

3.10. Proposition. The component of the identity  $G_0$  of a Lie group  $G$  is a Lie subgroup with respect to the standard  $C^\infty$ -structure induced on the open submanifold  $G_0$ .

Proof. Obvious by the definition of the  $C^\infty$ -structure of  $G_0$ .

3.11. Definition. Let  $G, G'$  be Lie groups. A mapping  $F: G \rightarrow G'$  is called a Lie homomorphism or simply a homomorphism, if  $F$  is a  $C^\infty$ -map and also a group homomorphism, i.e.  $F(gh) = F(g)F(h)$  for all  $g, h \in G$ . If, in addition,  $F$  is a  $C^\infty$ -diffeomorphism, it is called a Lie isomorphism or an isomorphism.

If  $F: U \rightarrow G'$  is a mapping defined on a neighborhood of the identity in  $G$  with the property that for some neighborhood  $V$  of the identity such that  $V \subset U$  we have  $F(gh) = F(g)F(h)$  for all  $g, h \in V$ , then  $F$  is called a local Lie homomorphism if it is also a  $C^\infty$ -map on the open submanifold  $U$  of  $G$ . It is called a local Lie isomorphism, if it is a  $C^\infty$ -diffeomorphism of  $U$  onto an open neighborhood of the identity in  $G'$ .

3.12 Lemma. Let  $G, G'$  be Lie groups with  $G$  connected. Let  $U$  be a neighborhood of the identity  $e$  in  $G$  and  $F: U \rightarrow G'$  a local Lie homomorphism. Then there exists at most one Lie homomorphism  $\hat{F}: G \rightarrow G'$  which coincides with  $F$  on some neighborhood  $V$  of  $e$ ,  $V \subset U$ .

Proof. Let  $V$  be a neighborhood of the identity in  $G$  such that  $V \subset U$ . Let  $g \in G$ ; since  $V$  generates  $G$ , we can write  $g = g_1 \dots g_n$ , with  $g_i \in V$ .

Proof. Since  $G$  is connected, it is generated by  $V$ . Let  $g \in G$  and  $g = g_1 \dots g_n$ , with  $g_i \in V$ . Then by definition

$$\hat{F}(g) = \hat{F}(g_1) \dots \hat{F}(g_n) = F(g_1) \dots F(g_n)$$

which proves that the value of  $\hat{F}(g)$ , if  $\hat{F}$  exists, is completely determined by the values of  $F$  on  $U$ .

3.13. Lemma. Let  $G, G'$  be Lie groups and  $F: G \rightarrow G'$  a group homomorphism (not necessarily continuous). If  $F$  is a  $C^r$ -map on some neighborhood  $U$  of the identity  $e$  of  $G$ , then  $F$  is a Lie homomorphism.

Proof. Let  $g_0 \in G$  and  $g \in L_{g_0}(U)$ . Then

$$F(g) = F(g_0 g_0^{-1} g) = F(g_0) F(g_0^{-1} g)$$

and the map  $L_{g_0}(U) \xrightarrow{L_{g_0^{-1}}} U \xrightarrow{F} F(U) \xrightarrow{L_{F(g_0)}} F(g_0)F(U)$  is obviously a  $C^r$ -map. Thus  $F$  is a  $C^r$ -map on  $L_{g_0}(U)$ , which is an open set containing  $g_0$ .

3.14. Definition. Let  $X$  be an arcwise connected topological space, i.e. for any pair  $x_1, x_2 \in X$  there is a continuous curve  $\gamma: [0, 1] \rightarrow X$  such that  $\gamma(0) = x_1, \gamma(1) = x_2$ . Then  $X$  is called simply connected if for any pair  $x_1, x_2 \in X$  and any pair of curves  $\gamma, \delta$  with endpoints  $x_1$  and  $x_2$  there exists a homotopy between  $\gamma$  and  $\delta$  keeping the endpoints fixed, i.e. there is a continuous map  $H: [0, 1] \times [0, 1] \rightarrow X$  such that  $H(t, 0) = \gamma(t), H(t, 1) = \delta(t)$  for all  $t \in [0, 1]$  and  $H(0, s) = x_1, H(1, s) = x_2$  for all  $s \in [0, 1]$ . Recall that

homotopy is an equivalence relation and that the homotopy class of a curve  $\sigma$  is the equivalence class  $[\sigma]$  of  $\sigma$  with respect to homotopy in  $X$  with fixed endpoints.

3.15. Theorem. Let  $G, G'$  be Lie groups,  $U$  a neighborhood of the identity in  $G$  and  $F: U \rightarrow G'$  a local Lie homomorphism. If  $G$  is simply connected, then there exists a unique Lie homomorphism  $\hat{F}: G \rightarrow G'$  such that  $F$  and  $\hat{F}$  coincide on some neighborhood of the identity.

Proof. We shall extend  $F$  to an algebraic homomorphism  $\hat{F}: G \rightarrow G'$ . It follows then from 3.12 and 3.13 that  $\hat{F}$  is a  $C^r$ -map and unique.

Let  $V_0$  be a connected neighborhood of the identity  $e$  in  $G$  such that  $V_0 V_0 \subset U$  and  $F(gh) = F(g)F(h)$  for  $g, h \in V_0$ .

Let  $V \subset V_0$  be a connected neighborhood of  $e$  such that  $V^{-1}V \subset V_0$ .

Consider the interval  $[0, 1]$ , a continuous curve  $\sigma: [a, b] \rightarrow G$ , and a partition of  $[a, b]$ :

$$a_0 = a < a_1 < \dots < a_n = b$$

with the property that  $t_1, t_2 \in [a_{i-1}, a_i]$  implies  $[\sigma(t_1)]^{-1}\sigma(t_2) \in V_0$ .

~~The existence of such a partition follows from the compactness of  $[0, 1]$ , the fact that  $[\sigma(t_1)]^{-1}\sigma(t_2) = e$  and the continuity of the group operations.~~

Set  $\sigma_i = [\sigma(a_{i-1})]^{-1}\sigma(a_i) \in V_0$  and define

$$K(\sigma) = F(\sigma_1) \dots F(\sigma_n) \in G'$$

The point  $K(\sigma)$  does not depend on the choice of the partition.

Indeed, for  $a_0 = a < a_1 < \dots < a_{i-1} < c < a_i < \dots < a_n = b$  we get

$$\sigma_i = [\sigma(a_{i-1})]^{-1}\sigma(a_i) = \sigma(a_{i-1})^{-1}\sigma(c)[\sigma(c)]^{-1}\sigma(a_i) = \sigma_i' \sigma_i''$$

and hence  $F(x_i) = F(x_i') F(x_i'')$ . Since any two partitions have a common refinement, the statement is proved.

$K(\sigma)$  has the following properties, which are immediate:

(a) for any  $g_0 \in G$ ,  $K(g_0 \sigma) = K(\sigma)$  (since  $(g_0 h_1)^{-1} (g_0 h_2) = h_1^{-1} h_2$ )

(b) for any two curves  $\sigma_1, \sigma_2$  in  $G$ ,  $K(\sigma_1 * \sigma_2) = K(\sigma_1) K(\sigma_2)$ ,  
where  $\sigma_1 * \sigma_2$  is the curve obtained by traversing first  $\sigma_1$ , then  $\sigma_2$ , with matching point.  
(such that  $\sigma_1(b) = \sigma_2(a)$ )

(c) if  $\text{Im } \sigma \subset V$  then  $K(\sigma) = F(\sigma(a))^{-1} F(\sigma(b))$

(d) if  $\text{Im } \sigma = g_0 \in G$ , then  $K(\sigma) = \text{identity of } G!$

$K(\sigma)$  depends only on the homotopy class of  $\sigma$  (with fixed endpoints). Let  $H: [a, b] \times [0, 1] \rightarrow G$  be a homotopy between  $\sigma_0$  and  $\sigma_1$ . The same argument as before implies the existence of two partitions  $a_0 = a < a_1 < \dots < a_n = b$  and  $0 = \sigma_0 < \sigma_1 < \dots < \sigma_n = 1$  such that  $t_1, t_2 \in [a_{i-1}, a_i]$  and  $s_1, s_2 \in [\sigma_{i-1}, \sigma_i]$  imply  $[H(t_1, s_1)]^{-1} H(t_2, s_2) \in V_0$ . We prove now that for any  $k = 1, 2, \dots, n$ :

$$(3.15.1) \quad F(H_{\sigma_0}(a_0)^{-1} H_{\sigma_0}(a_1)) \dots F(H_{\sigma_0}(a_{k-1})^{-1} H_{\sigma_0}(a_k)) = \\ = F(H_{\sigma_1}(a_0)^{-1} H_{\sigma_1}(a_1)) \dots F(H_{\sigma_1}(a_{k-1})^{-1} H_{\sigma_1}(a_k)) F(H_{\sigma_1}(a_k)^{-1} H_{\sigma_0}(a_k))$$

Indeed, for  $k=1$  obvious since  $H_{\sigma_1}(a_0) = H_{\sigma_0}(a_0)$ . For  $k+1$  we multiply both sides by

$$F(H_{\sigma_0}(a_k)^{-1} H_{\sigma_0}(a_{k+1})) = F[H_{\sigma_0}(a_k)^{-1} H_{\sigma_1}(a_k)] F[H_{\sigma_1}(a_k)^{-1} H_{\sigma_1}(a_{k+1})] \times \\ \times F[H_{\sigma_1}(a_{k+1})^{-1} H_{\sigma_0}(a_{k+1})]$$

where the equality holds since each product in square brackets lies in  $V_0$ . Thus (3.15.1) holds for  $k=n$  and since  $H_{\sigma_0}(a_n) = H_{\sigma_1}(a_n)$ , we have  $K(\sigma_0) = K(H_{\sigma_1})$ . Repeating this reasoning, we obtain

$K(x_0) = K(x_1)$ . Since  $G$  is simply connected, all continuous curves in  $G$  joining two given points are homotopic. Therefore we have a well-defined mapping  $K: G \times G \rightarrow G'$  by setting  $K(g_1, g_2) = K(x)$ , where  $x$  is a curve joining  $g_1$  and  $g_2$ .

Define now  $\hat{F}(g) = K(e, g)$  for any  $g \in G$ .

Then  $g, h \in G$  implies

$$\begin{aligned} \hat{F}(gh) &= K(e, gh) = K(e, g)K(g, gh) = K(e, g)K(e, h) = \\ &= \hat{F}(g)\hat{F}(h). \end{aligned}$$

Finally, for  $g \in V$  there exists a curve joining  $e$  and  $g$  which is contained in  $V$ , since  $V$  is connected and therefore arcwise connected. But then  $\hat{F}(g) = F(e)$ ,  $F(g) = F(g)$ , which proves that  $F$  and  $\hat{F}$  coincide on  $V$ .

3.16. Corollary. Locally isomorphic <sup>simply connected</sup> Lie groups are isomorphic.

Proof. Let  $G, G'$  be Lie groups,  $U, V$  neighborhoods of the identity in  $G, G'$  respectively and  $F: U \rightarrow V$  a local isomorphism. Let  $\hat{F}: G \rightarrow G'$  and  $\hat{F}': G' \rightarrow G$  be the unique extensions of  $F$  and of its inverse. Then for some neighborhood of the identity  $W$  in  $G$  we have  $\hat{F}'(\hat{F}(g)) = g$  for  $g \in W$ . Thus  $\hat{F}' \circ \hat{F}$  coincides with the

identity mapping on  $W$ , hence by uniqueness (lemma 3.12)  $\hat{F}' \circ \hat{F} = id_G$ . Similarly we get  $\hat{F} \circ \hat{F}' = id_{G'}$ .

We have thus shown that a simply connected Lie group is completely determined by its local structure. Our next aim is to show that there is a 1-1 correspondence between the family of classes of locally isomorphic Lie groups and the family of simply connected Lie groups.

3.17. Definition. Let  $X$  be a topological space,  $x_0 \in X$ . A closed curve in  $X$  at  $x_0$  is a continuous mapping  $\gamma: [0, 1] \rightarrow X$  such that  $\gamma(0) = \gamma(1) = x_0$ . The product of two closed curves  $\gamma, \delta$  at  $x_0$  is the curve  $\gamma * \delta$  defined by

$$(\gamma * \delta)(t) = \begin{cases} \gamma(2t) & , 0 \leq t \leq \frac{1}{2} \\ \delta(2t-1) & , \frac{1}{2} \leq t \leq 1 \end{cases}$$

The inverse of  $\gamma$  is the curve  $\gamma^{-1}$  defined by  $\gamma^{-1}(t) = \gamma(1-t)$ ,  $0 \leq t \leq 1$ .

3.18. Lemma. Let  $\gamma$  be a closed curve in a topological space  $X$  at a point  $x_0$  and  $[\gamma]$  be the class of all closed curves at  $x_0$  that are homotopic to  $\gamma$  (with fixed endpoints). Then the equations  $[\gamma] * [\delta] = [\gamma * \delta]$  and  $[\gamma]^{-1} = [\gamma^{-1}]$  define a group structure on the set of all equivalence classes of curves at  $x_0$  with identity  $e = [x_0]$ , where  $[x_0]$  denotes the homotopy class of the constant map.

Proof. Exercise

3.19. Definition. The group defined in 3.18 is denoted by  $\pi_1(X, x_0)$  and called the fundamental group of  $X$  at  $x_0$ .

3.20. Lemma. If  $X$  is arcwise connected, then for any  $x, y \in X$  the groups  $\pi_1(X, x)$  and  $\pi_1(X, y)$  are isomorphic. Moreover,  $X$  is simply connected if and only if  $\pi_1(X, x) = \{e\}$  for each  $x \in X$ .

Proof. Exercise (see verso)

3.21. Lemma. Let  $X, Y$  be topological spaces,  $f: X \rightarrow Y$  a continuous mapping,  $x_0 \in X, y_0 = f(x_0) \in Y$ . Define

$$f_{\#}: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$$

by  $f_{\#}[\alpha] = [f \circ \alpha]$ . Then  $f_{\#}$  is well defined and

is a homomorphism. Moreover, if  $g: Y \rightarrow Z$  is continuous and  $z_0 = g(y_0)$ , then  $(gf)_{\#} = g_{\#} f_{\#}: \pi_1(X, x_0) \rightarrow \pi_1(Z, z_0)$ . Also  $(id_X)_{\#} = id_{\pi_1(X, x_0)}$ .

Proof. Exercise

3.22. Definition. A continuous mapping  $p: Y \rightarrow X$  is called a covering of  $X$  if for each  $x \in X$  there exists a simply connected neighborhood  $U$  of  $x$  such that  $p^{-1}(U) \neq \emptyset$  and the restriction of  $p^{-1}(U)$  to every component of  $p^{-1}(U)$  is a homeomorphism onto  $U$ . The neighborhood  $U$  is called distinguished.

3.23. Remark. It is obvious that  $p^{-1}(x) \neq \emptyset$  for every  $x \in X$ . Moreover, the set  $p^{-1}(x)$  is discrete, since for every  $y \in p^{-1}(x)$  the component of  $p^{-1}(U)$  containing  $y$  is open and does not contain any

other point of  $p^{-1}(x)$ . It is also clear that card  $p^{-1}(x)$  is constant on every component of  $X$ , since it is locally constant (and hence continuous into the discrete set of cardinals).

3.24. Example  $p: \mathbb{R} \rightarrow S^1$  with  $p(y) = e^{2\pi i y}$

3.25. Proposition. Let  $p: Y \rightarrow X$  be a covering and  $\gamma$  a curve in  $X$ ,  $y_0 \in p^{-1}(\gamma(0))$ . There exists a unique curve  $\delta: [0, 1] \rightarrow Y$  such that  $p \circ \delta = \gamma$  and  $\delta(0) = y_0$ .

Proof. (a) Uniqueness: Suppose there is a  $\delta': [0, \tau] \rightarrow Y$  ( $\tau \leq 1$ ) with  $p \circ \delta' = \gamma$  and  $\delta'(0) = y_0$ . Consider the set  $A = \{t \in [0, \tau] \mid \delta(t) = \delta'(t)\}$ . This set is obviously nonempty and closed. To show that it is open in  $[0, \tau]$ , take  $t_1 \in A$  and consider a distinguished neighborhood  $U$  of  $p\delta(t_1) = p\delta'(t_1) = x_1$ . Let  $J$  be an interval containing  $t_1$  such that  $p\delta(t), p\delta'(t) \in U$  for  $t \in J$ . Let  $y_1 = \delta(t_1) = \delta'(t_1)$ . Since  $\text{Im } \delta|_J \cup \text{Im } \delta'|_J$  is connected, it lies in the component of  $p^{-1}(U)$  that contains  $y_1$ . But  $p$  restricted to this component is a homeomorphism onto  $U$ , therefore  $p \circ \delta|_J = p \circ \delta'|_J$  implies  $\delta|_J = \delta'|_J$ . Thus  $A$  is open in  $[0, \tau]$  and hence  $A = [0, \tau]$ .

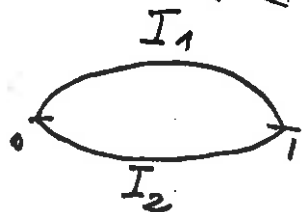
~~(b) Existence: For every  $t \in [0, 1]$ , let  $U_t$  be a distinguished neighborhood of  $\gamma(t) \in X$ . Let  $B$  be the set of points  $t \in [0, 1]$  such that there exists a curve  $\delta_t: [0, t] \rightarrow Y$  with the properties stated in the theorem. It is nonempty and open;  $p$  restricted to the component of  $p^{-1}(U_t)$  containing  $\delta_t(t)$  is a homeomorphism, hence there is an interval  $J_t$  around  $t$  such that  $\delta_t$  can be lifted.~~



① Proof of:  $\pi_1(X, x) = [x] \Rightarrow X$  simply connected.

Let  $\gamma_1, \gamma_2: I \rightarrow X$  be curves,  $\gamma_1(0) = \gamma_2(0) = x$ ,  $\gamma_1(1) = \gamma_2(1)$ .

Let  $S^1$  be the space obtained by taking two copies of  $I = [0, 1]$  and identifying the respective endpoints. Then we have



$$\gamma_1 * \gamma_2^{-1}: S^1 \rightarrow X$$

We show that  $\exists \Gamma: D^2 \rightarrow X$ , where  $D^2$  is the 2-cell, such that

$\Gamma|_{S^1} = \gamma_1 * \gamma_2^{-1}$ . This will imply the statement, since there exists a homotopy  $H: I \times I \rightarrow D^2$  with  $H(t, 0) = (\cos \pi t, \sin \pi t)$  and  $H(t, 1) = (\cos \pi(t+1), \sin \pi(t+1))$ . Taking then  $h = \Gamma \circ H: I \times I \rightarrow X$ , we see that  $h(t, 0) = \gamma_1$ ,  $h(t, 1) = \gamma_2^{-1}$ .

To prove the existence of  $\Gamma$ , consider a homotopy

$F: I \times I \rightarrow X$  between  $\gamma_1 * \gamma_2^{-1}$  and  $x$ , whose existence follows from the hypothesis. The homotopy has fixed endpoints, hence  $F(0, s) = F(1, s) = x$ . Therefore  $F$  induces a homotopy

$\tilde{F}: S^1 \times I \rightarrow X$ . But  $\tilde{F}(S^1 \times \{1\}) = x$ , thus we get

$\Gamma: C(S^1) \rightarrow X$ , where  $C(S^1)$  is the cone over  $S^1$ .

But  $C(S^1)$  is homeomorphic to  $D^2$ .

For every  $t \in [0, 1]$  let  $U_t$  be a distinguished subhd. of  $p^{-1}(x)$ .

(b) Existence: Let  $B$  be the union of all the intervals  $[0, \tau]$ ,  $\tau \leq 1$ , with the property that there exists a  $\delta_\tau: [0, \tau] \rightarrow Y$  satisfying the conditions  $p \circ \delta_\tau = \gamma|_{[0, \tau]}$  for all  $t \in [0, \tau]$  and  $\delta(0) = y_0$ . Obviously  $B$  is nonempty. It is open <sup>in  $[0, 1]$</sup>  since  $\sigma \in B$  implies that there is a component of  $p^{-1}(U_\sigma)$  containing  $\delta_\sigma(\sigma)$ ; let  $\varphi$  be the restriction of  $p$  to this component. Then  $\varphi$  is a homeomorphism onto  $U_\sigma$  and there is an interval  $J_\sigma$  around  $\sigma$  such that  $\text{Im } \gamma|_{J_\sigma} \subset U_\sigma$ . Let  $\rho > \sigma$ ,  $s \in J_\sigma$  and

$$\delta_\rho(t) = \begin{cases} \delta_\sigma(t), & \text{for } 0 \leq t \leq \sigma \\ \varphi^{-1} \gamma(t), & \text{for } \sigma \leq t \leq \rho \end{cases}$$

Then  $\delta_\rho$  satisfies the above conditions and hence  $\rho \in B$ . A similar proof shows that  $B$  is closed, hence  $B = [0, 1]$ .

Finally, uniqueness implies that  $\delta(t) = \delta_\tau(t)$  defines a mapping  $\delta: [0, 1] \rightarrow Y$  with the required properties.

3.26. Proposition. Let  $p: Y \rightarrow X$  be a covering and  $\gamma_1, \gamma_2$  be homotopic curves in  $X$  (with fixed endpoints). Let  $\delta_1, \delta_2$  be lifts of  $\gamma_1, \gamma_2$  respectively, with  $\delta_1(0) = \delta_2(0)$ . Then  $\delta_1$  and  $\delta_2$  are homotopic in  $Y$  (with fixed endpoints).

Proof. Let  $h: [0, 1]^2 \rightarrow X$  be the homotopy connecting  $\gamma_1$  and  $\gamma_2$ . For every  $s \in [0, 1]$  consider the curve  $h_s: [0, 1] \rightarrow X$  and its unique lifting  $H_s: [0, 1] \rightarrow Y$  such that  $H_s(0) = \delta_1(0) = \delta_2(0)$ . Thus we get a mapping  $H: [0, 1]^2 \rightarrow Y$ , which is continuous for the following reason: let  $s_0, t_0 \in [0, 1]$  and  $U$  be a distinguished neighborhood of the point  $h(s_0, t_0)$  in  $X$ . Consider the component  $V$  of  $p^{-1}(U)$  containing the point  $H(t_0, s_0)$  and let  $W \subset V$  be a neighborhood of this point. Then there are intervals  $J_{s_0}, J_{t_0}$  around  $s_0$  and  $t_0$  respectively such that  $h(J_{t_0} \times J_{s_0}) \subset p(W)$ , which is obviously a neighborhood of  $h(s_0, t_0)$ . Consider now the points  $H(t, s)$  for

Let  $B$  denote the set of all pairs  $(t, s) \in [0, 1] \times [0, 1]$  such that  $H$  restricted to  $[0, t] \times [0, s]$  is continuous.

It is nonempty, since  $(0, 0) \in B$ . Indeed, choose a distinguished neighborhood  $U$  of  $\delta_1(0) = \delta_2(0)$  in  $X$  and consider the component  $V$  of the set  $p^{-1}(U)$  that contains the point  $\delta_1(0) = \delta_2(0)$ . Then for  $t, s$  sufficiently close to 0 (i.e. such that  $h(t, s) \in U$ ) we have  $H(t, s) = q^{-1}h(t, s)$ , where  $q$  is the restriction of  $p$  to  $V$ . This follows from the uniqueness property of path-lifting, since for every fixed  $s$ , both sides are continuous liftings of  $h_s$  with common initial point  $\delta_1(0) = \delta_2(0)$ . Thus  $H(t, s)$  is continuous in a neighborhood of  $(0, 0)$ .

A similar argument then shows that  $B$  is open and closed in  $[0, 1] \times [0, 1]$ .

Finally,  $H(1, s) = \delta_1(1)$  for all  $s$ , since the continuous map  $s \mapsto H(1, s)$  is a lifting of the constant mapping  $s \mapsto h(1, s)$  and by uniqueness must be constant.

3.27. Proposition. Let  $p: Y \rightarrow X$  be a covering,  $x_0 \in X$ . If  $Y$  is arcwise connected, then the group  $\pi_1(X, x_0)$  acts transitively on the fiber  $p^{-1}(x_0)$ .

Proof. Let  $y \in p^{-1}(x_0)$  and  $[\gamma] \in \pi_1(X, x_0)$ . Define  $y' = \delta(1)$ , where  $\delta: [0, 1] \rightarrow Y$  is the unique lift of  $\gamma$  with  $\delta(0) = y$ . Obviously  $\delta(1) \in p^{-1}(x_0)$ . Also, by 3.27,  $\delta(1)$  does not depend on the choice of the curve  $\gamma \in [\gamma]$ . It follows from this definition

that for any  $[\alpha_1], [\alpha_2] \in \pi_1(X, x_0)$  and  $y \in p^{-1}(x_0)$ :

$$(y[\alpha_1])[\alpha_2] = y[\alpha_1 * \alpha_2] = y([\alpha_1][\alpha_2]).$$

Finally for  $y_1, y_2 \in p^{-1}(x_0)$  there is a  $[\alpha] \in \pi_1(X, x_0)$  such that  $y_1[\alpha] = y_2$ . It suffices to consider a curve  $\delta$  in  $Y$  going from  $y_1$  to  $y_2$ . Then  $\alpha = p\delta$  is a closed curve at  $x_0$  and satisfies the above equality.

3.28. Theorem. Let  $p: Y \rightarrow X$  be a covering, with  $Y$  arcwise connected and  $X$  simply connected. Then  $p$  is a homeomorphism.

Proof. Since  $\pi_1(X, x_0)$  is trivial for every  $x_0 \in X$ , and since it acts transitively on  $p^{-1}(x_0)$ , we have  $y_1[\alpha] = y_2$  for every  $y_1, y_2 \in p^{-1}(x_0)$ , i.e.  $y_1 = y_2$ . Thus  $p^{-1}(x_0)$  contains exactly one point, hence  $p$  is a homeomorphism by the definition of a covering.

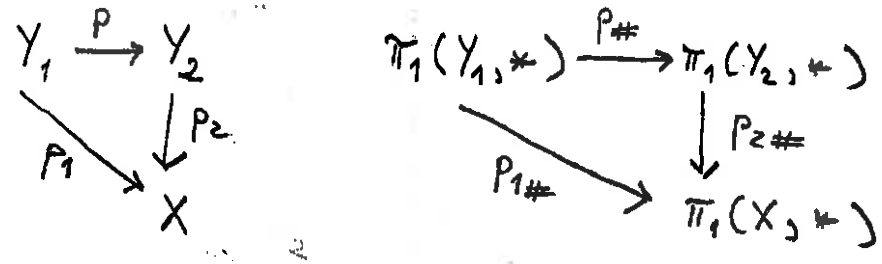
3.29. Lemma. Let  $p: Y \rightarrow X$  be a covering,  $y_0 \in Y$ ,  $x_0 = p(y_0)$ . Then  $p_\# : \pi_1(Y, y_0) \rightarrow \pi_1(X, x_0)$  is a monomorphism.

Proof. Let  $p_\#[\delta_1] = p_\#[\delta_2]$  for  $[\delta_1], [\delta_2] \in \pi_1(Y, y_0)$ . Then  $[p\delta_1] = [p\delta_2]$  and hence by 3.26 we get  $[\delta_1] = [\delta_2]$ .

3.30. Proposition. Let  $p: Y \rightarrow X$  be a covering and  $y_0 \in Y$ ,  $x_0 = p(y_0)$ . Then  $\pi_1(Y, y_0)$  is isomorphic to the subgroup of  $\pi_1(X, x_0)$  defined by  $H = \{[\alpha] \in \pi_1(X, x_0) \mid y_0[\alpha] = y_0\}$ .

Proof. If  $[\alpha] \in \text{Im } p_\#$ , then  $[\alpha] = [p\delta]$  with  $\delta \in \pi_1(Y, y_0)$ . But then  $y_0[\alpha] = \delta(1) = y_0$ . Conversely, if  $[\alpha] \in H$ , then the lifting  $\delta$  of  $[\alpha]$  with  $\delta(0) = y_0$  satisfies  $\delta(1) = y_0$ , i.e.  $[\delta] \in \pi_1(Y, y_0)$  and hence  $[\alpha] \in \text{Im } p_\#$ .

3.31. Proposition. Let  $p: Y_1 \rightarrow Y_2$ ,  $p_2: Y_2 \rightarrow X$  be coverings



and  $*$  be the generic symbol for the "base point" in each space. Then  $p_1 = p_2 \circ p$  is a covering and  $\text{Im } p_{1\#} \subset \text{Im } p_{2\#}$ .

Conversely, if  $p_1: Y_1 \rightarrow X$  and  $p_2: Y_2 \rightarrow X$  are coverings such that  $\text{Im } p_{1\#} \subset \text{Im } p_{2\#}$ , then there exists a unique covering  $p: Y_1 \rightarrow Y_2$  with  $p_1 = p_2 \circ p$ .

false

Proof. (1) It is clear from the definition that  $p_1$  is a covering. The second statement follows from 3.21.

(2) Let  $y_1 \in Y_1$  and  $\delta_1$  a curve from  $*$  to  $y_1$ . Then  $\gamma = p_1 \circ \delta_1$  is a curve in  $X$  originating in  $*$  and it can be lifted uniquely to a curve  $\delta_2$  in  $Y_2$ , originating in  $*$ . Set  $\delta_2(1) = p(y_1)$ . To show that  $p(y_1)$  is well defined, assume that  $\delta_1'$  is another curve in  $Y_1$  from  $*$  to  $y_1$ . Then  $[\delta_1 * \delta_1'] \in \pi_1(Y_1, *)$  and  $p_{1\#}[\delta_1 * \delta_1'] \in p_{2\#}[\delta_1 * \delta_1']$ , hence  $[p_1 \delta_1 * p_1 \delta_1']$  leaves the base point of  $Y_2$  invariant. In other words, if  $\delta_2'$  is the unique lifting of  $p_1 \delta_1'$  originating in  $\delta_2(1)$ , then  $(\delta_2 * \delta_2')(1) = * \in Y_2$ . But this means that the inverse  $\delta_2'$  of  $\delta_2$  goes from  $*$  to  $\delta_2(1)$ , i.e.  $\delta_2'(1) = \delta_2(1)$ . It is clear that the mapping  $p: Y_1 \rightarrow Y_2$  satisfies  $p_1 = p_2 \circ p$ .

~~To show that  $p$  is a covering, let  $J_2 \subset Y_2$  and  $V$  be a distinguished neighborhood of  $p_2^{-1}(J_2)$  in  $X$ . Then  $p_2^{-1}(V)$  is a neighborhood of  $J_2$  and its component containing  $J_2$  is simply connected. Consider  $p_1^{-1}(V) \subset Y_1$ . Its components are homeomorphic to  $V$ , hence to  $V$  under  $p_2 \circ p_1$ .~~

To prove the continuity of  $p$ , let  $y_1 \in Y_1$  and  $y_2 = p(y_1) \in Y_2$ . Consider a neighborhood  $V$  of  $y_2$  homeomorphic to a distinguished neighborhood  $U$  of  $p_2(y_2) = x$  in  $X$  (distinguished with respect to the covering  $p_2$ ). By taking  $U$  smaller if necessary, we may assume that it is also distinguished with respect to  $p_1$  and consider the component  $W$  of  $p_1^{-1}(U)$  containing  $y_1$ .

Let  $z \in W$  and  $\zeta = \delta_1 * \alpha$ , where  $\delta_1$  goes from  $*$  to  $y_1$  and  $\alpha$  from  $y_1$  to  $z$ . ~~will project onto  $p_1 \circ \zeta$  from  $*$  to  $p_1(z) \in U$ . Let  $\zeta_2$  be its unique lifting to  $Y_2$ . Then  $p(z) = \zeta_2(1) \in p_2^{-1}(p_1(z))$ . If  $p(z) \notin V$ , then  $p(z)$  would lie in a different component of  $p_2^{-1}(U)$  than  $y_2$ .~~

The existence of  $\alpha$  is guaranteed by the fact that  $W$  is simply connected and hence arcwise connected. The curve  $\zeta$  will project onto  $p_1 \circ \zeta$  which goes from  $*$  to  $p_1(z) \in U$ . Let  $\zeta_2$  be its unique lifting to  $Y_2$  and  $\delta_2$  be the lifting of  $p_1 \circ \delta_1$ . By the uniqueness of the lifting,  $\zeta_2(\frac{1}{2}) = \delta_2(1) = p(y_1) = y_2$ . Therefore  $\zeta_2(1) = p(z)$  lies in the same component of  $p_2^{-1}(U)$  as  $y_2$ , i.e. in  $V$ .

Finally,  $p$  is covering. Consider a point  $y_2 \in Y_2$  and a curve  $\delta_2$  from  $*$  to  $y_2$ . Then  $p_2 \circ \delta_2$  is a curve in  $X$  which admits a lifting to a curve  $\delta_1$  from  $*$  to some point  $y_1 \in Y_1$ . Obviously  $p(y_1) = y_2$  and hence  $p^{-1}(y_2) \neq \emptyset$ . Let now  $V$  be the component of  $p_2^{-1}(U)$  containing  $y_2$ , where  $U$  is a distinguished neighborhood of  $p_2(y_2)$  in  $X$ . Then  $V$  is simply connected. ~~Moreover, let  $W$  be a component of  $p_1^{-1}(U)$ . Then  $p_1|_W = p_2 \circ p$  is a homeomorphism~~

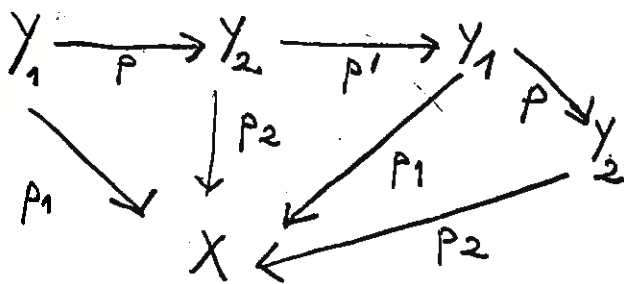
Moreover, ~~onto its image~~, since  $p_1(p^{-1}(V)) = p_2 p(p^{-1}(V)) = p_2(V) = U$ ,  
 $\therefore p^{-1}(V) \subset p_1^{-1}(U)$ . Therefore every component  $W$  of  $p^{-1}(V)$   
 is contained in a component of  $p_1^{-1}(U)$  and hence  $p_1|_W$  is  
 a homeomorphism onto its image. Consequently, for  $z \in W$  we  
 obtain  $p(z) \in V$  and since  $q_2 = p_2|_V$  is a homeomorphism onto  $U$ ,  
 the equality  $p_1(z) = q_2 p(z)$  yields  $q_2^{-1} p_1(z) = p(z)$ ,  
 whence  $p|_W$  is a homeomorphism.

3.32. Theorem. Let  $p_i: Y_i \rightarrow X$  ( $i=1,2$ ) be coverings  
 with  $Y_i$  arcwise connected and  $\text{Im } p_{1\#} = \text{Im } p_{2\#} \subset \pi_1(X, *)$ .

Then there exists a homeomorphism  $p: Y_1 \rightarrow Y_2$  such that

$$p_1 = p_2 \circ p.$$

Proof. By 3.31 we get coverings  $p, p'$  such



that the diagram is everywhere commutative.

Let  $y_1 \in Y_1$  and  $\delta_1$  be a curve going from  $*$  to  $y_1$ . Then  $p_{1\#} \delta_1$  is a curve in  $X$  and

if  $\delta_2$  is its lifting to  $Y_2$  disjuncting in  $*$ , we have  $p(y_1) = \delta_2(1)$ .

To get  $p'p(y_1)$ , we repeat the operation with  $\delta_2$ . But then its projection on  $X$  is  $p_{1\#} \delta_1$  and its lift to  $Y_1$  is obviously  $\delta_1$ .

Therefore  $p'p = \text{id}_{Y_1}$ , a similar argument shows that  $pp' = \text{id}_{Y_2}$ .

3.33, Theorem. Let  $X$  be a locally simply connected arcwise connected Hausdorff space;  $\pi_1(X)$  the abstract group representing the fundamental group of  $X$  and  $H$  a subgroup of  $\pi_1(X)$ . There exists a unique covering  $p: Y \rightarrow X$  of  $X$  by a locally simply connected arcwise connected Hausdorff space  $Y$  whose fundamental group is represented by  $H$ .

Proof. Choose a base point  $*$  in  $X$  and define an equivalence relation on the set of all curves in  $X$  starting at  $*$  as follows: consider the concrete realisation of  $\pi_1(X)$  as  $\pi_1(X, *)$  and denote again by  $H$  its given subgroup. Then two curves  $\alpha_1$  and  $\alpha_2$  starting at  $*$  are equivalent,  $\alpha_1 \sim \alpha_2$ , if they have common endpoint and  $[\alpha_1 * \alpha_2^{-}] \in H$  (observe that if  $H = [*]$ , then this means that  $\alpha_1$  and  $\alpha_2$  are homotopic). Write  $\{\alpha\}$  for the equivalence class of  $\alpha$  under the relation  $\sim$  and denote by  $Y$  the set of all these equivalence classes. Define  $p: Y \rightarrow X$  by  $p(\{\alpha\}) = \alpha(1)$ . Define a topology on  $Y$  as follows. For any  $\{\alpha\} \in Y$  and any simply connected neighborhood  $U$  of  $\alpha(1)$  in  $X$  define the  $U$ -neighborhood of  $\{\alpha\}$  in  $Y$  as the set of all classes  $\{\alpha * \beta\}$ , where  $\beta$  is a curve in  $U$ ,  $\beta(0) = \alpha(1)$ . It is left as an exercise to show that  $Y$  and  $p$  have the required properties.

3.34, Definition. The unique simply connected covering  $\tilde{X}$  of  $X$ , corresponding to  $H = \{e\}$ , is called the universal covering space of  $X$ .



3.35. Proposition. Let  $M^n$  be a <sup>connected</sup>  $C^r$ -manifold,  $r \geq 1$ , and  $p: N \rightarrow M$  a covering of the topological space underlying  $M$ . There exists a standard  $C^r$ -structure on  $N$  of dimension  $n$  such that  $p$  is a  $C^r$ -mapping and  $p_*$  is an immersion.

Proof. Observe first that  $M^n$  is locally simply connected and arcwise connected, therefore it admits a unique covering (up to a homeomorphism) by a locally simply connected arcwise connected Hausdorff space with given fundamental group  $H$ , where  $H$  is any subgroup of  $\pi_1(M^n)$ .

Consider now the covering  $p: N \rightarrow M$  and let  $(U, \varphi)$  be a chart on  $M$ , where  $U$  is distinguished with respect to  $p$ . Let  $V$  be a component of  $p^{-1}(U)$ . Define  $\psi: V \rightarrow \varphi(U) \subset \mathbb{R}^n$  by  $\psi = \varphi \circ p$ . Then  $\psi$  is a homeomorphism. It is obvious that that every point  $y \in N$  is contained in some such  $V$ . Moreover, if  $V_1 \cap V_2 \neq \emptyset$  for  $V_1, V_2$  with the above properties,

then  $\psi_2 \psi_1^{-1} = (\varphi_2 \circ p) \circ (\varphi_1 \circ p)^{-1} = \varphi_2 \varphi_1^{-1}$  on  $\psi_1(V_1 \cap V_2)$ , which shows that  $\psi_2 \psi_1^{-1}$  is a  $C^r$ -mapping. Thus the collection  $\{(V_i, \psi_i)\}$  is a  $C^r$ -atlas. Moreover, for  $z \in \psi(V)$ ,

$\varphi \circ p \circ \psi^{-1}(z) = (\varphi \circ p) \circ (\varphi \circ p)^{-1}(z) = z$ , which shows that  $p$  is a  $C^r$ -mapping. We show now that  $(p_*)_{y}: T_y N \rightarrow T_y M$  is injective for every  $y \in N$ .

Assume  $p_*(\dot{\gamma}) = p_*(\dot{\delta})$ , where  $\dot{\gamma}, \dot{\delta} \in T_y N$ . This means that if  $\gamma \in \dot{\gamma}, \delta \in \dot{\delta}$  are  $C^r$ -curves through  $y$  in  $N$ , then  $D(\varphi \circ p \circ \gamma)(0) = D(\varphi \circ p \circ \delta)(0)$  for some chart  $(U, \varphi)$  at  $p(y)$ . Obviously  $\gamma$  and  $\delta$  lie in the same component of  $p^{-1}(U)$  and  $U$  may be chosen distinguished. Therefore we get  $D(\psi \circ \gamma)(0) = D(\psi \circ \delta)(0)$  which is equivalent to  $\dot{\gamma} = \dot{\delta}$ .

3.36. Remark. It is clear that 3.35 holds also for non-connected manifolds. Indeed, in this case the components of the manifold are open subsets, hence submanifolds and the above construction can be performed for each of them, yielding a non-connected covering of the entire manifold.

3.37. Remark. It is an immediate consequence of the inverse mapping theorem that the mapping  $p$  in 3.35 is a local  $C^2$ -diffeomorphism. However, the above proof shows directly that for every component  $V$  of  $p^{-1}(U)$ , where  $U$  is a distinguished chart, the mapping  $p|_V$  is a  $C^2$ -diffeomorphism onto  $U$ .

3.38. Proposition. Let  $G$  be a Lie group and  $e$  its identity element. The fundamental group  $\pi_1(G, e)$  is abelian and its multiplication is induced by the multiplication in  $G$ .

Proof. We show that if  $[\gamma], [\delta] \in \pi_1(G, e)$ , then  $[\gamma] * [\delta] = [\delta] * [\gamma] = [\gamma\delta]$ , where  $(\gamma\delta)(t) = \gamma(t)\delta(t)$  for all  $0 \leq t \leq 1$ . Obviously  $\gamma\delta$  is a closed curve at  $e$  if  $\gamma$  and  $\delta$  are. Define now  $h, g: [0, 1]^2 \rightarrow G$  by

$$h(t, s) = \begin{cases} \gamma(2t-ts)\delta(st) & \text{for } 0 \leq t \leq \frac{1}{2} \\ \gamma(1-s(1-t))\delta(2t-1+s(1+t)) & \text{for } \frac{1}{2} \leq t \leq 1 \end{cases}$$

$$g(t, s) = \begin{cases} \delta(st)\gamma(2t-ts) & \text{for } 0 \leq t \leq \frac{1}{2} \\ \delta(2t-1+s(1-t))\gamma(1-s(1-t)) & \text{for } \frac{1}{2} \leq t \leq 1 \end{cases}$$

and verify that they are the required homotopies. (Note that for every fixed  $s$ ,  $h(t, s) = \gamma(t')\delta(t'')$ , with  $t' = \tau_0 + s(\tau_1 - \tau_0)$ ,  $t'' = \tau_0 + s(\tau_1 - \tau_0)$ , where  $\tau_0, \tau_1, \tau_0, \tau_1$  are defined by the equalities  $(\gamma * \delta)(t) = \gamma(\tau_0)\delta(\tau_0)$ ,  $(\gamma\delta)(t) = \gamma(\tau_1)\delta(\tau_1)$ ).

3.39. Theorem. Let  $G$  be a connected Lie group. There exists a unique simply connected Lie group  $\tilde{G}$  locally isomorphic to  $G$ . The manifold underlying  $\tilde{G}$  is the universal covering manifold of the manifold  $G$  and the projection  $p: \tilde{G} \rightarrow G$  is a Lie homomorphism. Moreover,  $\text{Ker } p$  is algebraically isomorphic to  $\pi_1(G, e)$  and is a central subgroup of  $\tilde{G}$  (i.e. every element in  $\text{Ker } p$  commutes with every element in  $\tilde{G}$ ).

Proof. The uniqueness of  $\tilde{G}$  follows from 3.16. To prove existence, we introduce a multiplication on  $\tilde{G}$ . Recall that since  $\tilde{G}$  is the universal covering space of  $G$ , its elements are homotopy classes of curves in  $G$  originating at  $e$  and with common endpoint. For  $[\gamma] \in \tilde{G}$ , we have  $p[\gamma] = \gamma(1)$ . Define now  $[\gamma][\delta] = [\gamma\delta]$  for every  $[\gamma], [\delta] \in \tilde{G}$  (note that  $(\gamma\delta)(0) = e$ , while  $(\gamma\delta)(1) = \gamma(1)\delta(1)$ ). This multiplication is well defined, since homotopies  $h, g: [0, 1]^2 \rightarrow G$  between  $\gamma_1, \gamma_2$  and  $\delta_1, \delta_2$  respectively determine a homotopy  $h \cdot g: [0, 1]^2 \rightarrow G$  between  $\gamma_1 \delta_1$  and  $\gamma_2 \delta_2$ . It is also obvious that the multiplication on  $\tilde{G}$  defines a group structure with identity  $[e]$  and inverse  $[\gamma^{-1}]$ , where  $\gamma^{-1}(t) = [\gamma(t)]^{-1}$ .

Let  $[\gamma], [\delta] \in \tilde{G}$ ; then  $p([\gamma][\delta]) = p[\gamma\delta] = (\gamma\delta)(1) = \gamma(1)\delta(1) = p[\gamma]p[\delta]$ . Thus  $p$  is an algebraic homomorphism.

Moreover,  $[\gamma][\delta] = q^{-1}(p[\gamma]p[\delta])$ , where  $q$  denotes the restriction of  $p$  to the "distinguished" neighborhood of  $[\gamma][\delta]$ . Therefore the multiplication in  $\tilde{G}$  is a  $C^r$ -mapping (with  $r = \infty$  or  $r = \omega$ ), also  $[\gamma]^{-1} = q^{-1}p([\gamma]^{-1}) = q^{-1}(p[\gamma]^{-1})$ ; hence inversion in  $\tilde{G}$  is also a  $C^r$ -mapping. Consequently  $\tilde{G}$  is a Lie group and  $p$  is a Lie homomorphism. Obviously  $p$  is also a local Lie isomorphism by 3.37.

Finally, if  $[\gamma_0] \in \text{Ker } p$  and  $[\gamma] \in \tilde{G}$ , then the mapping  $[\gamma] \mapsto [\gamma][\gamma_0][\gamma^{-1}]$  of  $\tilde{G}$  into  $\text{Ker } p$  (the latter is a normal subgroup of  $\tilde{G}$ ) is continuous. But  $\text{Ker } p = p^{-1}(e)$  is a discrete subset of  $\tilde{G}$  (by 3.23), hence this mapping is constant, i.e.  $[\gamma][\gamma_0][\gamma^{-1}] = [e][\gamma_0][e] = [\gamma_0]$  or  $[\gamma][\gamma_0] = [\gamma_0][\gamma]$ . This shows that  $\text{Ker } p$  is a central subgroup.

Finally,  $\text{Ker } p$  and  $\pi_1(G, e)$  are identical as sets, and by 3.38 they are isomorphic as groups (and even as "topological" groups, since  $\text{Ker } p$  is discrete).