# Higher Groupoids and Categories

#### Yun Liu

March 7, 2019

The goal of our talk today is to give a description of the categories of fibrant objects and show that higher groupoids and higher categories are examples of categories of fibrant objects.

## 1 Categories of Fibrant Objects

**Definition 1.1.** A category with weak equivalences is a category  $\mathcal{V}$  together with a subcategory  $\mathcal{W}$  which

- contains all isomorphisms of  $\mathcal{V}$ ;
- satisfies two-out-of-three: for any two composable morphisms f, g of V, if two of f, g, g f are in W, then so is the third.

**Definition 1.2.** A category of fibrant objects is a small category  $\mathcal{V}$  with weak equivalences  $\mathcal{W}$  and a subcategory  $\mathcal{F} \subset \mathcal{V}$  of fibrations, satisfying the following axioms. Here, we refer to morphisms which are both fibrations and weak equivalences as trivial fibrations.

- (F1) there is a terminal object e in  $\mathcal{V}$ , and  $(X \to e) \in \mathcal{F}, \forall X \in Ob(\mathcal{V})$ , i.e. any morphism with target e in  $\mathcal{V}$  is a fibration.
- (F2) pullbacks of fibrations are fibrations;
- (F3) pullbacks of trivial fibrations are trivial fibrations;

(F4) every morphism  $f: X \to Y$  has a factorization



where r is a weak equivalence and p is a fibration.

An object X is called **fibrant** if the morphism  $X \to e$  is a fibration. Axiom (F1) states that every object in  $\mathcal{V}$  is fibrant.

Associated to a small category with WE is its simplicial realization  $L(\mathcal{V}, \mathcal{W})$ . This is a category enrich in simplicial sets, with the same object as  $\mathcal{V}$ , which refines the usual localization.

The reason for the importance of categories of fibrant objects is that they allow a simple realization of the simplicial localization  $L(\mathcal{V}, \mathcal{W})$ . Namely, by a theorem of Cisinski [Proposition 3.23], the simplicial Hom-set Hom(X, Y) of morphisms from X to Y in the simplicial localization of a category of fibrant objects is the nerve of the category whose objects are the spans



where f is a trivial fibration and whose morphisms are commuting diagrams



**Lemma 1.1.** The weak equivalences of a category of fibrant objects are determined by the trivial fibrations: a morphism f is a weak equivalence if and only if it factorizes as a composition qs where q is a trivial fibration and s is a section of trivial fibrations.

*Proof.* Let Y be an object of  $\mathcal{V}$ . The diagonal  $Y \to Y \times Y$  has a factorization into a weak equivalence

followed by a fibration

$$Y \xrightarrow{s} PY \xrightarrow{\partial_0 \times \partial_1} Y \times Y$$

The object PY is called a path space of Y.

Since Y is fibrant, the two projection  $p_i: Y \times Y \to Y$  are fibrations since they are pullbacks of the fibration  $Y \longrightarrow e$ 



and it follows that the morphisms  $\partial_i : PY \xrightarrow{\partial_0 \times \partial_1} Y \times Y \xrightarrow{p_i} Y$  are fibrations. Note they are weak equivalences since the compositions

$$Y \xrightarrow{s} PY \xrightarrow{\partial_0 \times \partial_1} Y \times Y \xrightarrow{p_i} Y$$

are identities, thus weak equivalences, and by 2-of-3,  $\partial_i : PY \xrightarrow{\sim} Y$  are trivial fibrations.

Given a morphism  $f: X \to Y$  and the pullback



we see that the projection  $p(f): P(f) \xrightarrow{\sim} X$  is a trivial fibration, with sections  $s(f): X \to P(f)$ induced by  $s: Y \to PY$  and  $f: X \to Y$ . Note s(f) is a weak equivalence by 2-of-3.

We can also express P(f) as a pullback of the upper square



which shows that  $p(f) \times q(f)$  is a fibration. Furthermore,  $p_1 : X \times Y \longrightarrow Y$  is a fibration since X is fibrant and  $p_1$  is the pullback of a fibration, so  $q(f) : P(f) \longrightarrow X \times Y \xrightarrow{p_1} Y$  is the composite of fibrations and thus a fibration.

In this way, we obtain the desired factorization of f:



Remark 1.1. The proof of this lemma show that axiom (F4) is implied by the following special case: (F4') each diagonal morphism  $\Delta : X \to X \times X$  factorizes as



where s is a weak equivalence and q is a fibration.

## 2 Descent category

**Definition 2.1.** (Descent category) A small category  $\mathcal{V}$  of **spaces**, together with a subcategory of **covers**, satisfying

(D1)  $\mathcal{V}$  has finite limits;

(D2) the pullback of a cover is a cover;

(D3) if f is a cover and gf is a cover, then g is a cover.

is called a **descent category**.

#### Example 2.1.

- 1. Topos with epimorphisms as covers;
- 2. category of schemes with surjective étale morphisms/smooth epimorphisms/faithful flat morphisms as covers;
- 3. category of Banach analytic spaces with surjective submersions as covers.

**Definition 2.2.** The kernel pair of a morphism  $f: X \to Y$  in a category with finite limits is the diagram

$$X \times_Y X \xrightarrow{\longrightarrow} X$$

The coequalizer p of the kernel pair of f, if exists, is called the **coimage** of f:

$$X \times_Y X \xrightarrow{p} Z \xrightarrow{i} Y$$

The **image** of f is the morphism  $i: \mathbb{Z} \to \mathbb{Y}$ .

**Definition 2.3.** A morphism  $f: X \to Y$  in a category  $\mathcal{V}$  is an **effective epimorphism** if p equals f, in the sense that i is an isomorphism. In other words, f is the coequalizer of some kernel pair.

One of the reason for the importance of effective epimorphisms is that pullback along an effective epimorphism is conservative, i.e. reflecting isomorphisms.

Definition 2.4. A descent category is subcanonical is covers are effective epimorphisms.

All the categories which we have defined above have this property.

**Definition 2.5.** A category is **regular** if

- it has finite limits;
- kernel pairs have coequalizers;
- the pullback of an effective epimorphism along any morphism is again an effective epimorphism.

A regular category admits a good notion of image factorization, i.e., every morphism factors into an effective epimorphism followed by a monomorphism, and such a factorization is unique up to isomorphism.

Regular epimorphisms are not generally closed under composition. But in a regular category, this is true.

**Proposition 2.1.** In a regular category C, regular epimorphisms and strong epimorphisms (= extremal epimorphisms since C admits pullbacks) coincide; hence regular epimorphisms are closed under composition.

**Definition 2.6.** A regular descent category is a subcanonical descent category together with a subcategory of regular morphisms satisfying the following axioms:

(R1) every cover is regular;

 $(\mathbf{R2})$  the pullback of a regular morphism is regular;

(R3) every regular morphism has a coimage, and its coimage is a cover.

**Lemma 2.1.** Let  $\mathcal{V}$  be a regular descent category, and consider the factorization of a regular morphism  $f : X \to Y$  into a cover  $p : X \to Z$  followed by a morphism  $i : Z \to Y$ , then i is a monomorphism.

Proof. Consider



where all the four squares are pullbacks. Then  $p \times_Y p : X \times_Y X \to Z \times_Y Z$  is the composition of covers, and thus a cover, so it is an effective epimorphism. Note

$$\pi_1 \circ (p \times_Y p) = \pi_2 \circ (p \times_Y p),$$

so  $\pi_1 = \pi_2 : Z \times_Y Z \to Z$ , which implies that *i* is a monomorphism.

## 3 k-groupoids

#### 3.1 Definitions

**Definition 3.1.** A simplicial object in a descent category is called a simplicial space.

A finite simplicial set is a simplicial set having only a finite number of nondegenerate simplicies. Given a simplicial space X and a finite simplicial set T, let Hom (T, X) be the space of simplicial morphisms from T to X. This is a finite limit in  $\mathcal{V}$  and its existence is guaranteed by (D1). In particular, By Yoneda embedding,  $X_n = \text{Hom}(\Delta^n, X)$ .

**Definition 3.2.** Let T be a finite simplicial set and  $i: S \longrightarrow T$  be a simplicial subset. If  $f: X \to Y$  is a morphism of simplicial spaces, define

$$\operatorname{Hom}(i, f) = \operatorname{Hom}(S, X) \times_{\operatorname{Hom}(S, Y)} \operatorname{Hom}(T, Y)$$

give by the pullback

$$\begin{array}{c} \operatorname{Hom}\left(i,f\right) \longrightarrow \operatorname{Hom}\left(T,Y\right) \\ & \downarrow & \downarrow^{i^{*}} \\ \operatorname{Hom}\left(S,X\right) \xrightarrow{f_{*}} \operatorname{Hom}\left(S,Y\right) \end{array}$$

**Definition 3.3.** Let  $n \ge 0$  be a natural number. The **matching space** Hom $(\partial \Delta^n, X)$  of a simplicial space X is the finite limit Hom $(\partial \Delta^n, X)$  which represents the simplicial morphisms from  $\partial \Delta^n$  to X. More generally, the matching space of a simplicial morphism  $f: X \to Y$  is the finite limit

$$\operatorname{Hom}\left(\partial\Delta^{n} \hookrightarrow \Delta^{n}, f\right) = \operatorname{Hom}\left(\partial\Delta^{n}, X\right) \times_{\operatorname{Hom}(\partial\Delta^{n}, Y)} Y_{n}.$$

**Definition 3.4.** A simplicial morphism  $f : X \to Y$  in  $s\mathcal{V}$  is a hypercover if for all  $n \ge 0$  the morphism

$$X_n \longrightarrow \operatorname{Hom} \left( \partial \Delta^n \hookrightarrow \Delta^n, f \right)$$

is a cover.

**Lemma 3.1.** Let T be a finite simplicial set and  $i: S \longrightarrow T$  be a simplicial subset. If  $f: X \to Y$  is a hypercover, then the induced morphism

$$Hom(T, X) \longrightarrow Hom(i, f)$$

is a cover.

*Proof.* There is a filtration of T

$$S = F_{-1}T \subset F_0T \subset \cdots \subset T$$

satisfying the following conditions:

- 1.  $T = \bigcup_l F_l T;$
- 2. there is a weakly monotone sequence  $n_l, l \ge 0$  and maps  $x_l : \Delta^{n_l} \to F_l T$  and  $y_l : \partial \Delta^{n_l} \to F_{l-1} T$ such that the following diagram is a pushout

$$\begin{array}{c} \partial \Delta^{n_l} \xrightarrow{y_l} F_{l-1}T \\ \downarrow & \downarrow \\ \Delta^{n_l} \xrightarrow{x_l} F_lT \end{array}$$

Then the morphism

$$\operatorname{Hom}\left(F_{l}T,X\right) \longrightarrow \operatorname{Hom}\left(F_{l-1}T \hookrightarrow F_{l}T,f\right)$$

is a cover since it is the pullback of the cover  $X_{n_l} \to \operatorname{Hom}(\partial \Delta^{n_l} \hookrightarrow \Delta^{n_l}, f)$ .

#### 3.2 Intuition

**Example 3.1.** Let  $\Lambda_i^n \subseteq \Delta^n$  be the horn which consists of the union of all but the *i*-th face of the *n*-simplex, a simplicial set X is the nerve of a groupoid precisely when the induced morphism

$$X_n \longrightarrow \operatorname{Hom}\left(\Lambda_i^n, X\right)$$

is an isomorphism for n > 1.

**Example 3.2.** Let A be a simplicial abelian group, the associated complex of normalized chains vanishes after degree k if and only if the morphism  $A_n \to \text{Hom}(\Lambda_i^n, A)$  is an isomorphism for n > k.

Motivated by these examples, Duskin defines a k-groupoid to be a simplicial set X such that the morphisms  $X_n \longrightarrow \operatorname{Hom}(\Lambda_i^n, X)$  is surjective for n > 0 and bijective for n > k.

Here, we generalize Duskin's theory of k-groupoids to descent categories.

**Definition 3.5.** Let  $k \in \mathbb{N}$ . A simplicial space X in a descent category  $\mathcal{V}$  is a k-groupoid if for each  $0 \leq i \leq n$ , the morphism

$$X_n \longrightarrow \operatorname{Hom}\left(\Lambda_i^n, X\right)$$

is a cover for n > 0 and an isomorphism for n > k.

Denote by  $s_k \mathcal{V}$  the category of k-groupoids with morphisms the simplicial morphisms of the underlying simplicial spaces. Thus the category  $s_0 \mathcal{V}$  of 0-groupoids is equivalent to  $\mathcal{V}$ , and the category  $s_1 \mathcal{V}$  of 1-groupoids is equivalent to the category of Lie groupoids in  $\mathcal{V}$ , i.e., groupoids such that the source and target maps are covers. (The equivalence is induced by mapping a Lie groupoid to its nerve.)

**Definition 3.6.** A morphism  $f: X \to Y$  of k-groupoids is a **fibration** if the morphism

$$X_n \longrightarrow \operatorname{Hom}\left(\Lambda_i^n \hookrightarrow \Delta^n, f\right)$$

is a cover for  $0 \le i \le n$  and n > 0.

#### **3.3** The category of *k*-groupoids

**Theorem 3.1.** The category of k-groupoids  $s_k \mathcal{V}$  is a category of fibrant objects with fibrations and hypercovers as fibrations and trivial fibrations.

The key of the proof is similar to the idea in the proof of Lemma 3.1.

**Definition 3.7.** Let m > 0. An *m*-expansion  $i : S \hookrightarrow T$  is a map of simplicial such that there exists a filtration

$$S = F_{-1}T \subset F_0T \subset \cdots \subset T$$

satisfying the following conditions:

- 1.  $T = \bigcup_l F_l T;$
- 2. there is a weakly monotone sequence  $n_l, l \ge 0$  and maps  $x_l : \Delta^{n_l} \to F_l T$  and  $y_l : \Lambda_{i_l}^{n_l} \to F_{l-1}T$ such that the following diagram is a pushout



**Lemma 3.2.** If  $S \subset \Delta^n$  is the union of  $0 < m \le n$  faces of  $\Delta^n$ , then the inclusion  $i: S \hookrightarrow \Delta^n$  is an *m*-expansion.

**Lemma 3.3.** Let T be a finite simplicial set and  $i: S \hookrightarrow T$  be an m-expansion.

1. If X is a k-groupoid, the induced morphism

$$Hom(T, X) \longrightarrow Hom(S, X)$$

is a cover, and an isomorphism if m > k.

2. If  $f: X \to Y$  is a fibration of k-groupoids, the induced morphism

$$Hom(T, X) \longrightarrow Hom(i, f)$$

is a cover, and an isomorphism if m > k.

**Corollary 3.1.** If X is a k-groupoid, the face map  $\partial_i : X_n \to X_{n-1}$  is a cover.

**Lemma 3.4.** If  $f: X \to Y$  is a fibration of k-groupoids, then

$$\alpha: X_n \longrightarrow Hom(\Lambda_i^n \hookrightarrow \Delta^n, f)$$

is an isomorphism for n > k.

*Proof.* We have the following commuting diagram in which the square is a pullback



Since  $\beta$  and  $\gamma$  are isomorphisms, so is  $\alpha$ .

**Lemma 3.5.** A hypercover  $f: X \to Y$  of k-groupoids is a fibration.

*Proof.* For n > 0 and  $0 \le i \le n$ , we have the following commutative diagram in which the square is a pullback:



note  $\alpha$  and  $\gamma$  are covers, so  $\beta$  is a cover.

Lemma 3.6. Fibrations and hypercovers are closed under composition.

**Lemma 3.7.** If  $p: X \to Y$  is a hypercover and  $f: Z \to Y$  is a morphism, then the pullback q of p along f is a hypercover.

$$\begin{array}{ccc} X \times_Y Z \longrightarrow X \\ q & & & \\ Q & & & \\ Z \longrightarrow Y \end{array}$$

**Lemma 3.8.** If  $p : X \to Y$  is a fibration of k-groupoids and  $f : Z \to Y$  is a morphism of kgroupoids, then  $X \times_Y Z$  is a k-groupoid and the morphism q in the pullback diagram



is a fibration.

Next, we show that  $s\mathcal{V}$  is a descent category with hypercovers as covers.

**Lemma 3.9.** If  $f : X \to Y$  and  $g : Y \to Z$  are morphisms of simplicial spaces and f, gf are hypercovers, then g is a hypercover.

In order to show that k-groupoids form a category of fibrant objects, we need to construct path spaces.

**Definition 3.8.** Let  $P_n : s\mathcal{V} \to s\mathcal{V}$  be the functor on simplicial spaces such that

$$(P_n X)_m = \operatorname{Hom}\left(\Delta^{m,n}, X\right),\,$$

where  $\Delta^{m,n} = \Delta^m \times \Delta^n$ .

The functor  $P_n$  is the space of maps from  $\Delta^n$  to X. In particular,  $P_0X = X$  and  $PX = P_1X$  is a path space of X.

**Definition 3.9.** A morphism  $f: X \to Y$  of k-groupoids is a **weak equivalence** if the fibration

$$q\left(f\right):P\left(f\right)\to Y$$

is a hypercover, where  $P(f) = X \times_Y P_1 Y$ .

*Remark* 3.1. In case of Kan complexes, this characterization of weak equivalences amounts to the vanishing of the relative simplicial homotopy groups.

**Lemma 3.10.** The simplicial morphism  $P_1X \to X \times X$  is a fibration and the face maps  $P_1X \to X$ are hypercovers. So the factorization axiom holds in  $s_k \mathcal{V}$ .

**Lemma 3.11.** The weak equivalences form a subcategory of  $s_k \mathcal{V}$ .

**Lemma 3.12.** If  $f: X \to Y$  and  $g: Y \to Z$  are morphisms of k-groupoids such that f and gf are weak equivalences, then g is a weak equivalence.

**Lemma 3.13.** A fibration  $f: X \to Y$  is a weak equivalence if and only if it is a hypercover.

*Proof.* In the following commutative diagram the solid arrows are hypercovers

and lemma follows from lemma 3.9.

**Lemma 3.14.** If  $f : X \to Y$  is a fibration and  $g : Y \to Z$  are gf are hypercovers, then f is a hypercover.

**Lemma 3.15.** If  $f: X \to Y$  and  $g: Y \to Z$  are morphisms of k-groupoids such that g, gf are weak equivalences, then f is a weak equivalence.

The following theorem is analogous to Gabriel and Zisman's famous theorem on anodyne extensions.

**Theorem 3.2.** A morphism  $f: X \to Y$  is a weak equivalence if and only if the morphisms

$$Hom\left(\Delta^n \hookrightarrow \Delta^{n+1}, f\right) \longrightarrow Hom\left(\partial \Delta^{n+1} \hookrightarrow \Lambda^{n+1}_{n+1}, f\right)$$

are covers for  $n \geq 0$ .

## 4 *k*-categories

Recall the thick 1-simplex  $\Delta^1$  is the nerve of the groupoid [[1]] with objects  $\{0,1\}$  and a single morphism between any pair of objects.

Let k > 0.

**Definition 4.1.** A k-category in a descent category  $\mathcal{V}$  is a simplicial space X such that

1. if 0 < i < n, the morphisms

$$X_n \longrightarrow \operatorname{Hom}\left(\Lambda_i^n, X\right)$$

is a cover and an isomorphism if n > k.

2. if i = 0, 1, the morphism

$$\operatorname{Hom}\left(\mathbf{\Delta}^{1}, X\right) \longrightarrow \operatorname{Hom}\left(\Lambda_{i}^{1}, X\right) \cong X_{0}$$

is a cover.

**Lemma 4.1.** A k-category X is (k+1)-coskeletal, that is, for any  $n \ge 0$ ,

$$X_n \cong cosk_{k+1}X_n = Hom\left(sk_{k+1}\Delta^n, X\right).$$

**Proposition 4.1.** A k-groupoid is a k-category.

**Definition 4.2.** A quasi-fibration  $f: X \to Y$  of k-categories is a morphism of the underlying simplicial spaces such that

1. if 0 < i < n, the morphisms

$$X_n \longrightarrow \operatorname{Hom} \left( \Lambda_i^n \hookrightarrow \Delta^n, f \right)$$

is a cover;

2. if i = 0, 1, the morphism

$$\operatorname{Hom}\left(\boldsymbol{\Delta}^{1}, X\right) \longrightarrow \operatorname{Hom}\left(\boldsymbol{\Delta}^{0} \hookrightarrow \boldsymbol{\Delta}^{1}, f\right) = X_{0} \times_{Y^{0}} \operatorname{Hom}\left(\boldsymbol{\Delta}^{1}, Y\right)$$

is a cover.

**Theorem 4.1.** We have a functor  $X \mapsto (X)$  from k-categories to k-groupoids which may be interpreted as the k-groupoid of quasi-invertible morphisms in X.

1. If X is a k-category, then the simplicial space

$$(X)_n = Hom\left(\mathbf{\Delta}^n, X\right)$$

is a k-groupoid.

2. If  $f: X \to Y$  is a quasi-fibration of k-categories, then

$$(f):(X)\to(Y)$$

is a fibration of k-groupoids.

3. If  $f: X \to Y$  is a hypercover of k-categories, then

$$(f):(X)\to(Y)$$

is a hypercover of k-groupoids.

**Theorem 4.2.** The category of k-categories is a category of fibrant objects.

## 5 Example: Nerve of DGA

Let A be a differential graded algebra over a field K with  $d: A^{\bullet} \to A^{\bullet+1}$ , which is finite-dimensional in each degree and concentrated in degrees > -k.

The curvature map is the quadratic polynomial

$$\Phi\left(\mu\right) = d\mu + \mu^2 : A^1 \to A^2$$

The Maurer-Cartan locus

$$\mathbf{MC}\left(A\right) = V\left(\Phi\right) \subset A^{1}$$

is the zero locus of  $\Phi$ .

Given  $\mu, \nu \in \mathbf{MC}(A)$ , define a differential  $d_{\mu,\nu}$  on the graded vector space underlying A by

$$a \in A^i \mapsto d_{\mu,\nu}a = da + \mu a - (-1)^i a\nu \in A^{i+1}.$$

Let  $C^{\bullet}(\Delta^n)$  be the differential graded algebra of normalized simplicial cochains on  $\Delta^n$  with coefficients in K.

An element  $a \in C^{\bullet}(\Delta^n) \otimes A^{\bullet}$  corresponds to a collection of elements

$$\left(a_{i_0 \cdots i_k} \in A^{i-k} | 0 \le i_0 < \cdots < i_k \le n\right)$$

where  $a_{i_0\cdots i_k}$  is the evaluation of the cochain a on the face of the simplex  $\Delta^n$  with vertices  $\{i_0, \cdots, i_k\}$ . The differential on the differential graded algebra  $C^{\bullet}(\Delta^n) \otimes A$  is the sum of the simplicial differential on  $C^{\bullet}(\Delta^n) \otimes A$  and the internal differential of A:

$$(\delta a)_{i_0 \cdots i_k} = \sum_{l=0}^k (-1)^l a_{i_0 \cdots \hat{i}_l \cdots i_k} + (-1)^k d(a_{i_0 \cdots i_k})$$

The product of  $C^{\bullet}(\Delta^n) \otimes A$  combines the Alexander-Whitney product on simplicial cochains with the product on A: if a has total degree j, then

$$(a \cup b)_{i_0 \cdots i_k} = \sum_{l=0}^k (-1)^{(j-l)(k-l)} a_{i_0 \cdots i_l} b_{i_l \cdots i_k}.$$

The nerve of a differential graded algebra A is the simplicial scheme  $N_{\bullet}A$  such that  $N_nA$  is the MaurerCartan locus of  $C^{\bullet}(\Delta^n) \otimes A$ :

$$N_n A = \mathbf{MC} \left( C^{\bullet} \left( \Delta^n \right) \otimes A \right)$$

**Theorem 5.1.** Let A be a differential graded algebra such that  $A^i$  is finite-dimensional for  $i \leq 1$ , and vanishes for  $i \leq -k$ . Then  $N_{\bullet}A$  is a (regular) k-category.

# References

- [BG] K. Behrend and E. Getzler. Geometric higher groupoids and categories. arXiv:1508.02069.
- [Du75] J. Duskin, Simplicial methods and the interpretation of "triple" cohomology, Mem. Amer. Math. Soc. 3 (1975), no. issue 2, 163.