# Preliminary Exam  $#1$

# INSTRUCTIONS

- *•* You have 50 minutes.
- The exam is closed book, closed notes, no calculators. However, you are allowed a one-page (front and back) "cheat sheet." If you use such a sheet, submit it with your exam. You are free to apply any result that we covered in class or on the homeworks, unless the problem explicitly tells you to use a certain approach. You do not need to cite the name and number of such results, just be clear on which result you are using.
- Mark your answers ON THE EXAM ITSELF (in particular, no exam books or loose sheets of paper). If you are not sure of your answer, you may wish to provide a *brief* explanation so that we can at least know what you are trying to do. For full credit, be sure to justify your steps.
- *•* Write your name on the top of each page with a problem listed.
- Questions are not given in order of difficulty. Make sure to look ahead if stuck on a particular question.



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## 1. (10 points) Contrapositive and Negation

Consider the statement

" $\forall x \in \mathbf{R}, (x \geq 3) \Rightarrow (x^2 > 5)$ "

The following statements do not require proof, but be absolutely sure of your answer, as no partial credit will be awarded. (Think about the truth tables, if that helps.)

- (a) (5 points) Write the contrapositive of the statement.
- (b) (5 points) Write the negation of the statement.

*Solution.* (a)  $\forall x \in \mathbf{R}, (x^2 \leq 5) \Rightarrow (x < 3)$ 

(b)  $\exists x \in \mathbf{R}$  such that  $x \ge 3$  and  $x^2 \le 5$ .

 $\Box$ 

#### 2. (20 points) Sets and Power Sets.

Given a set  $A$ , let  $P(A)$  denote its powerset.

- (a) (10 points) Let *S* and *T* be sets. Show that  $P(S) \cup P(T) \subseteq P(S \cup T)$ .
- (b) (5 points) Show that it is not always the case that  $P(S) \cup P(T) = P(S \cup T)$ .

(c) (5 points) Is it ever true that  $\mathcal{P}(S) \cup \mathcal{P}(T)$  and  $\mathcal{P}(S \cup T)$  are equal? If so, describe precisely when this occurs. If not, prove that it is impossible.

*Proof.* (a) Let  $U \in \mathcal{P}(S)$ , so  $U \subseteq S$ . Since  $S \subseteq S \cup T$ , we have

$$
U \subseteq S \subseteq S \cup T
$$

and so  $U \subseteq S \cup T$ , that is,  $U \in \mathcal{P}(S \cup T)$ . The proof for  $U \in \mathcal{P}(T)$  is identical.

(b) Consider the case where  $S = \{1\}$  and  $T = \{2\}$ . Then  $\{1,2\} \in \mathcal{P}(S \cup T)$ , but it is not is  $\mathcal{P}(S)$  or  $\mathcal{P}(T)$ .

(c) Yes, there is such a case. We will show that  $P(S) \cup P(T) = P(S \cup T)$  if and only if  $S \supseteq T$  or  $T \subseteq S$ .

We'll prove the "if" direction first. Suppose  $S \subseteq T$ . (The case  $T \subseteq S$  is identical, so we can reduce to the case of  $S \subseteq T$ . This is usually done by saying "We can assume without loss of generality that  $S \subseteq T$ .") Then  $S \cup T = T$  and so

$$
\mathcal{P}(S \cup T) = \mathcal{P}(T) \subseteq \mathcal{P}(S) \cup \mathcal{P}(T).
$$

Combined with part (a), we have  $P(S) \cup P(T) = P(S \cup T)$ .

Conversely, suppose that  $P(S \cup T) \subseteq P(S) \cup P(T)$ . Suppose for the sake of contradiction that  $S \nsubseteq T$  and  $T \nsubseteq S$ . In other words, there exists an  $x \in S$  that is not in *T* and a  $y \in T$  that is not in *S*. Then  $\{x, y\} \in \mathcal{P}(S \cup T)$ , but  $\{x, y\} \notin \mathcal{P}(S)$  and  $\{x, y\} \notin \mathcal{P}(S)$ , which is a contradiction. but  $\{x, y\} \notin \mathcal{P}(S)$  and  $\{x, y\} \notin \mathcal{P}(S)$ , which is a contradiction.

- (a) (10 points) Show that that  $n! < n^{n-1}$  for  $n \geq 3$ .
- (b) (10 points) Show that for any  $n \geq 4$ , we can obtain exactly *n* dollars using only \$2 bills and \$5 bills.

*Proof.* (a) We prove this by induction on  $n \geq 3$ .

*Base case*  $(n = 3)$ *:* We have  $6 = 3! < 3^{3-1} = 3^2 = 9$  by inspection.

*Inductive step:* Assume that our statement holds for *n* and want to show that it holds for  $n + 1$ . By the inductive hypothesis, we have  $n! < n^{n-1}$ . Multiplying both sides by  $(n + 1)$ , we obtain

$$
(n+1)! = n!(n+1) < n^{n-1}(n+1) < (n+1)^{n-1}(n+1) = (n+1)^n
$$

where the last inequality follows from the fact that  $n^{n-1} < (n+1)^{n-1}$  for any  $n > 1$ .

(b) The simplest way to obtain a correct proof of this statement is to use two-step induction (or strong induction) on  $n \geq 4$ . We'll need more than one base case here, as a result.

*Base cases:* We note that  $4 = 2 + 2$  and  $5 = 5$ , and so we can obtain 4 and 5 dollars using only \$2 bills and \$5 bills.

*Inductive step:* Suppose that our statement holds true for  $n-1$  and n, we want to show that it also holds for *n* + 1. By our inductive hypothesis, we can obtain exactly *n* - 1 dollars using only \$2 and \$5 bills. By adding a \$2 bill to this quantity, we obtain *n* + 1 dollars using only \$2 and \$5 bills. a \$2 bill to this quantity, we obtain  $n + 1$  dollars using only \$2 and \$5 bills.

### 4. (20 points) True/False Short Answers about Functions

If True, justify your answer with a proof. If false, give a counterexample (and show why it is indeed a counterexample). (You do not need to salvage false statements.)

- (a) Let  $n \in \mathbb{N}$  and recall that  $[n] = \{1, 2, \ldots, n\}$ . If  $f : \mathbb{N} \to [n]$  is a function, then f is surjective.
- (b) Let  $f: X \to Y$  be a function. If *A* and *B* are subsets of *X* and  $A \cup B = X$ , then  $f(A) \cup f(B) = Y$ .
- (c) If  $f: X \to Y$  is injective, then for any subsets  $A, B \subset X$ , we have  $f(A \cap B) = f(A) \cap f(B)$ .
- (d) (The converse of (c)) Let  $f : X \to Y$  be a function with property that  $f(A \cap B) = f(A) \cap f(B)$  for all subsets  $A, B \subset X$ . Then  $f$  is injective.

*Proof.* (a) False. Consider the constant map  $f(x) = 1$  for all  $x \in \mathbb{N}$ .

(b) False, because *f* is not necessarily surjective. (Consider the constant function as above for a concrete counterexample.)

(c) True. Suppose  $x \in A \cap B$ . Then  $x \in A$  and so  $f(x) \in f(A)$ . Similarly,  $x \in B$  and so  $f(x) \in f(B)$ . Therefore,  $f(A \cap B) \subseteq f(A) \cap f(B)$ .

For the other inclusion, suppose that  $y \in f(A) \cap f(B)$ . Then there exists an  $x_1 \in A$  such that  $f(x_1) = y$  and an  $x_2 \in B$  such that  $f(x_2) = y$ . Since  $f(x_1) = y = f(x_2)$  and *f* is injective, we must have  $x_1 = x_2$  and so  $x_1 \in A \cap B$ . Hence  $y = f(x_1) \in f(A \cap B)$ .

(d) True. Suppose for the sake of contradiction that *f* is not injective. We will construct subsets  $A, B \subseteq X$  such that  $f(A \cap B) \neq f(A) \cap f(B)$ . Since *f* is not injective, there exist points  $x_1 \neq x_2 \in X$  such that  $f(x_1) = f(x_2)$ . Let  $A = \{x_1\}$  and  $B = \{x_2\}$ , so  $A \cap B = \emptyset$ . However,  $f(A) \cap f(B) = \{f(x_1)\} \neq \emptyset$ , giving us a contradiction.<br>Thus, f must be injective. Thus, *f* must be injective.