

The geometry of  $Out(F_n)$   
from Thurston to today and beyond

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Consider automorphisms of free groups, e.g.

$$f(a) = aaB, f(b) = bA$$

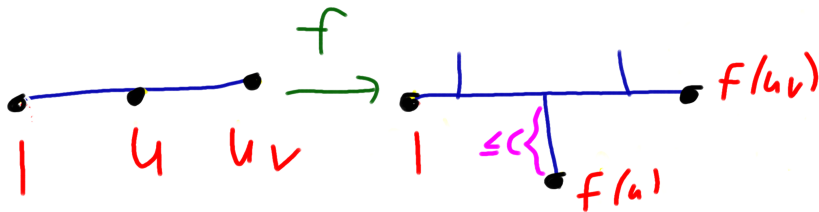
Note that  $a, b, ab$  are reduced words, but  $f(a) \cdot f(b) = aaB \cdot bA$  is not, a word of length 2 cancels.

**Notation:**  $[x]$  is the reduced word equivalent to  $x$ , e.g.  
 $[aaBbA] = a$ .

# Bounded Cancellation Lemma

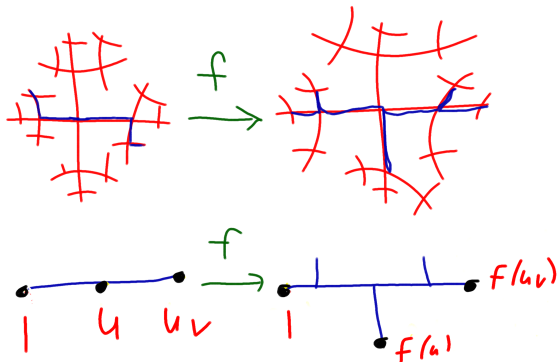
## Theorem (Thurston's Bounded Cancellation Lemma, 1987)

For every automorphism  $f : F_n \rightarrow F_n$  there is a constant  $C = C(f)$  such that: whenever  $u, v, uv$  are reduced words the amount of cancellation in  $[f(u)][f(v)]$  is at most  $C$  letters.



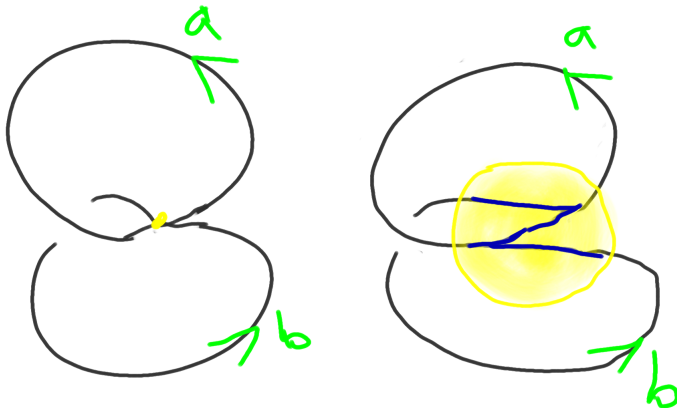
Proof:

1.  $f : F_n \rightarrow F_n$  is a quasi-isometry with respect to the word metric (it is even bilipschitz).
2. Quasi-isometries map geodesics to quasi-geodesics.
3. (Morse stability) Quasi-geodesics in trees (or Gromov hyperbolic spaces) are contained in Hausdorff neighborhoods of geodesics.



## Train tracks

A **train track structure** on a graph  $\Gamma$  is a collection of 2-element subsets of the link of each vertex, called the set of **legal turns**.



## Bill Thurston:

The mental image is that of a railroad switch, or more generally a switchyard, where for each incoming direction there is a set of possible outgoing directions where trains can be diverted without reversing course.





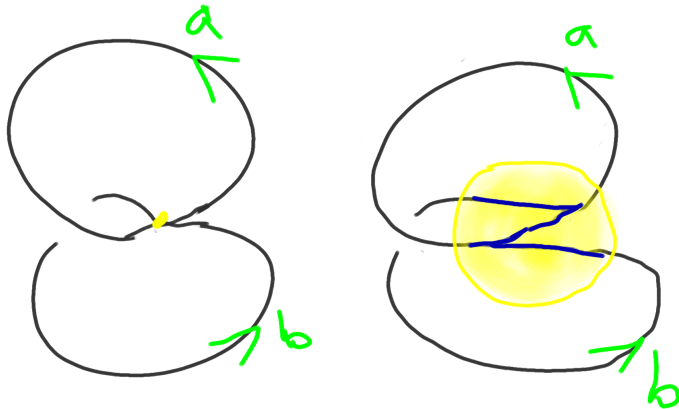
Drawing  
by  
Conan  
Wu

A path on  $\Gamma$  is **legal** if it is a local embedding, and at each vertex it takes a legal turn.

Let  $g : \Gamma \rightarrow \Gamma$  be a cellular map on a finite graph  $\Gamma$ .  $g$  is a **train track map** if it satisfies the following equivalent conditions:

1. For every  $k > 0$  and every edge  $e$ , the path  $f^k(e)$  has no backtracking (i.e. it is locally an embedding).
2. There is a **train track structure** preserved by  $g$ : legal paths are mapped to legal paths. Equivalently, edges are mapped to legal paths and legal turns are mapped to legal turns.





The map  $a \mapsto aaB$ ,  $b \mapsto bA$  is a train track map.

## Theorem (B.-Handel, 1992)

Every *fully irreducible* automorphism can be represented by a train track map.

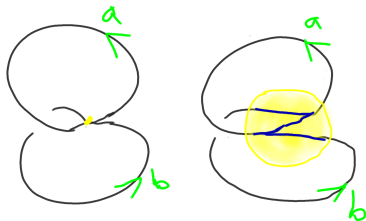
*fully irreducible*: no proper free factor is periodic up to conjugation.

Benefits of train track maps  $g : \Gamma \rightarrow \Gamma$ . Assume  $g$  is **irreducible**, i.e. no homotopically proper  $g$ -invariant subgraphs.

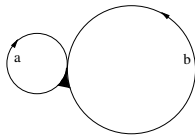
- ▶  $\Gamma$  can be assigned a metric so that  $g$  stretches legal paths by a fixed factor  $\lambda$ , the **dilatation**.
- ▶  $\lambda$  and the metric can be computed from the **transition matrix**.
- ▶  $\lambda$  is the **growth rate** of the automorphism.
- ▶  $\lambda$  is a **weak Perron number**.

$$\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$$

$$a \mapsto aaB, b \mapsto bA.$$



# Train track maps

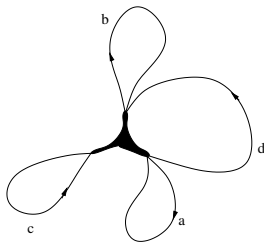


$$a \mapsto ab$$

$$b \mapsto bab$$

$$|a| = 1, |b| = \lambda - 1$$

$$\lambda^2 - 3\lambda + 1 = 0$$



$$a \mapsto b$$

$$b \mapsto c$$

$$c \mapsto dA$$

$$d \mapsto DC$$

$$|a| = 1, |b| = \lambda$$

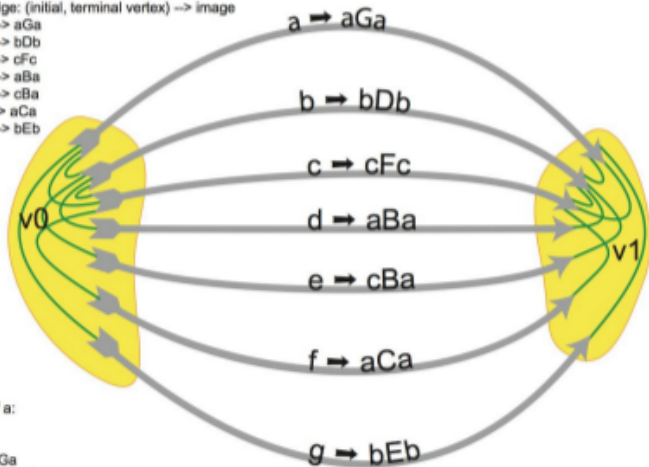
$$|c| = \lambda^2, |d| = \lambda^3 - 1$$

$$\lambda^4 - \lambda^3 - \lambda^2 - \lambda + 1 = 0$$

```

7 // number of edges
2 // number of vertices
// format: edge: (initial, terminal vertex) --> image
a: (v0, v1) --> aGa
b: (v0, v1) --> bDb
c: (v0, v1) --> cFc
d: (v0, v1) --> aBa
e: (v0, v1) --> cBa
f: (v0, v1) --> aCa
g: (v0, v1) --> bEb

```



Iterates of a:

```

a
aGa
aGaBeBaGa
aGaBeBaGaBdBcBaBdBaGaBeBaGa
aGaBeBaGaBdBcBaBdBaGaBeBaGaBdBaBaBdBcFcBdBaGaBdBaBaBdBaGaBeBaGaBdBcBaBdBaGaBeBaGa

```

An automorphism of  $F(6)$  with  $\lambda = 3$ .

## Theorem (Thurston, 2011)

*For every weak Perron number  $\lambda$  there is an irreducible train track map with dilatation  $\lambda$ . (No rank restriction.)*

## Questions (Thurston)

- ▶ Characterize pseudo-Anosov dilatations, no bound on genus. Fried's conjecture.
- ▶  $\lambda(f^{-1})$  is typically different from  $\lambda(f)$  for automorphisms of free groups. Characterize the pairs  $(\lambda(f), \lambda(f^{-1}))$ .

# Mapping tori and 3-manifolds

If  $g : \Gamma \rightarrow \Gamma$  is a homotopy equivalence representing an automorphism  $f : F_n \rightarrow F_n$ , the mapping torus

$$M_g = \Gamma \times [0, 1] / (x, 1) \sim (g(x), 0)$$

has fundamental group

$$F_n \rtimes_f \mathbb{Z}$$

also called the mapping torus of  $f$ .

**Principle:** These are similar to 3-manifolds.



A group is **coherent** if each of its finitely generated subgroups is finitely presented.

Theorem (Scott, 1973)

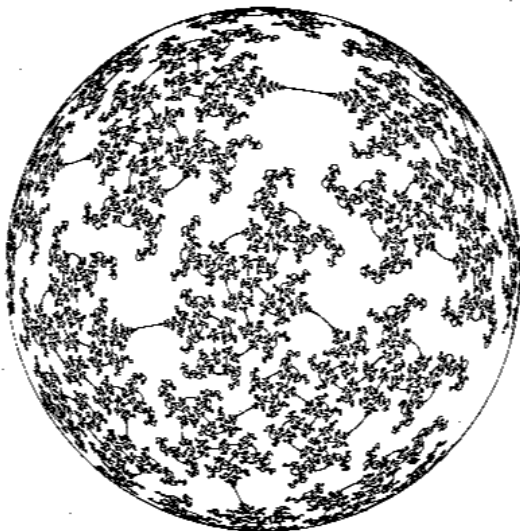
*Every finitely generated 3-manifold group is coherent.*

Theorem (Feighn-Handel, 1999)

*Mapping tori of free group automorphisms are coherent.*

## Theorem (Thurston)

*If  $f : S \rightarrow S$  is a homeomorphism of a surface that does not have periodic isotopy classes of essential scc's, the mapping torus  $M_f$  is a hyperbolic 3-manifold.*



### Theorem (B-Feighn, Brinkmann)

*If  $f : F_n \rightarrow F_n$  does not have any nontrivial periodic conjugacy classes, then  $F_n \rtimes_f \mathbb{Z}$  is a Gromov hyperbolic group.*

### Theorem (Hagen-Wise, 2014)

*If  $F_n \rtimes_f \mathbb{Z}$  is hyperbolic, then it can be cubulated. So by [Agol, Wise] it is linear.*

### Theorem (Bridson-Groves)

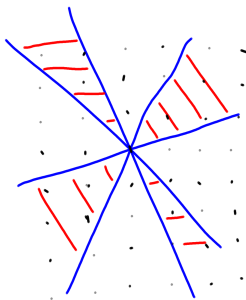
*For any automorphism  $f : F_n \rightarrow F_n$  the mapping torus  $F_n \rtimes_f \mathbb{Z}$  satisfies quadratic isoperimetric inequality.*

## Theorem (Thurston)

*If  $M$  is a hyperbolic 3-manifold, the set of classes in  $H^1(M; \mathbb{Z})$  corresponding to fibrations is the intersection*

$$\mathcal{C} \cap H^1(M; \mathbb{Z})$$

*for a finite collection of polyhedral open cones  $\mathcal{C} \subset H^1(M; \mathbb{R})$ .*



### Theorem (Fried, 1982)

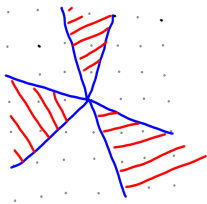
*There is a continuous, homogeneous function of degree  $-1$  defined on  $\mathcal{C}$  that on points of  $H^1(M; \mathbb{Z})$  evaluates to  $\log(\lambda)$ , where  $\lambda$  is dilatation of the monodromy.*

### Theorem (McMullen, 2000)

*There is a (Teichmüller) polynomial  $\Theta \in \mathbb{Z}[H_1(M)]$  so that for every  $\alpha \in \mathcal{C} \cap H^1(M; \mathbb{Z})$ , the house of the specialization  $\Theta^\alpha \in \mathbb{Z}[\mathbb{Z}]$  is the dilatation of the monodromy.*

Theorem (Dowdall-I.Kapovich-Leininger,  
Algom-Kfir-Hironaka-Rafi, 2013-14)

- ▶ Let  $G = F_n \rtimes_f \mathbb{Z}$  be hyperbolic. The set of classes in  $H^1(G; \mathbb{Z})$  corresponding to fibrations  $G = F_N \rtimes_F \mathbb{Z}$  with expanding train track monodromy is the intersection  $\mathcal{C} \cap H^1(G; \mathbb{Z})$  for a collection of open polyhedral cones  $\mathcal{C} \subset H^1(G; \mathbb{R})$ .
- ▶ There is a continuous, homogeneous function of degree  $-1$  that on integral points evaluates to  $\log(\lambda)$ ,  $\lambda$  is the dilatation of the monodromy.
- ▶ There is a polynomial  $\Theta \in \mathbb{Z}[H_1(G)/\text{tor}]$  so that for every  $\alpha \in \mathcal{C} \cap H^1(G; \mathbb{Z})$ , the house of the specialization  $\Theta^\alpha \in \mathbb{Z}[\mathbb{Z}]$  is the dilatation of the monodromy.

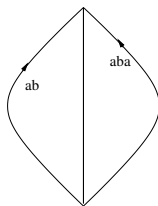
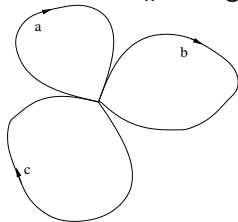


Cf. Bieri-Neumann-Strebel

# Outer space

## Definition

- ▶ graph: finite 1-dimensional cell complex  $\Gamma$ , all vertices have valence  $\geq 3$ .
- ▶ rose  $R = R_n$ : wedge of  $n$  circles.

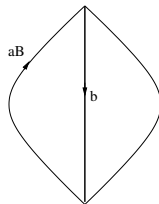
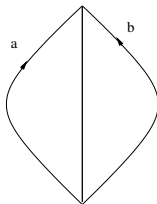
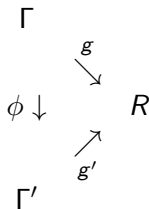


- ▶ marking: homotopy equivalence  $g : \Gamma \rightarrow R$ .
- ▶ metric on  $\Gamma$ : assignment of positive lengths to the edges of  $\Gamma$  so that the sum is 1.

# Outer space

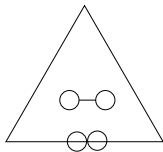
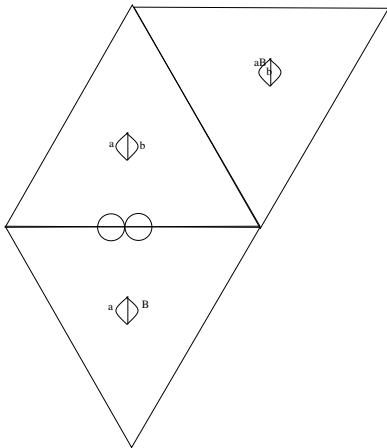
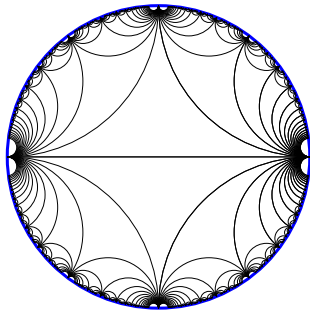
Definition (Culler-Vogtmann, 1986)

**Outer space**  $CV_n$  is the space of **equivalence classes** of marked metric graphs  $(g, \Gamma)$  where  $(g, \Gamma) \sim (g', \Gamma')$  if there is an **isometry**  $\phi : \Gamma \rightarrow \Gamma'$  so that  $g'\phi \simeq g$ .





## Outer space in rank 2



Triangles have to be added to edges along the base.

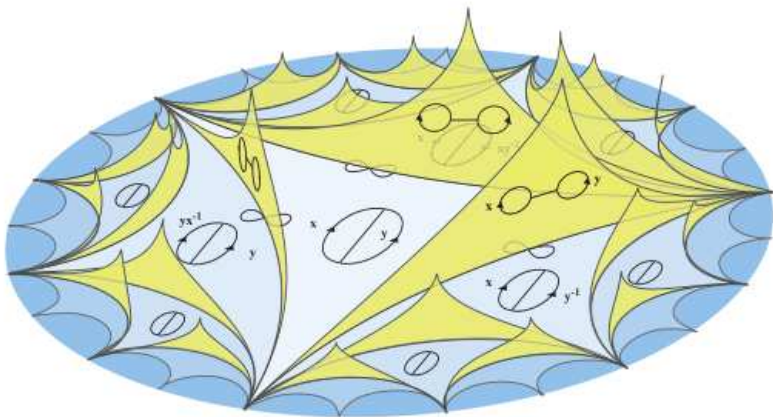


Figure 2: Outer space in rank 2

Picture of rank 2 Outer space by Karen Vogtmann

# contractibility

Theorem (Culler-Vogtmann 1986)

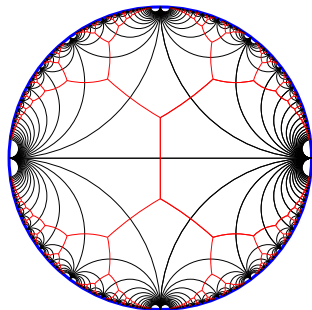
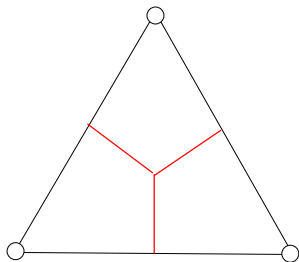
$CV_n$  is contractible.

# Action

If  $\phi \in \text{Out}(F_n)$  let  $f : R \rightarrow R$  be a h.e. with  $\pi_1(f) = \phi$  and define

$$\phi(g, \Gamma) = (fg, \Gamma) \quad \Gamma \xrightarrow{g} R_n \xrightarrow{f} R_n$$

- ▶ action is simplicial,
- ▶ point stabilizers are finite.
- ▶ there are finitely many orbits of simplices (but the quotient is not compact).
- ▶ the action is **cocompact** on the **spine**  $SCV_n \subset CV_n$ .



# Topological properties

Finiteness properties:

- ▶ Virtually finite  $K(G, 1)$  (Culler-Vogtmann 1986).
- ▶  $\text{vcd}(\text{Out}(F_n)) = 2n - 3$  ( $n \geq 2$ ) (Culler-Vogtmann 1986).
- ▶ every finite subgroup fixes a point of  $CV_n$ .

Other properties:

- ▶ every solvable subgroup is finitely generated and virtually abelian (Alibegović 2002)
- ▶ Tits alternative: every subgroup  $H \subset \text{Out}(F_n)$  either contains a free group or is virtually abelian (B-Feighn-Handel, 2000, 2005)
- ▶ Bieri-Eckmann duality (B-Feighn 2000)

$$H^i(G; M) \cong H_{d-i}(G; M \otimes D)$$

- ▶ Homological stability (Hatcher-Vogtmann 2004)

$$H_i(\text{Aut}(F_n)) \cong H_i(\text{Aut}(F_{n+1})) \text{ for } n \gg i$$

- ▶ Computation of stable homology (Galatius, 2011)

# Lipschitz metric on Outer space

Motivated by Thurston's metric on Teichmüller space (1998).

If  $(g, \Gamma), (g', \Gamma') \in CV_n$  consider maps  $f : \Gamma \rightarrow \Gamma'$  so that  $g'f \simeq g$  (such  $f$  is the **difference of markings**).

$$\begin{array}{ccc} \Gamma & & \\ & \searrow g & \\ f \downarrow & & R \\ & \nearrow g' & \\ \Gamma' & & \end{array}$$

Consider only  $f$ 's that are linear on edges.

Arzela-Ascoli  $\Rightarrow \exists f$  that minimizes the largest slope, call it  $\sigma(\Gamma, \Gamma')$ .

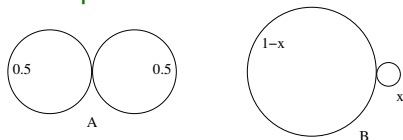
# Lipschitz metric on Outer space

## Definition

$$d(\Gamma, \Gamma') = \log \sigma(\Gamma, \Gamma')$$

- ▶  $d(\Gamma, \Gamma'') \leq d(\Gamma, \Gamma') + d(\Gamma', \Gamma'')$ ,
- ▶  $d(\Gamma, \Gamma') = 0 \iff \Gamma = \Gamma'$ .
- ▶ in general,  $d(\Gamma, \Gamma') \neq d(\Gamma', \Gamma)$ .
- ▶ Geodesic metric.

## Example



$$d(A, B) = \log \frac{1-x}{0.5} \rightarrow \log 2$$

$$d(B, A) = \log \frac{0.5}{x} \rightarrow \infty$$

But [\[Handel-Mosher\]](#) The restriction of  $d$  to the spine is quasi-symmetric, i.e.  $d(\Gamma, \Gamma')/d(\Gamma', \Gamma)$  is uniformly bounded.

# Lipschitz metric on Outer space

## Theorem (Thurston)

*Let  $f : S \rightarrow S'$  be a homotopy equivalence between two closed hyperbolic surfaces that minimizes the Lipschitz constant in its homotopy class. Then there is a geodesic lamination  $\Lambda \subset S$  so that  $f$  is linear along the leaves of  $\Lambda$  with slope equal to the maximum. Moreover,  $f$  can be perturbed so that in the complement of  $\Lambda$  the Lipschitz constant is smaller than maximal.*



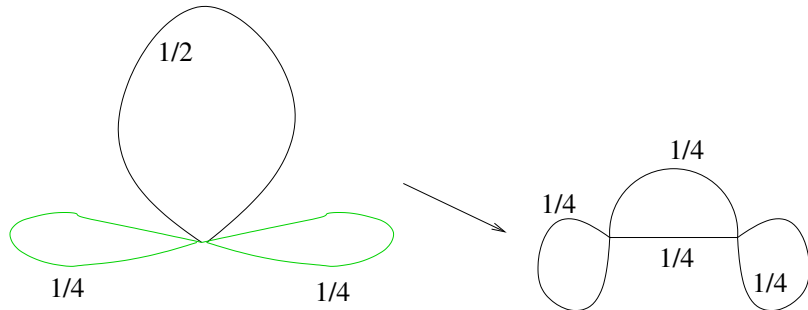
For the optimal map, lines of tension form a geodesic lamination.



# Lipschitz metric on Outer space

## Theorem

Let  $f : \Gamma \rightarrow \Gamma'$  be a homotopy equivalence between two points of  $CV_n$  that minimizes the Lipschitz constant in its homotopy class. Then there is a subgraph  $\Gamma_0 \subset \Gamma$  so that  $f$  is linear along the edges of  $\Gamma_0$  with slope equal to the maximum and  $\Gamma_0$  has a **train track structure** so that legal paths are stretched maximally. Moreover,  $f$  can be perturbed so that in the complement of  $\Gamma_0$  the Lipschitz constant is smaller than maximal.



For the optimal map, lines of tension form a train track.

# Proof of existence of train track maps

## Proof.

(Sketch) Parallel to Bers' proof of Nielsen-Thurston classification.  
Consider

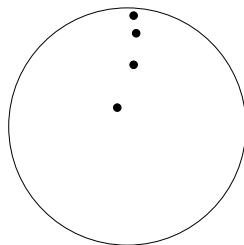
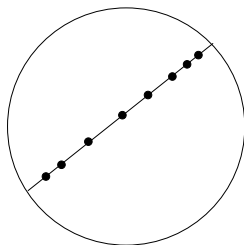
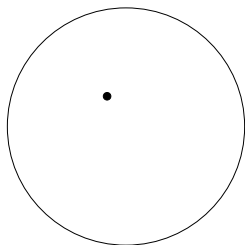
$$\Phi : CV_n \rightarrow [0, \infty)$$

$$\Phi(\Gamma) = d(\Gamma, \phi(\Gamma))$$

There are 3 cases:

- ▶  $\inf \Phi = 0$  and is realized. Then there is  $\Gamma$  with  $\phi(\Gamma) = \Gamma$  so  $\phi$  has finite order.
- ▶  $\inf \Phi > 0$  and is realized, say at  $\Gamma$ . Apply above Theorem to  $\phi : \Gamma \rightarrow \phi(\Gamma)$ . Argue that  $\Gamma_0 = \Gamma$  or else  $\phi$  is reducible. Train-track structure on  $\Gamma_0$  can be promoted to give the theorem.
- ▶  $d = \inf \Phi$  is not realized. Let  $\Gamma_i \in CV_n$  have  $d(\Gamma_i, \phi(\Gamma_i)) \rightarrow d$ . Argue that projections to  $CV_n / Out(F_n)$  leave every compact set. Thus  $\Gamma_i$  has “thin part” which must be invariant, so  $\phi$  is reducible.

# Proof of existence of train track maps

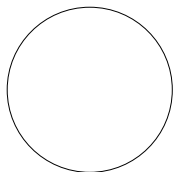


# Axes

Irreducible  $\phi$  has an **axis** with translation length  $\log \lambda$ , where  $\lambda$  is the expansion rate of  $\phi$ .

## Theorem (Yael Algom-Kfir, 2008)

*Axes of fully irreducible elements are **strongly contracting**, i.e. the projection of any ball disjoint from the axis to the axis has uniformly bounded size.*



The analogous theorem in Teichmüller space was proved by Minsky (1996).

## Corollary (Yael Algom-Kfir)

*Axes of fully irreducible elements are Morse.*



# Very recent developments

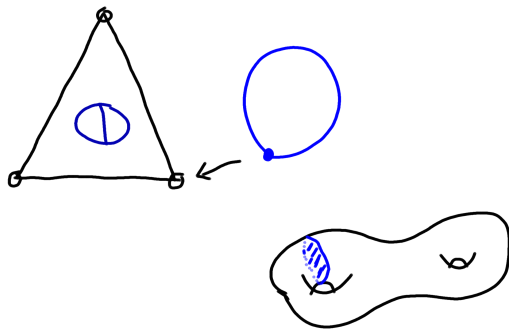
- ▶ Hyperbolicity of associated complexes
- ▶ Boundary
- ▶ Subfactor projections and estimating distances
- ▶ Poisson boundary of  $Out(F_n)$

## Complex of free splittings $\mathcal{S}_n$

Add missing faces to  $CV_n$ . This simplicial complex is  $\mathcal{S}_n$ .

An ideal point represents a graph of groups decomposition of  $F_n$  with trivial edge groups.

Alternate description: complex of spheres in  $M_n = \#_1^n S^1 \times S^2$ .



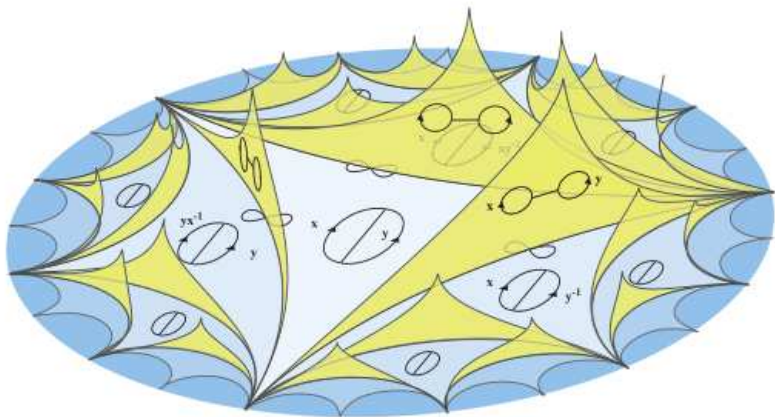
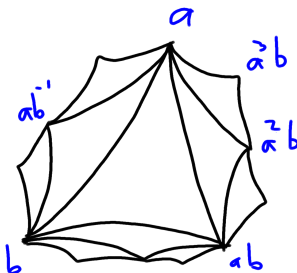


Figure 2: Outer space in rank 2

# Complex of free factors $\mathcal{F}_n$

Analogous to the Bruhat-Tits building for  $GL_n(\mathbb{Z})$ .

- ▶ Vertex: conjugacy class of proper free factors
- ▶ Simplex: Flag, i.e. collection of vertices that become nested after appropriately conjugating.





## Theorem (2011)

*Both  $\mathcal{F}_n$  [B-Feighn] and  $S_n$  [Handel-Mosher] are  $\delta$ -hyperbolic. An automorphism acts hyperbolically on  $\mathcal{F}_n$  iff it is fully irreducible.*

# Hyperbolicity criteria

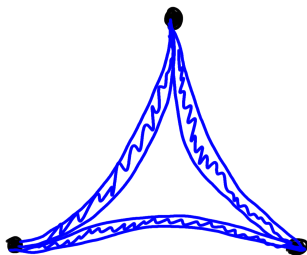
Masur-Minsky,...,Bowditch

Theorem (Masur-Schleimer, Bowditch, 2012)

Let  $X$  be a connected graph,  $h \geq 0$ , and for all  $x, y \in X^{(0)}$  there is a connected subgraph  $L(x, y) \ni x, y$  so that:

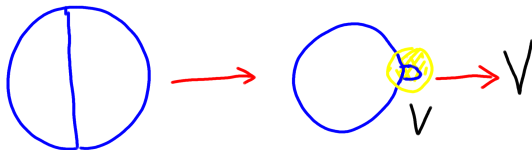
- ▶ (thin triangles) for all  $x, y, z$   $L(x, y) \subseteq N_h(L(x, z) \cup L(z, y))$ ,
- ▶  $d(x, y) \leq 1$  implies  $\text{diam}(L(x, y)) \leq h$ .

Then  $X$  is hyperbolic.



There are coarse maps:

$$CV_n \rightarrow \mathcal{S}_n \rightarrow \mathcal{F}_n$$



Can take  $L(\cdot, \cdot)$  to be images of folding paths [Stallings], or Hatcher's surgery paths (Horbez-Hilion).

# Large scale geometry of $Out(F_n)$

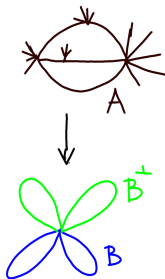
Modeled on the **Masur-Minsky theory** of subsurface projections.

**Goal:** Construct many actions of  $Out(F_n)$  (or a finite index subgroup) on  $\delta$ -hyperbolic spaces.

Here we use splitting complexes – action is freer.

## Theorem (B-Feighn)

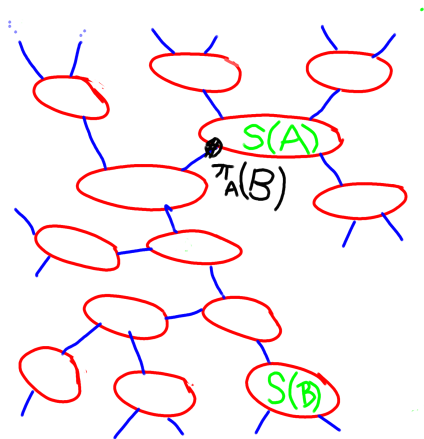
If  $A, B$  are free factors “in general position” then there is a coarsely well defined projection  $\pi_A(B) \in \mathcal{S}(A)$ .



**Taylor:** Version for  $\mathcal{F}(A)$ , sharp notion of “general position”.

# Projection complexes

There is a “projection complex” [B-Bromberg-Fujiwara] that organizes subsurface and subfactor projections into individual hyperbolic spaces.



## Theorem (B-Bromberg-Fujiwara)

*The mapping class group  $\text{Mod}(S)$  acts on a product  $Y_1 \times \cdots \times Y_k$  of hyperbolic spaces so that an orbit map is a QI embedding.*

## Theorem (B-Feighn)

*$\text{Out}(F_n)$  acts on a product  $Y_1 \times \cdots \times Y_k$  of hyperbolic spaces so that every exponentially growing automorphism has positive translation length.*

**Question:** Can a finite index subgroup of  $\text{Out}(F_n)$  act on a  $\delta$ -hyperbolic space so that  $a \mapsto ab, b \mapsto b, \dots$  has positive translation length?

## Boundary of $\mathcal{F}_n$

- ▶ Outer space has a natural compactification  $\overline{CV}_n$  where ideal points are represented by  $F_n - \mathbb{R}$ -trees (Culler-Morgan).
- ▶ Structure of individual  $F_n - \mathbb{R}$ -trees (Coulbois, Hilion, Reynolds)
- ▶ Notion of **arational trees** – these correspond to filling laminations in  $PML$ . Cf. Klarreich.

### Definition

A tree  $T \in \partial CV_n$  is arational if every proper factor  $A < F_n$  acts on  $T$  discretely and freely.

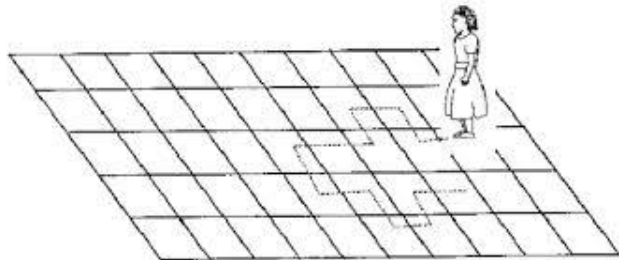
### Theorem (B-Reynolds, Hamenstädt)

*The Gromov boundary of  $\mathcal{F}_n$  can be identified with the subquotient of  $\partial CV_n = \overline{CV}_n - CV_n$ , namely*

$$\{\text{arational trees}\} / \sim$$

*where the equivalence is equivariant homeomorphism. Equivalence classes are simplices.*

# Poisson boundary



Consider a random walk on  $Out(F_n)$ , with measure of finite support generating the whole group.

**Theorem (Horbez, 2014)**

*The hitting measure is supported on the set of arational trees.*

**Theorem (Horbez, 2014)**

$\partial\mathcal{F}_n$  serves as a model of the Poisson boundary of  $Out(F_n)$ .



# Questions about the geometry of $Out(F_n)$

- ▶ Asymptotic dimension  $asdim(Out(F_n)) < \infty$ ?  
 $asdim(\mathcal{S}) < \infty$ ?  $asdim(\mathcal{F}) < \infty$ ?
- ▶ Compute  $rank(Out(F_n))$  (= largest  $N$  so that there is a qi embedding  $R^N \rightarrow Out(F_n)$ ).
- ▶ Asymptotic cone of  $Out(F_n)$ . Is it tree graded? Dimension?
- ▶ QI rigidity?