

Detour into Functional Analysis

Schwarz Lemma: Let f be holomorphic on $B(0,1)$. If $|f(z)| \leq 1$ for all z and $f(0)=0$, then $|f(z)| \leq |z|$ and $|f'(0)| \leq 1$. $z \neq 0$

Also: if equality holds (either $|f(z)|=|z| \nexists z$ or $|f'(0)|=1$) then $f(z)=cz$ for some c with $|c|=1$.

Proof: Let $r < 1$ and consider the behavior of $f(z)/z$ on $\overline{B(0,r)}$.

By compactness, $f(z)/z$ has a maximum in the disk. By the maximum principle, finds max on boundary $\{z: |z|=r\}$ of $\overline{B(0,r)}$.

So for $|z| \leq r$, so $\frac{|f(z)|}{|z|} \leq \frac{1}{r} \Rightarrow |f(z)| \leq \frac{|z|}{r}$. Let $r \rightarrow 1$, and conclude $|f(z)| \leq |z|$ and $|f'(0)| \leq 1$. \leftarrow from difference quotient.

If f also attains max on interior, then f is constant. So equality holding means that f is just constant anyway. ■

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Recall:

Theorem (Weierstrass): If (f_n) is a sequence of functions, each holomorphic on Ω , and for all compact $E \subseteq \Omega$ $(f_n|_E)$ converges uniformly then $f_n \rightarrow f$ where f is holomorphic

Defn: Let $\Omega \subseteq \mathbb{C}$ be a region. Let \mathcal{F} be a family of continuous functions from Ω to Y . Then \mathcal{F} is normal \iff for every (f_n) , $f_n \in \mathcal{F}$ there is a subsequence which is uniformly convergent on all compact $E \subseteq \Omega$.

Y is some metric space.

Recall: TFAE for a metric space X

- (1) X is compact.
- (2) X is sequentially compact.
- (3) X is complete and totally bounded.

Defn: Let $A \subseteq X$, X a metric space. Then X is pre-compact $\iff \bar{A}$ is compact.

(\iff covered by some compact set)

Easy Fact: A is pre-compact \iff every sequence from A has convergent subsequence.

Claim: If Ω is a region, then there exists an increasing sequence of compact sets $(E_k)_{k \in \mathbb{N}}$ such that

$$(1) \bigcup_{k \in \mathbb{N}} E_k = \Omega$$

(2) Every compact $E \subseteq \Omega$ is a subset of E_k for some k .

Proof: Let $E_k = \{z \in \Omega \mid |z| \leq k \text{ and } B(z, 1/k) \subseteq \Omega\}$

Clearly $E_k \subseteq E_{k+1}$, and clearly E_k is bounded, so enough to show E_k closed.

Show E_k closed by noting that it is $\overline{B(0, k)}$ minus a lot of open sets which are together not bad enough to keep it from being closed.

Hence E_k is compact, and note that $\bigcup_{k \in \mathbb{N}} E_k \subseteq \Omega$, and for any $z \in \Omega$,

since Ω open, there is k s.t. $B(z, 1/k) \subseteq \Omega$, and $|z|$ finite, so $z \in$ some E_k .

Hence $\Omega \subseteq \bigcup_{k \in \mathbb{N}} E_k$, and therefore we find (1). (2) easily follows.

[Cover E by open sets, each in some E_k , use compactness w/ open covers]

Simplifying Assumption Y is a metric space of diameter 1, $d(x, y) \leq 1 \forall x, y \in Y$.

Introduce metric ρ on $C(\Omega, Y) := \{f: \Omega \rightarrow Y \mid f \text{ continuous}\}$, as follows:

Let $f, g \in C(\Omega, Y)$. For each k , let $\delta_k(f, g) = \max\{d_Y(f(x), g(x)) : x \in E_k\}$

$$\rho(f, g) = \sum_{k=0}^{\infty} \frac{\delta_k(f, g)}{2^k}.$$

Fact: $f_n \rightarrow f$ in ρ metric \iff $f_n \rightarrow f$ uniformly on compact $E \subseteq \Omega$.

Proof (\implies): Let $f_n \xrightarrow{\rho} f$. Let $E \subseteq \Omega$ compact. Let $\epsilon > 0$.

Choose k such that $E \subseteq E_k$. Find N such that $\rho(f_n, f) < \epsilon/2^k$ for $n \geq N$.

$$2^{-k} \delta_k(f_n, f) < 2^{-k} \epsilon \text{ for all } n \geq N \implies \max\{d(f_n(x), f(x)) : x \in E_k\} \leq \epsilon \quad \forall n \geq N.$$

continued \rightarrow

Proof: (\Leftarrow) Let $f_n \xrightarrow[\text{converge uniformly}]{\Rightarrow} f$ on compact $E \subseteq \Omega$. Fix $\varepsilon > 0$.

Let K be so large that $\sum_{k=K+1}^{\infty} 2^{-k} < \varepsilon/2$. Then $f_n \xrightarrow{\Rightarrow} f$ on E_K (uniformly),

So for all $k \leq K$, $\delta_k(f_n, f) \leq \delta_K(f_n, f)$. Hence,

$$\begin{aligned} \rho(f_n, f) &= \sum_{k=0}^{\infty} \frac{\delta_k(f_n, f)}{2^k} = \sum_{k=0}^K \frac{\delta_k(f_n, f)}{2^k} + \sum_{k=K+1}^{\infty} \frac{\delta_k(f_n, f)}{2^k} \leq \sum_{k=0}^K \frac{\delta_K(f_n, f)}{2^k} + \sum_{k=K+1}^{\infty} 1/2^k \\ &\leq \delta_K(f_n, f) \frac{(2^{-K-1} - 1)}{(2^{-1} - 1)} + \varepsilon/2. \end{aligned}$$

Choose N so large that $\delta_K(f_n, f) < \frac{\varepsilon/2}{\sum_{k=0}^K 2^{-k}}$, for all $n \geq N$.

Payoff: Normality = Precompactness in ρ -metric.

Defn: A family \mathcal{G} of functions $f: X \rightarrow Y$ is (uniformly) equicontinuous
 \iff for all $\varepsilon > 0$ there is $\delta > 0$ s.t. for all $g \in \mathcal{G}$, $x, x' \in X$
 $d_X(x, x') < \delta \implies d_Y(g(x), g(x')) < \varepsilon$

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Theorem (Arzela-Ascoli): The following are equivalent for a family \mathcal{F} of continuous functions $\Omega \rightarrow Y$, $\Omega \subseteq \mathbb{C}$ a region, Y a metric space:

- (1) \mathcal{F} is normal
- (2) \mathcal{F} is equicontinuous on compact sets, and for all $z \in \Omega$, $\{f(z): f \in \mathcal{F}\}$ is pre-compact.

Proofs

Last time: \mathcal{F} normal $\Rightarrow \mathcal{F}$ equicontinuous on compact sets. To show that $\{f(z): f \in \mathcal{F}\}$ is precompact, it is enough to show any sequence from this set has a convergent subsequence. Given $(f_n(z))$ with $f_n \in \mathcal{F}$, there is an infinite subsequence which converges uniformly on compact sets. $\{z\}$ is compact, so $(1) \Rightarrow (2)$.

(2) \Rightarrow (1): Let $(f_n)_{n \in \mathbb{N}}$, $f_n \in \mathcal{F}$. Let $\Omega_0 = \{a+bi: a+bi \in \Omega, a, b \in \mathbb{Q}\} = \Omega \cap \mathbb{Q}(i)$

Ω_0 is countable and dense in Ω . As Ω_0 is countable, $\{f(z): f \in \mathcal{F}\}$ is precompact, an easy diagonal argument lets us find a subsequence $(f_{n_i})_{i \in \mathbb{N}}$

s.t. $(g_i(z))_{i \in \mathbb{N}}$ converges at each $z \in \Omega_0$, where $f_{n_i} = g_i$.

Let $E \subseteq \Omega$ be compact, and let $\varepsilon > 0$. Fix $\delta > 0$ s.t. $\forall z, z' \in E, |z - z'| < \delta \Rightarrow \forall f \in \mathcal{F}, d(f(z), f(z')) < \varepsilon/3$. Cover E by a finite set of open disks

D_1, \dots, D_k such that radius $(D_i) < \delta/2$ and $\bar{D}_i \subseteq \Omega$. Choose for each i some $z_i \in \Omega_0 \cap D_i$. $(g_n(z_i))_{n \in \mathbb{N}}$ is Cauchy for each i , $1 \leq i \leq k$.

So there is $N \in \mathbb{N}$ s.t. for all i , for all $m, n \geq N$, $d(g_m(z_i), g_n(z_i)) < \varepsilon/3$.

Let $z \in E$ and find i s.t. $z \in D_i$. As $z, z_i \in D_i$, and radius of $D_i < \delta/2$, $|z - z_i| < \delta$.

For $m, n \geq N$

$$d(g_m(z), g_n(z)) \leq d(g_m(z), g_m(z_i)) + d(g_m(z_i), g_n(z_i)) + d(g_n(z_i), g_n(z))$$

$$\leq \varepsilon/3 + \varepsilon/3 + \varepsilon/3 = \varepsilon. \quad \text{So } (g_m(z))_{m \in \mathbb{N}} \text{ is Cauchy. } \longrightarrow$$

$(g_m(z))_{m \in \mathbb{N}}$ is Cauchy inside a compact set (complete + totally bounded).

so $g_m(z) \rightarrow g(z)$ for some g .

AND, $d(g(z), g_N(z)) \leq \varepsilon$ for all $z \in E$. ■

Consider the family of functions \mathcal{F} , each of which is continuous from Ω to \mathbb{C} .
 ~~\mathcal{F} is normal,~~ ^{iff} (by Arzela-Ascoli), \mathcal{F} is equicontinuous on compact sets, furthermore for all $z \in \Omega$, $\{f(z) : f \in \mathcal{F}\}$ is precompact \Rightarrow bounded.

Claim: \mathcal{F} normal $\Leftrightarrow \mathcal{F}$ is both equicontinuous and bounded on all compact $E \subseteq \Omega$.

Proof: (\Rightarrow) ^{Normal}
Let \mathcal{F} be (equicontinuous and bounded at each point)

Fix $E \subseteq \Omega$ compact. Fix δ such that if $z, z' \in E$ and $|z - z'| < \delta \Rightarrow |f(z) - f(z')| < 1$

for all $f \in \mathcal{F}$. Cover E w/ finitely many open disks $B(z_i, \delta/2)$, $1 \leq i \leq k$, and insist $\overline{B(z_i, \delta/2)} \subseteq \Omega$. By hypothesis, for each i , there is M_i s.t. $|f(z_i)| \leq M_i$

for all $f \in \mathcal{F}$. Let $M = \max_i M_i + 1$, then easy to see $|f(z)| \leq M$

for all $z \in E, f \in \mathcal{F}$.

(\Leftarrow) Apply Arzela Ascoli, bounded on all compact $E \subseteq \Omega \Rightarrow$ bounded at each point $\{z\}$. ■

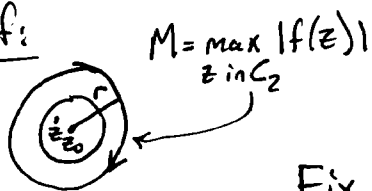
Theorem: If \mathcal{F} is a family of holomorphic functions from Ω to \mathbb{C} , then

\mathcal{F} is normal $\Leftrightarrow \mathcal{F}$ is bounded on each $E \subseteq \Omega$.

Proof: Let $E \subseteq \Omega$ compact, $\varepsilon > 0$. Choose M so that $|f(z)| \leq M$ for all $f \in \mathcal{F}$, $z \in E$. (Unfinished)

Goal: For \mathcal{F} a family of holomorphic functions $f: \Omega \rightarrow \mathbb{C}$, uniform boundedness on compact $\Omega \subseteq E \Rightarrow$ equicontinuous on compact $E \subseteq \Omega$.

Proof:



$$M = \max_{z \in C_2} |f(z)|$$

$$|f(z) - f(z_0)| \leq 4M \frac{|z - z_0|}{r} \quad (*)$$

Fix $\varepsilon > 0$, and finitely many z_k, r_k, M_k s.t. $B(z_k, r_k/4)$ cover E ,

$$\overline{B(z_k, r_k)} \subseteq \Omega, \quad \max_{z: |z - z_k| = r_k} |f(z)| = M_k \quad (\text{for all } f \in \mathcal{F})$$

Let $r = \min r_k > 0$, $M = \max M_k$

Let $\delta < \frac{r}{4}, \frac{\varepsilon r}{M}$, with $\delta > 0$. Let $z, z_0 \in E$ s.t. $|z - z_0| < \delta$. Fix k such that

$$|z - z_k| < \frac{r_k}{4}, \quad \text{so} \quad |z_0 - z_k| \leq |z_0 - z| + |z - z_k| < \frac{r_k}{4} + \frac{r_k}{2} = \frac{r_k}{2}$$

Thus, $z, z_0 \in B(z_k, r_k/2)$. Use the estimate $(*)$:

$$|f(z) - f(z_0)| \leq 4M_k \frac{|z - z_0|}{r_k} \leq \frac{4M \varepsilon r / 4M}{r} = \varepsilon. \quad (\text{All of the above at } \leq \text{ sign})$$

Hurwitz Theorem. Let Ω be a region and $(f_n)_{n \in \mathbb{N}}$ a sequence of holomorphic functions, and (f_n) converges uniformly on compact $E \subseteq \Omega$, say $f_n \rightarrow f$. Suppose that each f_n is non zero throughout Ω . Then either $f = 0$ on Ω or f is never zero in Ω .

Proof: If f is not identically zero on Ω , then zeroes of f are isolated.

Let $z \in \Omega, f(z) = 0$. Let $r > 0$ be small enough so that z is the only zero of f in $\overline{B(z, r)}$. Let C be $\{z: |z - z| = r\} = \partial B(z, r)$. f is non zero on C , and C compact, so $\exists M > 0, |f(z)| \geq M$ on $z \in C$. Easy to see $f'_n \rightarrow f'$ uniformly on compact sets by Weierstrass. Also, $1/f_n \rightarrow 1/f$ uniformly on C . So:

in particular, C

$$\frac{1}{2\pi i} \int_C \frac{f'_n(z)}{f_n(z)} dz \longrightarrow \frac{1}{2\pi i} \int_C \frac{f'(z)}{f(z)} dz$$

#zeros of $f_n = 0$,
by assumption

#zeros of $f \rightarrow f$ has no zeros on Ω .

Riemann Mapping Theorem: Let Ω be simply connected subset of \mathbb{C} .

Find a bijection, holomorphic, $f: \Omega \rightarrow \mathbb{B}(0,1)$, w/ holomorphic inverse.

We'll fix $z_0 \in \Omega$ and find f such that $f(z_0) = 0$, $f'(z_0)$ is positive real.
It turns out there is exactly one such f .

Define a class of functions $\mathcal{F} = \{f: \Omega \rightarrow \mathbb{C} \mid f \text{ holomorphic, injective, } |f(z)| \leq 1 \forall z \in \Omega$
and $f(z_0) = 0, f'(z_0) \in \mathbb{R}, \text{ positive}\}$.

Plan:

- (1) $\mathcal{F} \neq \emptyset$
- (2) There is $f \in \mathcal{F}$ which maximizes $f'(z_0)$
- (3) This f works.

Proof of (1): Let $a \in \mathbb{C}, a \notin \Omega$. Since Ω is simply connected, $z-a \neq 0$ for all $z \in \Omega$, there is ~~the~~ $h: \Omega \rightarrow \mathbb{C}$ such that $h(z)^2 = z-a$, for all $z \in \Omega$. (Choose a square root)

Note that $h(z_1) = h(z_2)$ or $h(z_1) = -h(z_2) \implies z_1 - a = z_2 - a \implies z_1 = z_2$
Injective. Also, not constant. Also if $h(z) = x$, then $h(z) \neq -x$ for any $z \in \Omega$.

So $h(\Omega)$ contains an open ball $\mathbb{B}(h(z_0), \delta)$, $\delta > 0$. Hence $h(\Omega) \cap \mathbb{B}(-h(z_0), \delta) = \emptyset$.
That is, for all $z \in \Omega$, $|h(z) + h(z_0)| \geq \delta$. In particular, $2|h(z_0)| \geq \delta$.

We will produce a nonzero $K \in \mathbb{C}$ such that $f(z) = K \frac{h(z) - h(z_0)}{h(z) + h(z_0)}$ is in \mathcal{F} .

Since h holomorphic, $|h(z) + h(z_0)| \neq 0$, f holomorphic.

Since h injective, f injective.

~~Also~~ Also, f vanishes at z_0 .

Need to show $|f(z)| \leq 1$ for all $z \in \Omega$.

$$|f(z)| = |K| |h(z_0)| \left| \frac{1}{h(z_0)} - \frac{2}{h(z) + h(z_0)} \right| \leq |K| |h(z_0)| \frac{4}{\delta}$$

Choosing K small satisfies $|f(z)| \leq 1$.

So now we need $f'(z_0) \in \mathbb{R}$ and > 0 .

→

Proof of (1) continued:

$$f'(z) = K \left(\frac{h'(z)(h(z)+h(z_0)) - h'(z)(h(z)-h(z_0))}{(h(z)+h(z_0))^2} \right)_{\text{at } z=z_0}$$
$$= \frac{K \cdot 2h'(z_0)h(z_0)}{4h(z_0)^2} = K \frac{h'(z_0)}{2h(z_0)}$$

Since $h(z)^2 = z - a$, $2h(z)h'(z) = 1$, so $h'(z) \neq 0$ throughout Ω .

Can choose K to rotate $\frac{h'(z_0)}{2h(z_0)}$ around in complex plane to lie on \mathbb{R}^+ .

Hence $f \in \mathcal{F}$. ■

~~Let $B = \sup\{f'(z_0) : f \in \mathcal{F}\}$~~

Proof of (2):

Let $B = \sup\{f'(z_0) : f \in \mathcal{F}\}$.

Choose (f_n) such that (a) $f_n'(z_0) \rightarrow B$

(b) f_n converges uniformly on compact subsets of Ω .

Say $f_n \rightarrow f$, $|f(z)| \leq 1$ on all $z \in \Omega$.

Also $f_n' \rightarrow f'$ on compact sets, and in particular $f'(z_0) = B < \infty$.

Also f is injective (by Hurwitz theorem), so $f \in \mathcal{F}$. ■

Proof of (3): By maximum principle, $|f(z)| < 1$ for all $z \in \Omega$; else, it assumes maximum on boundary of Ω , so it is constant throughout Ω , yet f is injective, so this cannot be.

Recall from HW 1:

If $|a| < 1$ ($a \in B(0,1)$) then the map $S_a: z \mapsto \frac{z-a}{1-\bar{a}z}$ is an invertible holomorphic map from $B(0,1)$ to $\Delta = B(0,1)$, with inverse $S_a^{-1} = ?$ who cares.

$$\text{Then } S_a' = \frac{1-|a|^2}{(1-\bar{a}z)^2} = \frac{1-|a|^2}{(1-\bar{a}z)^2} \quad S_a'(a) = \frac{1}{1-|a|^2}.$$

Riemann Mapping Theorem; Proof part (3):

Let $f \in \mathcal{F}$ with $|f'(z_0)| = B$ maximal among $f \in \mathcal{F} = \{f \text{ injective + holomorphic}$

Suppose for contradiction that $\exists a \in \Delta$, $f(z) \neq a$ for all $z \in \Omega$.
 $f(z_0) = 0$, $|f(z)| \leq 1 \forall z$
 $f'(z_0)$ real, positive

Notice that $S_a \circ f$ nonzero on Ω , where $S_a \circ f(z) = \frac{f(z)-a}{1-\bar{a}f(z)}$

We may find G holomorphic on Ω such that $G(z)^2 = S_a \circ f$. Note that

(*) $G(z_0)^2 = S_a(f(z_0)) = S_a(0) = -a$. Now differentiate:

$$(**) \quad 2G(z)G'(z) = f'(z)S_a'(f(z)) = \frac{f'(z)(1-|a|^2)}{(1-\bar{a}f(z))^2} \implies 2G(z_0)G'(z_0) = B(1-|a|^2)$$

f is an injection $\Omega \leftrightarrow \Delta$, $S_a: \Delta \xrightarrow{\cong} \Delta$, so $S_a \circ f$ injective and therefore G is injective as well.

Let $G(z_0) = w_0$, and let $F = S_{w_0} \circ G$. F is holomorphic, injective, $F(z_0) = 0$ and

So compute $F'(z) = G'(z) \frac{1-|w_0|^2}{(1-\bar{w}G(z))^2}$ $|F(z)| \leq 1$ b/c of defn of S_{w_0}, G .

$$\text{At } z=z_0, \quad F'(z_0) = \frac{G'(z_0)}{1-|w_0|^2}$$

By (*) and (**), we see that $2w_0 G'(z_0) = B(1-|a|^2)$

$$w_0^2 = -a \implies |w_0|^2 = |a|.$$

Proof continued:

$$F'(z_0) = \frac{G'(z_0)}{1-|w_0|^2} = \frac{1}{1-|a|} \frac{B(1-|a|^2)}{2w_0} = \frac{B(1+|a|)}{2w_0}$$

$$|F'(z_0)| = \frac{B(1+|w_0|^2)}{2|w_0|} > B$$

Finally forming λF for suitable λ with $|\lambda|=1$, we obtain $\lambda F \in \mathcal{F}$, $\lambda F'(z_0) > B$, contradicts value of B as max value for derivatives of $f \in \mathcal{F}$. So the chosen f must work. ■

Fact: There is a unique biholomorphic $f: \Omega \rightarrow \Delta$ such that $f(z_0)=0$, $f'(z_0)$ real, positive.

Proof: Let f_1, f_2 be such functions and consider $h = f_2 \circ f_1^{-1}: \Delta \rightarrow \Delta$.

h is a holomorphism (isomorphism, biholomorphic) $\Delta \rightarrow \Delta$, $h(0)=0$.

Applying the Schwarz lemma to both h and h^{-1} , as there must be $a \in \Delta$ $|h(a)| = |a|$, there is λ , $|\lambda|=1$ s.t. $h(z) = \lambda z$ for all $z \in \Delta$.

This implies uniqueness using $f'(z_0)$ real, positive. ■

Example: Let $H = \{z = a+bi \in \mathbb{C}, b > 0\}$

Let $g(z) = \frac{z-i}{z+i}$. Then g is an holomorphism from H to Δ .

04/04/14

Periodic Functions:

Let $\omega \in \mathbb{C}$, $\omega \neq 0$. f is periodic with period ω iff $f(z) = f(z + \omega)$ for all z .

E.g. $\exp(2\pi iz/\omega)$

Let f be meromorphic on Ω , where $z \in \Omega \Rightarrow z + \omega, z - \omega \in \Omega$.

Let $\Omega' = \left\{ \frac{z}{\omega} : z \in \Omega \right\}$, Ω' also a region.

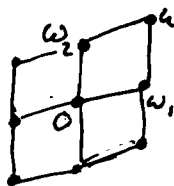
Every f which is meromorphic on Ω which is meromorphic and has period ω is of the form $g \circ \exp(2\pi iz/\omega)$, g meromorphic on Ω' .

Elliptic Functions:

Let $\omega_1, \omega_2 \in \mathbb{C}$, linearly independent over \mathbb{R} . Consider f such that $f(z) = f(z + \omega_1) = f(z + \omega_2)$, f meromorphic on \mathbb{C} .

Fact 1: if f is holomorphic, then f is constant.

Let $\Lambda = \{m\omega_1 + n\omega_2 : m, n \in \mathbb{Z}\} \neq \{0\}$. Algebraically, $\Lambda \cong \mathbb{Z}^2$ as an additive group. Geometrically, Λ is a lattice.

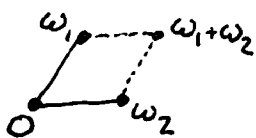


For all $z \in \mathbb{C}$, $\lambda \in \Lambda$, $f(z) = f(z + \lambda)$.

Proof of Fact 1:

For all $z \in \mathbb{C}$, there are $s, t \in \mathbb{R}$ s.t. $s, t \in [0, 1]$, $z - s\omega_1 - t\omega_2 \in \Lambda$.

The elements of \mathbb{C}/Λ (as a group) can be represented by an element in



Let P be the closed parallelogram $\{r\omega_1 + s\omega_2 : 0 \leq r, s \leq 1\}$.

P compact $\Rightarrow f$ bounded on $P \Rightarrow f$ bounded on $\mathbb{C} \xRightarrow{\text{Liouville}} f$ constant. \blacksquare

Weierstrass \mathcal{P} -function:

Let $\omega_1, \omega_2, \Lambda$ be as above. The Weierstrass \mathcal{P} -function is:

$$\mathcal{P}(z) = \frac{1}{z^2} + \sum_{\substack{\lambda \in \Lambda \\ \lambda \neq 0}} \left(\frac{1}{(z-\lambda)^2} - \frac{1}{\lambda^2} \right)$$

As on the homework, \mathcal{P} is meromorphic, poles at $z \in \Lambda$.

Fact: \wp is periodic.

pf: $\wp(z) = -2 \sum_{\lambda \in \Lambda} \frac{1}{(z-\lambda)^3}$. \wp is even and \wp' is odd, by symmetry of Λ .

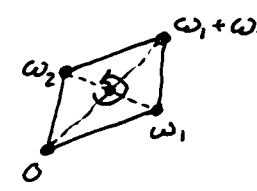
\wp' is periodic with periods ω_1 and ω_2 .

Consider $(\wp(z+\omega_1) - \wp(z))' = \wp'(z+\omega_1) - \wp'(z) = 0 \Rightarrow \wp(z+\omega_1) - \wp(z)$ constant.

$$\wp(\omega_1/2) = \wp(-\omega_1/2) = \wp(\omega_1 - \omega_1/2) \Rightarrow \text{constant} = 0.$$

\uparrow
even

Some reasoning shows \wp is ω_2 -periodic. ■

$\wp(z) = \wp(\omega_1 + \omega_2 - z)$ by periodicity \Rightarrow  rotationally symmetric around the center of the parallelogram.

Facts

The set $\{f: f \text{ meromorphic over } \mathbb{C} \text{ and } \Lambda \text{ periodic}\}$ is a field, and generated over \mathbb{C} by \wp and \wp' ; that is, $\mathbb{C}(\wp, \wp')$ is the field of Λ -periodic meromorphic functions.


In analysing poles + zeros in the parallelogram Ω , identify such poles and zeros if they differ by an element of Λ .

To count zeros + poles, integrate around boundary of parallelogram.

Fact 1: For any f as above, sum of residues at poles of f in Ω is zero.

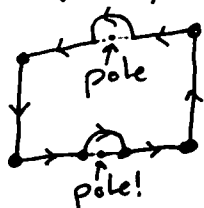
Proof: If f has ^{no} poles on boundary of Ω = parallelogram, integrate

$\int_{\partial\Omega} f$. By periodicity, $\int f + \int f = 0$ and $\int f + \int f = 0$.



Then use Residue Theorem.

If there are poles on the boundary of Ω , either shift whole parallelogram a tiny bit to avoid poles, or integrate avoiding poles by tiny ~~arcs~~ half-poles.



Fact 2: Counting w/ multiplicity and identifying poles and zeros if congruent mod Λ , f has same number of poles and zeros in P .

Proof: Apply ~~Fact 1~~ Fact 1 to f'/f .

Example: For all $c \in \mathbb{C}$, $P(z) - c$ has one pole of order 2 in the parallelogram, so P assumes value c twice in parallelogram.

04/07/14

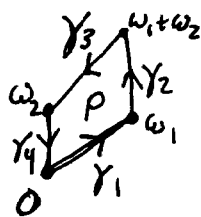
Let $E_\Lambda = \{f: f \text{ meromorphic on } \mathbb{C} \text{ and } f(z) = f(z+\lambda) \forall \lambda \in \Lambda\}$. Let P be the fundamental parallelogram.

Recall: if $f \in E_\Lambda$, then f has the same number of zeros as poles in the fundamental parallelogram.

Fact: If $f \in E_\Lambda$, then $\sum_{P \text{ pole of } f} P - \sum_{z \text{ zero of } f} z \in \Lambda$ (discarding multiplicity, counting mod Λ)

Proof: Assume for simplicity there are no poles/zeros on boundary. Consider

$$\frac{1}{2\pi i} \int_P z \frac{f'(z)}{f(z)} dz$$



$$\frac{1}{2\pi i} \left(\int_{\gamma_1} z \frac{f'(z)}{f(z)} dz + \int_{\gamma_3} z \frac{f'(z)}{f(z)} dz \right) = \frac{-\omega_2}{2\pi i} \int_{\gamma_1} \frac{f'(z)}{f(z)} dz = -\omega_2 \underbrace{(n(\Gamma_1, 0))}_{\text{integer}} \quad \Gamma_1 = f \circ \gamma_1$$

Similarly

$$\frac{1}{2\pi i} \left(\int_{\gamma_2} z \frac{f'(z)}{f(z)} dz + \int_{\gamma_4} z \frac{f'(z)}{f(z)} dz \right) = \frac{-\omega_1}{2\pi i} \int_{\gamma_2} \frac{f'(z)}{f(z)} dz = -\omega_1 \underbrace{(n(\Gamma_2, 0))}_{\text{integer}} \quad \Gamma_2 = f \circ \gamma_2$$

$$\implies \frac{1}{2\pi i} \int_P z \frac{f'(z)}{f(z)} dz = -\omega_2 (\text{integer}) - \omega_1 (\text{integer}) \in \Lambda.$$

Recall: $\wp(z) = \frac{1}{z^2} + \sum_{\substack{\lambda \in \Lambda \\ \lambda \neq 0}} \left(\frac{1}{(z-\lambda)^2} - \frac{1}{\lambda^2} \right)$ $\wp'(z) = \sum_{\lambda \in \Lambda} \frac{-2}{(z-\lambda)^3}$.

For each c , $\wp - c$ has two zeros in P , and $\wp' - c$ has three zeros in P .

$\wp'(z) = -\wp'(-z) = \underbrace{\wp'(\lambda - z)}_{-\wp'(\lambda - z)} \implies \wp'$ has ~~two~~ zero at $\omega_1/2, \omega_2/2, \frac{\omega_1 + \omega_2}{2}$.

Since \wp' has at most 3 zeros in P , then these are the only zeros mod Λ . Each has order 1 as a zero.

Define: $e_1 = \wp(\frac{\omega_1}{2}), e_2 = \wp(\frac{\omega_2}{2}), e_3 = \wp(\frac{\omega_1 + \omega_2}{2})$.

\wp assumes each value e_i twice (i.e. $\wp - e_i$ has zeros of order two at the relevant point).

Consider $f = \frac{(\wp')^2}{(\wp - e_1)(\wp - e_2)(\wp - e_3)}$. Numerator and denominator have same poles, namely points of Λ . Poles have same order (six).

Moreover, top+bottom have same zeros ($\Lambda + \{\frac{\omega_1}{2}, \frac{\omega_2}{2}, \frac{\omega_1 + \omega_2}{2}\}$) with same order (two) at each point.

Hence f is holomorphic, but also doubly ~~is~~ periodic $\implies f$ is constant. Which constant?

We will show that $(\wp')^2 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$ so $f = 4$.

N.B. Since \wp assumes each value only twice, e_1, e_2, e_3 distinct. *looks like elliptic curve equation!*

$P - \frac{1}{z^2}$ is holomorphic in a nbhd of 0 , so it has a Taylor series:

$$P(z) - \frac{1}{z^2} = \sum_{\lambda \in \mathbb{N} \setminus \{0\}} \left(\frac{1}{(z-\lambda)^2} - \frac{1}{\lambda^2} \right) \longrightarrow P = \frac{1}{z^2} + 3G_4 z^2 + 5G_6 z^4 + \dots$$

$$\text{where } G_k = \sum_{\lambda \in \mathbb{N} \setminus \{0\}} \frac{1}{\lambda^k}$$

And therefore $P' = -\frac{2}{z^3} + 6G_4 z + 20G_6 z^3 + \dots$

$$\text{So } \left. \begin{aligned} (P')^2 &= \frac{4}{z^6} + \frac{-24G_4}{z^2} - 80G_6 + \dots \\ (P)^3 &= \frac{1}{z^6} + \frac{9G_4}{z^2} + 15G_6 + \dots \end{aligned} \right\}$$

$$(P')^2 - 4(P)^3 = -\frac{60G_4}{z^2} - 140G_6 + \dots$$

$$(P')^2 - 4P^3 + 60G_4P + 140G_6 = \sum_{i=1}^{\infty} a_{2i} z^{2i}$$

as the LHS is periodic (doubly) and RHS is holomorphic, $= 0$.

where we don't care about the a_{2i} , but only that there are only positive powers of z .

Hence $(P')^2 = 4P^3 - g_2 P - g_3$ where $g_2 = 60G_4$ and $g_3 = 140G_6$.

Projective Geometry:

Let K be a field. Define $\mathbb{P}^n(K)$ projective n -space over K , as the set of equivalence classes of $K^{n+1} \setminus \{0\}$ under $x \sim y \iff x = \lambda y \ \forall \lambda \neq 0, \lambda \in K$.

Compare to affine space $A^n(K) = K^n$. $\mathbb{P}^n(K)$ contains a copy of $A^n(K)$ as

$\{(x_1, \dots, x_n, 1) : x_i \in K\}$, but also "points at infinity" of the form $(x_1, \dots, x_n, 0)$.

Examples:

$$\mathbb{P}^1(\mathbb{C}) \longleftarrow \text{Riemann Sphere}$$

$$\mathbb{P}^2(\mathbb{R}) \longleftarrow \text{lines through origin.}$$

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Algebraic Geometry

A variety in $\mathbb{A}^n(K)$ is the set of common zeroes of a family of polynomials in $K[x_1, \dots, x_n]$.

In $\mathbb{P}^{n+1}(K)$, define projective varieties using families of homogeneous polynomials.

Notes $f \in K[x_1, \dots, x_{n+1}]$ homogeneous, then $f(\lambda \vec{a}) = \lambda^t f(\vec{a})$ where $\deg(f) = t$.

$\mathbb{P}^{n+1}(K)$ contains a copy of $\mathbb{A}^n(K)$, given by $\{(a_1, \dots, a_n, 1) : (a_1, \dots, a_n) \in K^n\}$.

The other points of $\mathbb{P}^n(K)$, of the form $(a_1, \dots, a_n, 0)$ are "points at ∞ ".

If $f \in K[x_1, \dots, x_n]$, with degree d , then associate to f a homogeneous polynomial

$x_{n+1}^d f\left(\frac{x_1}{x_{n+1}}, \dots, \frac{x_n}{x_{n+1}}\right) \in K[x_1, \dots, x_{n+1}]$. The homogenization of f .

The variety in $\mathbb{P}^n(K)$ determined by the homogenization of f intersected with copy of $\mathbb{A}^n(K)$ is the copy of the variety determined in $\mathbb{A}^n(K)$ by f .

Example:

Recall: $(p')^2 = 4(p-e_1)(p-e_2)(p-e_3)$ where e_1, e_2, e_3 are values of p at half-lattice points. Consider $y^2 - 4(x-e_1)(x-e_2)(x-e_3) \in \mathbb{C}[x, y]$

determines a curve in $\mathbb{A}^2(\mathbb{C})$.

Recall: p assumes each complex value twice in the fundamental parallelogram (i.e. twice in \mathbb{C}/Λ). For any $x \in \mathbb{C}$, we may find $z \in \mathbb{C}$ s.t. $p(z) = x$.

If $p(z) = x$, $p'(z) = y$, then $p(z) = x$ and $p'(-z) = -y$.

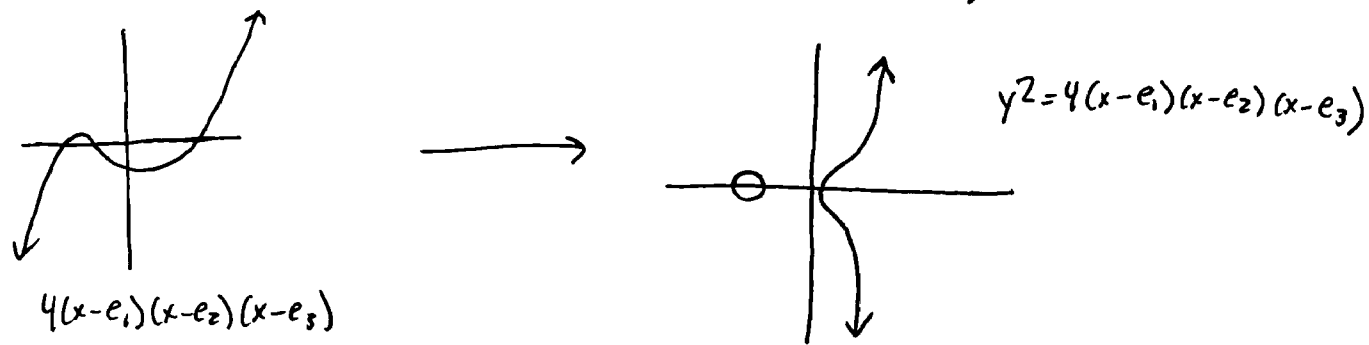
Hence, all points on this curve have their reflection also on the curve.

Homogenizing gives

$$y^2 t = 4(x-e_1 t)(x-e_2 t)(x-e_3 t)$$

Now includes a unique point at ∞ with coordinates $(0, 1, 0)$. Corresponds to the point $z=0$ under $z \mapsto (p(z), p'(z))$.

If e_1, e_2, e_3 are all real, and $4(x-e_1)(x-e_2)(x-e_3)$ looks like



$z \mapsto (\mathcal{P}(z), \mathcal{P}'(z))$ sets up a bijection between the projective curve
 $y^2z = 4(x-e_1z)(x-e_2z)(x-e_3z)$

and the torus \mathbb{C}/Λ . We may think of \mathbb{C}/Λ as a topological group.

Idea: Copy the group operation on \mathbb{C}/Λ to get the group law on the curve $y^2z = 4(x-e_1z)(x-e_2z)(x-e_3z)$ in $\mathbb{P}^2(\mathbb{C})$.

But first, lines in projective space: $ax+by=c$ $\xrightarrow{\text{homogenize}}$ $ax+by=ct$.

To add two points P, Q on our curve E .

- (1) Draw a line through P and Q .
- (2) Has another point of intersection, R \leftarrow because of Bézout's Theorem.
- (3) Reverse the y -coordinate of R , the result is $P+Q$.

two of \curvearrowright always meet in one point \uparrow plane through origin in affine 3-space.

Fact: ~~XXXXXXXXXX~~ " $(\mathcal{P}(z), \mathcal{P}'(z)) + (\mathcal{P}(w), \mathcal{P}'(w)) = (\mathcal{P}(z+w), \mathcal{P}'(z+w))$ " $\left. \begin{array}{l} \text{should} \\ \text{be} \\ \text{projective} \end{array} \right\}$

The same group law given by the parameterization by \mathcal{P} and \mathcal{P}' .
 In fact, this group is isomorphic to $(\mathbb{C}/\Lambda, +) \cong S^1 \times S^1$.

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Recall: ω_1, ω_2 linearly independent over \mathbb{R} , $\Lambda = \{m\omega_1 + n\omega_2 : m, n \in \mathbb{Z}\}$

$E_\Lambda = \{f \text{ meromorphic; } f(z) = f(z+\lambda) \text{ for all } \lambda \in \Lambda\}$.

\wp, \wp' satisfy $(\wp')^2 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$

$z \mapsto (\wp(z), \wp'(z))$ sets up a bijection between \mathbb{C}/Λ and the projective curve $y^2t = 4(x - e_1t)(x - e_2t)(x - e_3t)$.

Claim: This map $z \mapsto (\wp(z), \wp'(z))$ is actually an isomorphism between $(\mathbb{C}/\Lambda, +)$ and the geometric group of the elliptic curve.

"Proof:"

Want $(\wp(z), \wp'(z)) + (\wp(w), \wp'(w)) = (\wp(z+w), \wp'(z+w))$.

Assuming (1) $z, w \notin \Lambda$
(2) $z \neq w \pmod{\Lambda}$
(3) $z \neq -w \pmod{\Lambda}$ } consciously ignore corner cases.

These assumptions imply that the line joining $P = (\wp(z), \wp'(z))$ and $Q = (\wp(w), \wp'(w))$ has equation $y = mx + b$ $\nexists m, b$. Consider the function in E_Λ given by $\wp' - m\wp - b$. Both z and w are zeros of this function.

The function $\wp' - m\wp - b$ has poles of order 1 at all lattice points, and zeros at z, w (b/c line through z, w). Let the third zero be u , let $R = (\wp(u), \wp'(u))$.

$P + Q = (\wp(u), -\wp'(u))$, by group law on elliptic curve.

As $g = \wp' - m\wp - b \in E_\Lambda$, sum of zeros - sum of poles $\in \Lambda$ as before. counting only those zeros and poles in fundamental parallelogram.

Hence, $z + w + u - 0 - 0 - 0 \in \Lambda \implies z + w = -u \pmod{\Lambda}$.

Therefore $\wp(z+w) = \wp(-u) = \wp(u)$

$\wp'(z+w) = \wp'(-u) = -\wp'(u)$.

Fact: Every $g \in E_\Delta$ can be expressed as an element of $\mathbb{C}(P, P')$.

Proof: We will show that (a) every even $g \in E_\Delta$ is just a rational function of P .

and (b) every $g \in E_\Delta$ can be written as $g_0 + P'g_1$ for $g_0, g_1 \in E_\Delta$ and are even.

First, ~~show that~~

(a) \Rightarrow (b)

Proof:

$$g = \underbrace{\frac{g(z) + g(-z)}{2}}_{\text{even}} + \underbrace{P' \left(\frac{g(z) - g(-z)}{2P'} \right)}_{\text{even}}$$

Proof of (a): Let $g \in E_\Delta$, g even. We will produce g^* a rational function of P with the same poles and zeros ~~as~~ as g .

$\frac{g}{g^*}$ is holomorphic, elliptic \Rightarrow constant, so g is constant times rational.

It remains to find g^* . Enumerate nonzero zeros of g in the fundamental parallelogram, up to congruence mod Δ .

Divide these zeros into two classes:

Type I: $\{a \mid g(a) = 0 \text{ and } a \neq \frac{\omega_1}{2}, \frac{\omega_2}{2}, \frac{\omega_1 + \omega_2}{2} \text{ mod } \Delta\}$

Type II: $\{a \mid g(a) = 0 \text{ and } a = \frac{\omega_1}{2}, \frac{\omega_2}{2}, \frac{\omega_1 + \omega_2}{2} \text{ mod } \Delta\}$

- If a is type I, $\omega_1 + \omega_2 - a$ is a distinct type I zero. They come in pairs, list each pair only once; one per pair.
- If a is type II zero, it is of even order (by evenness and periodicity), Enumerate a exactly $\frac{m}{2}$ times, where m is its order.

So let a_1, \dots, a_t enumerate the zeros of g as above.

Similarly, enumerate poles by b_1, \dots, b_s in the same way.



Proof of (a) continued:

$$\text{Define } g^* = \frac{\prod_{i=1}^t (p(z) - p(a_i))}{\prod_{j=1}^s (p(z) - p(b_j))}$$

Check that the zeros and poles of g and g^* match, using the ~~harmonic~~ properties of p, p' . \uparrow elliptic

As $g \in E_\Lambda$, g has the same number of poles and zeros, up to multiplicity, in the fundamental parallelogram. This means we also match zeros and poles on the corners of the parallelogram. ■

Example: $p(2z)$ has poles at half lattice points, in addition to regular lattice points.

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act on generators of the lattice as a group.

Let $SL_2(\mathbb{Z})$ act on $\Lambda = \langle \omega_1, \omega_2 \rangle \subseteq \mathbb{C}$ by $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot (\omega_1, \omega_2) = (a\omega_1 + b\omega_2, c\omega_1 + d\omega_2)$.

Defn: Lattices Λ_1, Λ_2 are similar iff there is nonzero $c \in \mathbb{C}$ s.t. $\Lambda_2 = c\Lambda_1$. (scale + rotate one to another)

If Λ_1, Λ_2 are similar lattices, the theory of E_{Λ_1} is the same as that for E_{Λ_2} .

Recall: $\mathbb{H} = \{z : \text{Im}(z) > 0\}$.

We can see that every lattice is similar to one of the form $\langle 1, \tau \rangle$ where $\tau \in \mathbb{H}$, but not uniquely.

$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$, so $\langle 1, \tau \rangle = \langle a\tau + b, c\tau + d \rangle$ which is similar to $\langle 1, \frac{a\tau + b}{c\tau + d} \rangle$.

Recall: If we build p from the lattice Λ ,

$$(p')^2 = 4p^3 - g_2p - g_3$$

$$g_2 = 60G_4$$

$$g_3 = 140G_6$$

$$G_{2k} = \sum_{\substack{\lambda \in \Lambda \\ \lambda \neq 0}} \frac{1}{\lambda^{2k}}$$

If $\Lambda = \langle 1, \tau \rangle$, view G_4 and G_6 as functions of τ .

$$G_{2k}(\tau) = \sum_{\substack{(m,n) \in \mathbb{Z}^2 \\ (m,n) \neq (0,0)}} \frac{1}{(m+n\tau)^{2k}}, \quad k \geq 2.$$

Since τ is in \mathbb{H} , $G_{2k}(\tau)$ is holomorphic on \mathbb{H} . Let $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$.

$$G_{2k}\left(\frac{a\tau+b}{c\tau+d}\right) = G_{2k}\left(\langle 1, \frac{a\tau+b}{c\tau+d} \rangle\right) = G_{2k}\left(\frac{1}{c\tau+d} \langle 1, a\tau+b \rangle\right) = (c\tau+d)^{2k} G_{2k}(\tau)$$

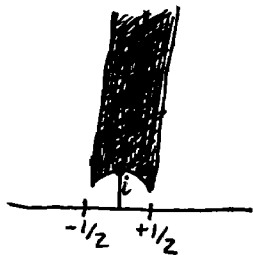
Notice: $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in SL_2(\mathbb{Z})$, action on a lattice $\langle 1, \tau \rangle$ is $\langle 1, \tau \rangle \mapsto \langle 1, \tau+1 \rangle$

Hence, $G_{2k}(\tau) = G_{2k}(\tau+1)$.

Recall: Defining for $\Gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$, $\tau \in \mathbb{H}$, $\Gamma \cdot \tau = \frac{a\tau+b}{c\tau+d}$ gives a group action of $SL_2(\mathbb{Z})$ on \mathbb{H} .

We want to understand the orbits of this action.

Defn: The fundamental region is $\{z \in \mathbb{H} : |z| \geq 1, \operatorname{Re}(z) \in (-1/2, 1/2)\}$



We will see that for any $z \in \mathbb{H}$, there is $\Gamma \in SL_2(\mathbb{Z})$ such that $\Gamma \cdot z$ is in the fundamental region. Additionally, if w, z are distinct points in the interior of the fundamental region, there is no $\Gamma \in SL_2(\mathbb{Z})$ such that $\Gamma w = z$.

Fact: $SL_2(\mathbb{Z}) = \langle \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \rangle$

$\tau \mapsto \tau+1$ $\tau \mapsto -1/\tau$

N.B. Since $-\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ and $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ are both the identity with this action, then this action is not faithful.

Proof: Let $G \leq SL_2(\mathbb{Z})$ be the subgroup generated by $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ and $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$. Let $\tau \in \mathbb{H}$.

If $\Gamma \in SL_2(\mathbb{Z})$, $\Gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, $\operatorname{Im}(\Gamma \cdot \tau) = \frac{\Gamma \cdot \tau - \overline{\Gamma \cdot \tau}}{2i} = \frac{\frac{a\tau+b}{c\tau+d} - \frac{a\bar{\tau}+b}{c\bar{\tau}+d}}{2i}$

$= \frac{(ad-bc)(\tau-\bar{\tau})}{2i|c\tau+d|^2} = \frac{\operatorname{Im}(\tau)}{|c\tau+d|^2}$. Fix $\tau \in \mathbb{H}$.

Since $\tau \in \mathbb{H}$, if either c or d is large, then $|c\tau+d|$ is also large.

Let $\Gamma \cdot \tau$ be such that $\text{Im}(\Gamma \cdot \tau)$ be maximal among $\{\text{Im}(\delta \cdot \tau) : \delta \in G\}$
 \uparrow
 $(\Gamma \in G)$

Let O be the orbit of τ under action by $G : \{\Gamma \cdot \tau \mid \Gamma \in G\}$.

Then ~~$\{\tau' \in O\}$~~ $\{\text{Im}(\tau') : \tau' \in O\}$ has a maximum value, say at r .

Let $\tau' = \gamma \cdot \tau \in O$ be such that $\text{Im}(\tau') = r$. Then $|\tau'| \geq 1$ because

else if $|\tau'| < 1$, acting on it with $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ get τ'' , $\text{Im}(\tau'') = \frac{\text{Im}(\tau')}{|\tau'|^2} > \text{Im}(\tau')$

Act on τ' by some power of $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, we can find τ'' in O such that $\text{Re}(\tau'') \in (-1/2, 1/2)$ and $\text{Im}(\tau'') = \text{Im}(\tau') = r \geq 1$.

Claim: if $\tau_0, \tau_1 \in \mathring{F}$, and $\tau_1 = \gamma \tau_0$ for some $\gamma \in G$, $\tau_0 = \tau_1$.

Proof: $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, $\gamma^{-1} = \begin{pmatrix} d & -b \\ -c & -a \end{pmatrix}$

$$\text{Im}(\tau_1) = \frac{\text{Im}(\tau_0)}{|c\tau_0 + d|^2} \quad \text{Im}(\tau_0) = \frac{\text{Im}(\tau_1)}{|-c\tau_1 + a|^2}$$

For points in the interior, $\text{Im}(\tau_1) \geq 1$ and $\text{Im}(\tau_0) \geq 1$.

If $\text{Im}(\tau_1) = \text{Im}(\tau_0)$, then they differ by a real translate, but the real translate is ≥ 1 , so they are equal.

Else, wlog $\text{Im}(\tau_1) > \text{Im}(\tau_0)$ so the two equations above have $|c\tau_0 + d|^2 > 1$ and $|-c\tau_1 + a|^2 > 1$, so we get a contradiction by combining them. \blacksquare

Claim: A point in \mathring{F} is not in the same orbit as a point on the boundary. (Follows from previous claim)

Claim: $G = \text{SL}_2(\mathbb{Z})$

Proof: Let $\gamma \in \text{SL}_2(\mathbb{Z})$ be arbitrary. Let $\tau = \gamma \cdot (z_i)$. Arguing as above there is $\delta \in G$ s.t. $\delta \cdot \tau = \delta \gamma \cdot z_i \in F$. So in fact $(\delta \gamma) \cdot z_i = z_i$. Let $\delta \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$

$$\text{Im}(z_i) = \frac{\text{Im}(z_i)}{|z_i c + d|^2} \implies c=0, d=\pm 1, a=d=\pm 1, b=0 \quad \begin{matrix} \text{matrix} \\ \text{translates } z_i \text{ to } z_i \end{matrix}$$

Recall: $\Lambda = \{m\omega_1 + n\omega_2 : m, n \in \mathbb{Z}\}$.

From Λ we defined \wp . $(\wp')^2 = 4\wp^3 - g_2\wp - g_3 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$

As Λ is similar to $\Lambda_\tau = \langle 1, \tau \rangle$, if we view G_{2k} as a function on τ , then G_{2k} is holomorphic on \mathbb{H} and $G_{2k}\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^{2k} G_{2k}(\tau)$.

G_{2k} is periodic with period 1; choose $a=b=d=1, c=0$. So we may view G_{2k} as a function of $q = e^{2\pi i\tau}$. This change of variables maps the upper half plane \mathbb{H} to the punctured unit disk, $B(0,1) \setminus \{0\}$.

Fact: As a function of q , G_{2k} is meromorphic at 0.

Recall: $e_1 = \wp(\omega_1/2)$ $e_2 = \wp(\omega_2/2)$ $e_3 = \wp\left(\frac{\omega_1 + \omega_2}{2}\right)$, all three points are distinct

Let $\lambda = \frac{e_3 - e_2}{e_1 - e_2}$. $\lambda \in \mathbb{C}$, $\lambda \neq 0, \lambda \neq 1$.

Let $\Lambda = \langle 1, \tau \rangle$ and view λ as a function of τ , where $\tau = \frac{\omega_2}{\omega_1}$.

So $\lambda\left(\frac{a\tau+b}{c\tau+d}\right) = ? \dots$

Defn: $\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$ can also consider as subgroup of $SL_2(\mathbb{Z}/N\mathbb{Z})$.
is the "congruence subgroup"

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(Little) Picard's Theorem: Let $f: \mathbb{C} \rightarrow \mathbb{C}$ be nonconstant, holomorphic and entire, then for each $z \in \mathbb{C} \setminus \{z_0\}$, there is w s.t. $f(w) = z$, for some z_0 .
"f omits at most one value"

Let $\Lambda = \{m\omega_1 + n\omega_2 : m, n \in \mathbb{Z}\}$, from Λ , define \wp . $e_1 = \wp\left(\frac{\omega_1}{2}\right)$ $e_2 = \wp\left(\frac{\omega_2}{2}\right)$ $e_3 = \wp\left(\frac{\omega_1 + \omega_2}{2}\right)$

$\lambda = \frac{e_3 - e_2}{e_1 - e_2}$, λ depends on choice of generators for lattice. By an easy homogeneity argument, λ depends only on $\tau = \frac{\omega_2}{\omega_1}$.

Now let $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$ and $\left. \begin{matrix} \omega'_1 = a\omega_1 + b\omega_2 \\ \omega'_2 = c\omega_1 + d\omega_2 \end{matrix} \right\} \Rightarrow$ generate same lattice as ω_1, ω_2 (some \wp -function).

In general, $e'_j \neq e_j$. However, if $\begin{matrix} a \equiv d \equiv 1 \pmod{2} \\ b \equiv c \equiv 0 \pmod{2} \end{matrix}$ then $e_j = e'_j \Rightarrow \lambda$ unchanged.

Recall:

$$\Gamma(2) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{2} \right\}$$

$$\phi: SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{Z}/2\mathbb{Z}) \text{ natural HM, } \Gamma(2) = \ker(\phi)$$

If λ is a function of $\tau = \frac{\omega_2}{\omega_1}$, then λ has the property $\lambda\left(\frac{a\tau+b}{c\tau+d}\right) = \lambda(\tau)$ for matrices $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(2)$.

As e_1, e_2, e_3 are distinct for $\tau \in \mathbb{H}$, λ omits values 0, 1 considered as a function holomorphic on \mathbb{H} .

The group of transformations of \mathbb{H} of the form $\tau \mapsto \frac{a\tau+b}{c\tau+d}$, $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$ is generated by transformations $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$

Question: How do they affect the half-lattice points? (mod 1)

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}: \frac{\omega_1}{2} \mapsto \frac{\omega_1}{2} \quad \frac{\omega_2}{2} \mapsto \frac{\omega_1 + \omega_2}{2} \quad \frac{\omega_1 + \omega_1}{2} \mapsto \frac{\omega_2}{2} \Rightarrow \begin{matrix} e_1 \text{ fixed} \\ e_2, e_3 \text{ switch} \end{matrix}$$

$$\text{Therefore, } \lambda(\tau+1) = \frac{\lambda(\tau)}{\lambda(\tau)-1}$$

$$\lambda = \frac{e_3 - e_2}{e_1 - e_2} \mapsto \frac{\lambda}{\lambda-1} = \frac{e_2 - e_1}{e_1 - e_2}$$

$$\text{Similarly, } \lambda(-1/\tau) = 1 - \lambda(\tau).$$

Note that $\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \in \Gamma(2)$, so easily $\lambda(\tau) = \lambda(\tau+2)$. Let $q = e^{\pi i \tau}$, consider λ as a function of q . As $e^{\pi i z}$ ~~has a map~~ maps \mathbb{H} to $B(0,1) \setminus \{0\}$, then as function of q , λ is defined on $B(0,1) \setminus \{0\}$, but has a removable singularity at $q=0$, with value 0. " $\lambda(i\infty) = 0$ ".

$$\text{Recall: } \frac{\pi^2}{\sin^2(\pi z)} = \sum_{m=-\infty}^{\infty} \frac{1}{(z-m)^2}.$$

Recall also:

$$p(z) = \frac{1}{z^2} + \sum_{\lambda \in \Lambda \setminus \{0\}} \left(\frac{1}{(z-\lambda)^2} - \frac{1}{\lambda^2} \right)$$

Let $\Lambda = \langle 1, \tau \rangle$, so $e_1 = p(1/2)$, $e_2 = p(\tau/2)$, $e_3 = p\left(\frac{1+\tau}{2}\right)$. Hence

$$e_3 - e_2 = p\left(\frac{1+\tau}{2}\right) - p\left(\frac{\tau}{2}\right) = \sum_{\lambda \in \Lambda \setminus \{0\}} \left(\frac{1}{(\lambda - 1/2 - \tau/2)^2} - \frac{1}{(\lambda - \tau/2)^2} \right), \quad \Lambda = \mathbb{Z}[\tau].$$

$$= \sum_{n \in \mathbb{Z}} \sum_{m \in \mathbb{Z}} \left(\frac{1}{(m - 1/2 + (n - 1/2)\tau)^2} - \frac{1}{(m + (n - 1/2)\tau)^2} \right)$$

$$= \pi^2 \sum_{n \in \mathbb{Z}} \left(\frac{1}{\cos^2((n - 1/2)\pi\tau)} - \frac{1}{\sin^2((n - 1/2)\pi\tau)} \right) \quad \leftarrow \frac{\pi^2}{\sin^2 \pi z} = \sum_{m \in \mathbb{Z}} \frac{1}{(z - m)^2}$$

Similarly, $e_1 - e_2 = \pi^2 \sum_{n \in \mathbb{Z}} \left(\frac{1}{\cos^2(n\pi\tau)} - \frac{1}{\sin^2((n - 1/2)\pi\tau)} \right)$.

Now substitute $\cos(\theta) = \frac{e^{i\theta} + e^{-i\theta}}{2}$ and $\sin(\theta) = \frac{e^{i\theta} - e^{-i\theta}}{2i}$, then we may

see that as $\tau \rightarrow i\infty$, $e_3 - e_2 \rightarrow 0$, and $e_1 - e_2 \rightarrow \pi^2$.

So $\lambda \rightarrow 0$ as $\tau \rightarrow i\infty$.

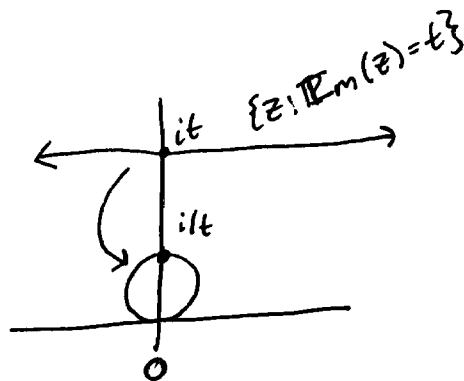
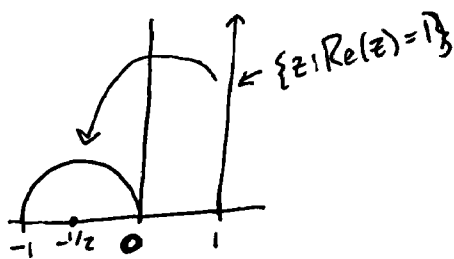
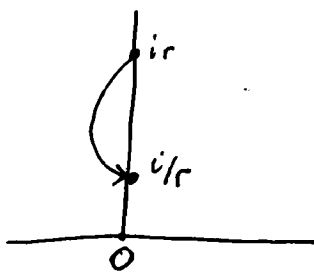
as $\tau \rightarrow i\infty$ then
 $\frac{1}{\cos^2(0)} = 1$
 b/c of the $n=0$ term,

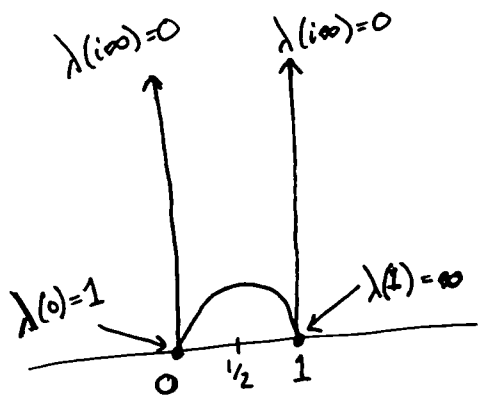
04/23/14

Note that if τ is pure imaginary (i.e. $\text{Re}(\tau) = 0$) then $\lambda(\tau) \in \mathbb{R}$.

Recall: $\lambda(\tau+1) = \frac{\lambda(\tau)}{1-\lambda(\tau)}$ and $\lambda(1-\tau) = 1-\lambda(\tau)$ and $\lambda(\gamma \cdot \tau) = \gamma \cdot \tau$ if $\gamma \in \Gamma(2) \leq \text{SL}_2(\mathbb{Z})$

Geometry of $\tau \mapsto -1/\tau$:





On the boundaries of the fundamental region, $\lambda(\tau)$ is real. As $\tau \rightarrow i\infty$, $\lambda(\tau) \rightarrow 0$.

$$\lambda(-1/\tau) = 1 - \lambda(\tau) \implies \text{as } \tau \rightarrow 0 \text{ along the imaginary axis, } \lambda(\tau) \rightarrow 1.$$

If we know λ is real along the imaginary axis, then $\lambda(\tau+1) = \frac{\lambda(\tau)}{\lambda(\tau)-1} \implies \lambda$ real along the line $\{\text{Re}(z)=1\}$. Combining this with the other formula gives ~~the~~ $\lambda(1-1/\tau) = \frac{1-\lambda(\tau)}{-\lambda(\tau)} = 1 - 1/\lambda(\tau)$, so λ is real on the semicircle w/ center $1/2$ and radius 1 . This equation also gives $\lambda(1) = \infty$ by letting $\tau \rightarrow i\infty$.

Recall: since $\lambda(\tau) = \lambda(\tau+2)$, we may write λ as a function of $q = e^{i\pi\tau}$ ^{the "nome"}

In this change of coordinates, λ is defined on $B(0,1) \setminus \{0\}$.

Singularity at $q=0$ is removable, $\lambda(0)=0$.

We want a Taylor series for λ in terms of q to get an idea of its behavior. In terms of $q = e^{i\pi\tau}$,

$$\frac{1}{\cos^2(x)} = \frac{4}{(e^{ix} + e^{-ix})^2} = \frac{4}{(q^{n-1/2} + q^{1/2-n})^2} = \frac{4q}{(q^n + q^{1-n})^2}$$

$x = \pi(n-1/2)\tau$
 $e^{ix} = e^{i\pi(n-1/2)\tau} = q^{n-1/2}$

$$\frac{-1}{\sin^2(x)} = \frac{4q}{(q^n - q^{1-n})^2} \quad e_3 - e_2 = \sum_{n \in \mathbb{Z}} \left(\frac{4q}{(q^n + q^{1-n})^2} + \frac{4q}{(q^n - q^{1-n})^2} \right)$$

But for the Taylor series, only the $n=0, n=1$ ~~ser~~ terms contribute, so look at $2 \left(\frac{4q}{(1+q)^2} + \frac{4q}{(1-q)^2} \right)$. In the Taylor series for λ , coefficient of

$$q^1 \text{ term is } 16q \quad \lambda(\tau)e^{-i\pi\tau} \rightarrow 16 \text{ as } \text{Im}(\tau) \rightarrow \infty.$$

Next goal: λ assumes each value in the upper half plane exactly once in the interior of Ω , where Ω is the fundamental region, and assumes each value in the lower half plane exactly once in the interior of Ω' .

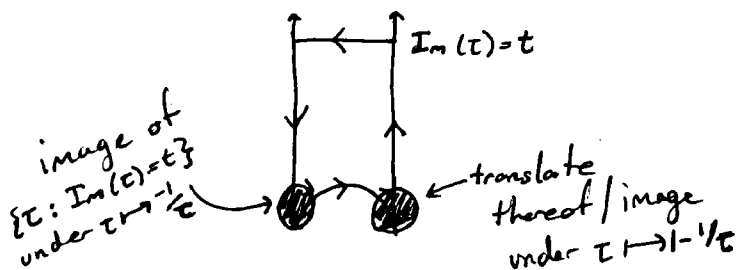
Let $w_0 \in \mathbb{H}$. How many times does λ wind around it? Gives how many times λ hits w_0 by argument principle.

Let γ be the contour

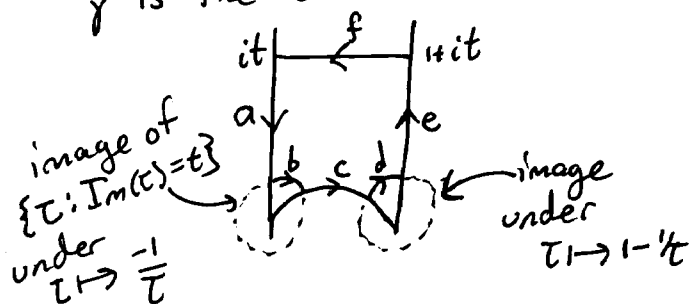
Key points:

$$\lambda(1/\tau) = 1 - \lambda(\tau)$$

$$\lambda(1 - 1/\tau) = 1 - 1/\lambda(\tau)$$



γ is the contour

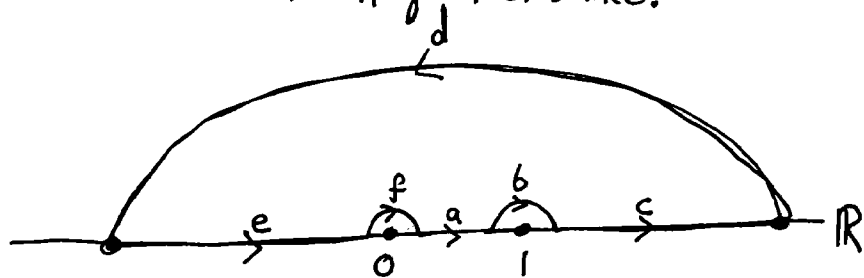


Let $\Gamma = \lambda \circ \gamma$.

We find that $n(\Gamma, a) = 1$ for each $a \in \mathbb{H} \setminus \{0, 1\}$.
(see email)

04/28/14

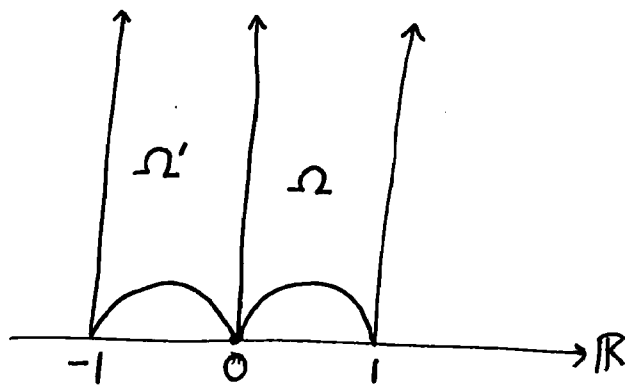
The contour $\Gamma = \lambda \circ \gamma$ looks like:



Where the letters labelling the arrows correspond to labels in previous picture.

Letting $t \rightarrow \infty$, the contour $\Gamma = \lambda \circ \gamma$ eventually encloses ~~any~~ any $a \in \mathbb{H} \setminus \{0, 1\}$. To pick up lower half plane as well,

let $\Omega' = \Omega - 1 = \{z - 1 : z \in \Omega\}$. By symmetry properties of λ , Ω' is also a fundamental region and λ assumes each value in lower half-plane exactly once in Ω' .



Fact: $\lambda' \neq 0$ at all points on $\partial\Omega \cap \mathbb{H}$.

Proof: Write Taylor series for λ . If $\lambda' = 0$, λ can't map points on one side of boundary to ~~lower~~ lower half plane and points on other side to upper half plane.

because $\lambda' \neq 0$ on $\partial\Omega$, then $\lambda(\tau)$ moves monotonically around Γ as τ moves around γ .

Recall: $\Gamma(\mathbb{Z}) = \text{Ker}(SL_2(\mathbb{Z}) \xrightarrow{\text{no look!}} SL_2(\mathbb{Z}/\mathbb{Z}))$.

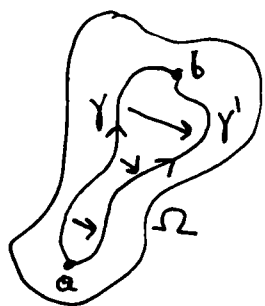
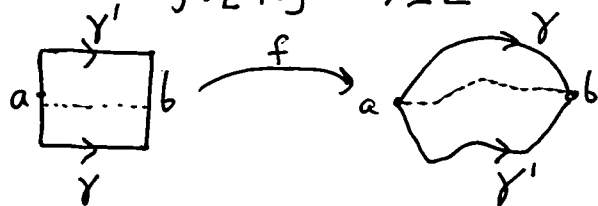
Fact: Every $z \in \mathbb{H}$ can be moved to a point of $\Omega \cup \Omega'$ by some $\gamma \in \Gamma(\mathbb{Z})$

Algebraic Topology Stuff.

Let $\gamma, \gamma': [0,1] \rightarrow \Omega$ be continuous, $\Omega \subseteq \mathbb{C}$ connected, open, and $\gamma(0) = \gamma'(0) = a$ and $\gamma(1) = \gamma'(1) = b$.

Defn: γ, γ' are homotopic iff there is a continuous $f: [0,1]^2 \rightarrow \Omega$

such that $f(t,0) = \gamma(t)$ $f(0,s) = a$
 $f(t,1) = \gamma'(t)$ $f(1,s) = b$



Fact: homotopy is an equivalence relation on $\{\gamma: \gamma(0)=a, \gamma(1)=b\}$.

Defn: γ as above is a loop if $\gamma(0) = \gamma(1) = a$.

Defn: The fundamental group $\pi_1(\Omega, a)$ has elements which are equivalence classes of loops based at a , with operation $\gamma * \delta = \begin{cases} \gamma(2t) & t \in [0, 1/2] \\ \delta(2t-1) & t \in [1/2, 1] \end{cases}$. Perform δ after γ .

Fact: If Ω is simply connected, then $\pi_1(\Omega, a) = 1$.

04/30/13

Analytic Continuations

Defn: The pair (f, Ω) is a function element iff Ω is a region and $f: \Omega \rightarrow \mathbb{C}$ holomorphic.

Fact: If (f_1, Ω_1) is a function element, Ω_2 is a region with $\Omega_1 \cap \Omega_2 \neq \emptyset$, then there is at most one (f_2, Ω_2) s.t. $f_2|_{\Omega_1 \cap \Omega_2} = f_1|_{\Omega_1 \cap \Omega_2}$.

Proof: If there were two candidates (f_2, Ω_2) and (f_2^*, Ω_2) , then

$f_2 - f_2^*$ is identically zero on $\Omega_1 \cap \Omega_2$, so ~~is~~ zero on all of Ω_2 .

Hence $f_2 = f_2^*$. ■

Define an equivalence relation on function elements by

$$(f, \Omega) \sim (g, \Omega') \iff \text{there is a sequence of function elements } (f_n, \Omega_n)_{0 \leq n \leq K} \text{ such that } (f_0, \Omega_0) = (f, \Omega) \\ (f_n, \Omega_n) = (g, \Omega') \\ \Omega_i \cap \Omega_{i+1} \neq \emptyset \\ f_i \upharpoonright \Omega_i \cap \Omega_{i+1} = f_{i+1} \upharpoonright \Omega_i \cap \Omega_{i+1}.$$

Defn: An equivalence class is called a global analytic function.

Analytic continuation along a path:

$$\gamma: [a, b] \rightarrow \mathbb{C} \quad \gamma(a) = A, \gamma(b) = B, \text{ piecewise differentiable.}$$

(f, Ω) is a function element, $A \in \Omega$.

Formally, this is a continuation along the path as $\{(f_t, \Omega_t) : t \in [a, b]\}$

$$\gamma(t) \in \Omega_t, \quad \Omega_s \cap \Omega_t \neq \emptyset \implies f_s, f_t \text{ agree on } \Omega_s \cap \Omega_t$$

$$f \upharpoonright \Omega \cap \Omega_a = f_a \upharpoonright \Omega \cap \Omega_a.$$

Monodromy Theorem: Let Ω be a simply connected region, $A \in \Omega$, (f, Σ) a function element with $A \in \Sigma$, $\Sigma \subseteq \Omega$. Assume that for any path $\gamma: [0, 1] \rightarrow \Omega$ with $\gamma(0) = A$, (f, Σ) can be continued along γ . Then: there is a function element (g, Ω) such that $g \upharpoonright \Sigma = f$.

Key point of proof: As Ω is simply connected, γ is homotopic to any ^{curve} ~~point~~ which ends at the same path. So ~~then~~ as γ transforms into γ' , we can analytically continue f along γ' , and this gives a global function g on Ω .

Let $z \in \mathbb{C}$. Introduce an equivalence relation on $\{(f, \Omega) : z \in \Omega\}$, by

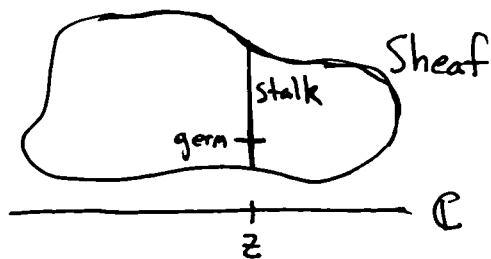
$$(f, \Omega) \simeq (g, \Sigma) \iff \text{there is a region } \Gamma \text{ containing } z, \Gamma \subseteq \Omega \cap \Sigma \\ \text{such that } f \upharpoonright \Gamma = g \upharpoonright \Gamma.$$

The equivalence classes are called germs at z . \longrightarrow

The collections of all germs at z is called the stalk at z .
 The collections of all germs for all $z \in \mathbb{C}$ is called a sheaf.

Generic useless picture
 in the spirit of every
 algebraic geometry book ever.

The stalk over z is a ring.



05/02/14

Little Picard's Theorem: If f is entire and non-constant, then it omits at most one complex value.

Recall: λ omits $0, 1$ as a function $\mathbb{H} \rightarrow \mathbb{C}$.

Proof: Suppose that f is entire, ~~non-constant~~, and omits two distinct points $a, b \in \mathbb{C}$. Replacing f by $\frac{f-a}{f-b}$, we may assume that f omits the points 0 and 1 .

We will find $h: \mathbb{C} \rightarrow \mathbb{H}$ such that $f(z) = \lambda(h(z))$ for all $z \in \mathbb{C}$, such that h is entire. Composing h with some biholomorphic $\alpha: \mathbb{H} \cong B(0, 1)$, $\alpha \circ h$ is a bounded entire function, hence constant. So h is constant, and thus f is constant.

It remains to find h . By the analysis of λ , if $\tau, \tau' \in \mathbb{H}$, $\lambda(\tau) = \lambda(\tau')$, then there is $\gamma \in \Gamma(z)$ s.t. $\gamma \cdot \tau = \tau'$

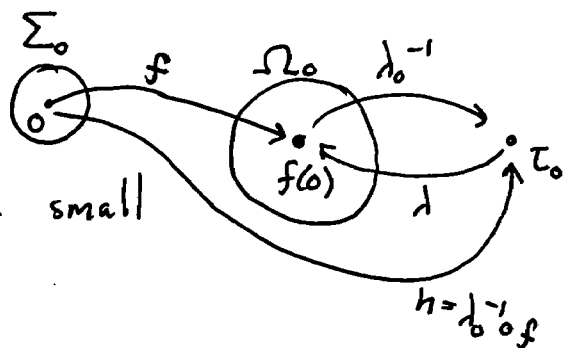
Idea: construct a small piece of h , show it can be extended along any path in \mathbb{C} (which is simply connected), and by Monodromy theorem define a global h .

Choose $\tau_0 \in \mathbb{H}$ s.t. $f(0) = \lambda(\tau_0)$. Possible b/c f omits $0, 1$ and λ assumes

Proof continued.

every value except 0, 1. We also know $\lambda' \neq 0$ throughout H .
 There is a small open neighborhood Ω_0 of $f(0)$ in which there exists an inverse λ_0^{-1} , and $\lambda_0^{-1}(f(0)) = 0$, by inverse function theorem.

inverse for λ around $f(0)$



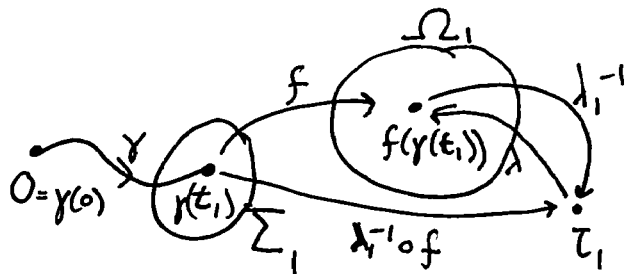
Composing, define h to be $\lambda_0^{-1} \circ f$ in a small neighborhood of 0.

Claim: The function element $(\lambda_0^{-1} \circ f, \Sigma_0)$ can be extended along any path $\gamma: [0, 1] \rightarrow \mathbb{C}$ such that $\gamma(0) = 0$.

Proof: If not, then there is a path $\gamma: [0, t_1] \rightarrow \mathbb{C}$ such that

- (a) we may extend along $\gamma \upharpoonright [0, s]$ for all $s < t_1$,
- (b) we cannot extend along γ , OR $\text{Im}(h \text{ extended along } \gamma(s)) \xrightarrow{s \rightarrow t_1} 0$.

Consider $f(\gamma(t_1))$, and find τ_1 such that $\lambda(\tau_1) = f(\gamma(t_1))$. This is okay again, as before.



Again find λ_1^{-1} an inverse of λ defined on $\Omega_1 \ni f(\gamma(t_1))$, $\lambda_1^{-1}(f(\gamma(t_1))) = \tau_1$.

Find a neighborhood Σ_1 of $\gamma(t_1)$, so that we may define $\lambda_1^{-1} \circ f$ on Σ_1 .

Choose $t_2 \in (0, t_1)$ so that $\gamma(t_2) \in \Sigma_1$.



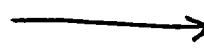
Let $\rho = (\text{continuation of } \lambda_0^{-1} \text{ along } \gamma \upharpoonright [0, t_2])(t_2)$

$$f(\gamma(t_2)) = \lambda(\rho).$$

Let $\rho^* = (\lambda_0^{-1} \circ f)(\gamma(t_2))$

$$\lambda(\rho^*) = f(\gamma(t_2)) = \lambda(\rho).$$

$$\rho^* = (\lambda_1^{-1} \circ f)(\gamma(t_2))$$



Since $\lambda(p) = f(\gamma(t_2)) = \lambda(p)$, there is $\delta \in \Gamma(Z)$ such that $\delta \cdot p^* = p$.

Define a function element $(z \mapsto \delta \cdot (\lambda_1^{-1}(f(z))), \Sigma_1)$.

Verify that we have extended along γ up to and including t_2 , and at t_2 choose preimage for $f(\gamma(t_2))$ lying in H . ■

Riemann Surfaces: (Complex 1D manifolds)

Examples: \mathbb{C} , $\mathbb{C} \cup \{\infty\}$, $H \cong B(0,1)$, \mathbb{C}/Λ

Uniformization Theorem: A simply connected Riemann surface is isomorphic to one of \mathbb{C} , $\mathbb{C} \cup \{\infty\}$, $B(0,1)$, and any Riemann surface is isomorphic to a quotient of one of the three simply connected ones by a "discrete subgroup" of its automorphism group.

Eg. \mathbb{C}/Λ is the quotient of \mathbb{C} by linearly independent (over \mathbb{R}) translations $z \mapsto \omega_1 + z$, $z \mapsto \omega_2 + z$.