\_\_, *Symplectic techniques in physics*, Cambridge Univ. Press, Cambridge, 1990, second reprint with corrections.

J. J. Duistermaat, V. Guillemin, E. Meinrenken, and S. Wu, *Symplectic reduction and Riemann-Roch for circle actions*, Math. Res. Letters **2** (1995), 259–266.

R. Sjamaar, *Symplectic reduction and Riemann-Roch formulas for multiplicities*, Bull. Amer. Math. Soc. (N.S.) **33** (1996), 327–338.