

Fractal zeta functions and geometric combinatorial problems for self-similar subsets of $\mathbb{Z}^n$.

Ben Lichtin

joint work with Driss Essouabri (Saint-Etienne)

2 examples:

Pascal's triangle mod p

$$Pas(p) := \left\{ (m_1, m_2) \in \mathbb{N}_0^2 : \binom{m_1}{m_2} \not\equiv 0 \pmod{p} \right\}.$$

Pascal's pyramid (mod p).

- For any $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n$, first set

$$Multnom_n(\alpha) := \binom{\alpha_1 + \dots + \alpha_n}{\alpha_1, \dots, \alpha_n} = \frac{(\alpha_1 + \dots + \alpha_n)!}{\alpha_1! \dots \alpha_n!}.$$

Define:

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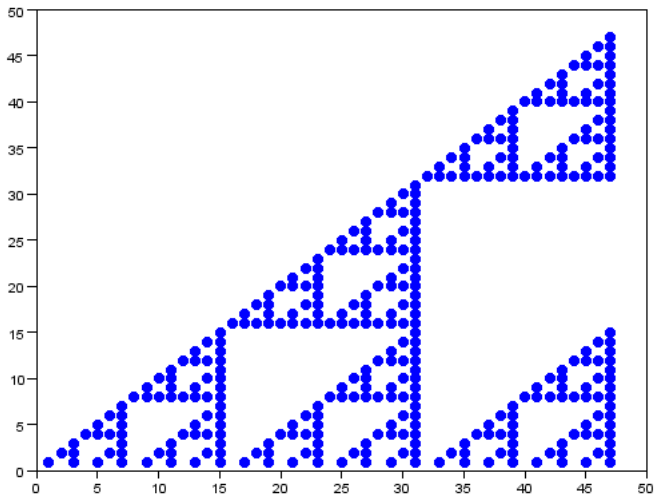
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$Pas(2)$:



Upper Minkowski dimension of discrete sets.

Definition:

q = positive definite quadratic form on $\mathbb{R}^n$

$$\|\mathbf{x}\| = q^{1/2}(\mathbf{x}).$$

$\mathcal{F}$ = discrete subset of $\mathbb{R}^n$.

$\mathcal{F}$ has finite “upper Minkowski dimension” if :

$$e(\mathcal{F}) := \overline{\lim}_{R \rightarrow \infty} \frac{\log(\#\mathcal{F} \cap B(R))}{\log R} \in [0, \infty).$$

where:

$$B(R) := \{\mathbf{m} \in \mathbb{R}^n; \|\mathbf{m}\| \leq R\}.$$

Define the zeta function of $\mathcal{F}$

$$\zeta(\mathcal{F}; s) := \sum_{\mathbf{m} \in \mathcal{F}'} \|\mathbf{m}\|^{-s} \quad (\mathcal{F}' = \mathcal{F} \setminus \{\mathbf{0}\}).$$

Properties:

- converges absolutely in $\{\Re(s) > e(\mathcal{F})\}$.
 - $e(\mathcal{F})$ = abscissa of convergence .
 - Always assume $e(\mathcal{F}) \in (0, \infty)$.
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$$\{\|\mathbf{m}\| : \mathbf{m} \in \mathcal{F}'\} = \{\lambda_1 < \lambda_2 < \cdots\}; \quad r_k = \#\{\mathbf{m} : \|\mathbf{m}\| = \lambda_k\}$$

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Tauberian Applications

Define

$$\mathcal{F}_x := \mathcal{F}' \cap \{\|\mathbf{m}\| < x\} = \text{"x-ball" of } \mathcal{F}.$$

$$\#\mathcal{F}_x = \sum_{\lambda_k < x} r_k = \text{average of coefficients of } \zeta(\mathcal{F}, s).$$

Problem: Describe $\#\mathcal{F}_x$ as $x \rightarrow \infty$.

Tauberian theory is useful if information outside halfplane of absolute convergence is available.

Key Point: Self-similarity of $\mathcal{F}$ helps provide such information.

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Compatible self-similar discrete sets.

- “Similarity” = affine map $\mathbf{x} \rightarrow c T \mathbf{x} + \mathbf{b}$
- $c > 1$ and T is invariant by $O(q)$
- Finite set $\mathbf{f} = (f_i)_1^r$ ($f_i = c_i T_i + \mathbf{b}_i$) of similarities is “**compatible**” if

$$T_i \circ T_j = T_j \circ T_i \quad \forall i, j$$

Definition. $\mathcal{F}$ is compatible self-similar

- $\exists \{T_i\}_1^r$ compatible s.t.

defining $f_i = c_i T_i + \mathbf{b}_i$:

- $f_i(\mathcal{F}) \cap f_{i'}(\mathcal{F})$ finite if $i \neq i'$
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Compatibility implies:

- $\exists \mathcal{B} = (\mathbf{e}_1, \dots, \mathbf{e}_n) =$ orthonormal basis of $\mathbb{C}^n (= \mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}^n)$ s.t. each T_j is diagonal.
- $\forall i \exists \boldsymbol{\lambda}_i = (\lambda_{i,1}, \dots, \lambda_{i,n}) \in (S^1)^n$ s.t.

$$T_i^*(\mathbf{e}_j) = \lambda_{i,j} \mathbf{e}_j \quad \forall j \quad (T_i^* = \text{adjoint}).$$
- $\text{Scal}(\mathbf{f}) := \{c_i\}_1^r.$

Theorem 1 [E-L, Adv. Math. 2013]. *Compatible self-similar $\mathcal{F} \subset \mathbb{R}^n$ satisfies:*

$$\zeta(\mathcal{F}; s) = \sum_{\mathbf{m} \in \mathcal{F}'} \frac{1}{\|\mathbf{m}\|^s}$$

has a meromorphic continuation to $\mathbb{C}$ with moderate growth and poles in:

$$\mathcal{P}(\mathcal{F}) = \bigcup_{\beta \in \mathbb{N}_0^n} \bigcup_{k \in \mathbb{N}_0} \left\{ s - k : \sum_{i=1}^r \lambda_i^\beta c_i^{-s} = 1 \right\} \quad (\lambda^\beta := \prod_k \lambda_k^{\beta_k}).$$

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Publicity for forthcoming article.

- **Compatibility hypothesis not needed for our results.**
- Arguments are fairly different from compatibility case.

Fractal dimension.

Theorem 2. [E-L, 2013]

$\mathcal{F} \subset \mathbb{R}^n =$ compatible self-similar set. Then

$e(\mathcal{F}) =$ fractal dimension of $\mathcal{F} =$ the unique real solution of

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- 2 types of self similar sets:

Latticelike: $\mathbb{Z}\{\log c_i\}_i =$ discrete subgroup of $\mathbb{R}$;

E.G. $Pas(p), \mathcal{M}_n(p)$ are latticelike.

Non latticelike: $\mathbb{Z}\{\log c_i\}_i$ is dense in $\mathbb{R}$.

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Properties of $Pas(p)$:

- Similarities:

$$f_i = p \cdot Id_2 + \mathbf{b}_i \quad \mathbf{b}_i \in \{(u, v) \in \{0, 1, \dots, p-1\}^2\}.$$

Set

$$\theta_p = \frac{\log(\frac{p(p+1)}{2})}{\log p}.$$

Theorem 3 [E.- Jap. J. of Math. (2005)].

- $\zeta(Pas(p); s)$ converges in $\{\sigma > \theta_p\}$.
- $e(Pas(p)) = \theta_p$.
- $\zeta(Pas(p); s)$ has a meromorphic continuation to $\mathbb{C}$ with moderate growth and simple poles in:

$$\left\{ \theta_p - k + \frac{2\pi ih}{\log p} : (k, h) \in \mathbb{N}_0 \times \mathbb{Z}_0 \right\}.$$

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Theorem 4 [E-L, 2013]

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and

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$$(I) \quad \sigma > \theta_p = \frac{\log(\frac{p(p+1)}{2})}{\log p} \implies \zeta(Pas(p), s) = \sum_k \frac{r_k(p)}{\lambda_k^s} \text{ where:}$$

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$$\text{Average of coefficients: } \#(Pas(p)_x) := \sum_{\lambda_k < x} r_k(p)$$

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$\exists \eta > 0$ and a convergent Fourier series $f_p(u) \neq 0$ s.t.

$$\#(Pas(p)_x) = x^{\theta_p} \cdot f_p\left(\frac{\log x}{\log p}\right) + O(x^{\theta_p - \eta}) \quad x \rightarrow \infty.$$

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A new proof of result of Barbolosi-Grabner (1996).

Theorem 6.[E-L, 2013].

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Averages for other weights — Metric Invariants

Examples of metric invariants (w.r.t. $O(q)$):

$(k = 2)$: Distinct distances, angles

$(k \geq 3)$: k -point configurations, k -chains,
volumes of n -simplices ($k = n + 1$).

“ k -Configuration”

$$= \{ (\|x_1 - x_2\|, \|x_1 - x_3\|, \dots, \|x_{k-1} - x_k\|) : x_i \in \mathcal{F} \forall i \}.$$

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“Geometric combinatorial” problems:

For metric invariant on $(\mathbb{R}^n)^k$ find a *lower bound* for :

distinct values of invariant determined by elements of $\mathcal{F}_x^k$ ($x \gg 1$).

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Erdős distinct distance problem (1946):

$$q(\mathbf{x}) := \sum_i x_i^2 \quad \|\mathbf{x}\| := (\sum_i x_i^2)^{1/2}.$$

- If X is a finite subset of $\mathbb{R}^n$, then the set of its distinct distances $\Delta(X) := \{\|x - y\|; x, y \in X\}$ should satisfy:

$$\#\Delta(X) \gg_\epsilon \#X^{\frac{2}{n}-\epsilon} \quad \text{as } \#X \rightarrow \infty.$$

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Distance set in any x -ball of $\mathcal{F}$:

$$\Delta(\mathcal{F}_x) := \{\|\mathbf{m}_1 - \mathbf{m}_2\| : \mathbf{m}_i \in \mathcal{F}_x \quad \forall i = 1, 2\}.$$

Theorem 7 [E-L, PLMS 2015].

$\mathcal{F}$ = compatible self-similar subset of $\mathbb{Z}^n$ ($n \geq 2$);
 $e(\mathcal{F}) > n - 2$.

$$\implies \quad \forall \varepsilon > 0, \quad \#\Delta(\mathcal{F}_x) \gg_\varepsilon \#(\mathcal{F}_x)^{1 - \frac{n-2}{e(\mathcal{F})} - \varepsilon} \quad (x \rightarrow \infty).$$

Corollaries.

For (compatible) self-similar $\mathcal{F} \subset \mathbb{Z}^n$:

(1) $n = 2$ and $e(\mathcal{F}) > 0$ implies Erdős distance conjecture
but only for all sufficiently large x -balls:

$$\forall \varepsilon > 0, \quad \#\Delta(\mathcal{F}_x) \gg_\varepsilon \#(\mathcal{F}_x)^{1-\varepsilon} \quad (x \rightarrow \infty).$$

$$(2) \quad \theta_p > 0 \implies \#\Delta(Pas(p)_x) \gg_\varepsilon [\#Pas(p)_x]^{1-\varepsilon}.$$

$$(3) \quad \exists a_n \text{ s.t. } p > a_n \implies \theta_{n,p} > n - 2.$$

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Sketch of proof of Theorem 7.

Step 1:

Introduce 2-variable zeta-function:

$$\zeta_{dis}(\mathcal{F}, \mathbf{s}) = \sum_{(\mathbf{m}_1, \mathbf{m}_2) \in (\mathcal{F}')^2} \frac{\|\mathbf{m}_1 - \mathbf{m}_2\|^2}{\|\mathbf{m}_1\|^{s_1} \|\mathbf{m}_2\|^{s_2}}.$$

- Converges absolutely in $\{\mathbf{s} \in \mathbb{C}^2; \sigma_i > D \forall i\}$ ($D := e(\mathcal{F}) + 2$).

Set:

$$\begin{aligned}\Delta(\mathcal{F}_x) &:= \{\|\mathbf{m}_1 - \mathbf{m}_2\|; (\mathbf{m}_1, \mathbf{m}_2) \in \mathcal{F}_x^2\} \\ &:= \{\delta_1, \dots, \delta_{N_x}\} \quad (N_x = \#\Delta(\mathcal{F}_x)) \\ \{\|\mathbf{m}\| : \mathbf{m} \in \mathcal{F}_x\} &:= \{\lambda_1, \dots, \lambda_{M_x}\} \\ \nu_{i,k_1,k_2} &:= \#\{(\mathbf{m}_1, \mathbf{m}_2) : \|\mathbf{m}_j\| = \lambda_{k_j} \forall j, \\ &\quad \|\mathbf{m}_1 - \mathbf{m}_2\| = \delta_i\}.\end{aligned}$$

$$\therefore \sigma_1, \sigma_2 > D \implies \zeta_{dis}(\mathcal{F}, \mathbf{s}) = \sum_{(k_1, k_2)} \frac{\sum_i \delta_i^2 \cdot \nu_{i,k_1,k_2}}{\lambda_{k_1}^{s_1} \lambda_{k_2}^{s_2}}$$

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$$\zeta_{dis}(\mathcal{F}, \mathbf{s}) = \sum_{(\mathbf{m}_1, \mathbf{m}_2) \in (\mathcal{F}')^2} \frac{\|\mathbf{m}_1 - \mathbf{m}_2\|^2}{\|\mathbf{m}_1\|^{s_1} \|\mathbf{m}_2\|^{s_2}}.$$

- Converges absolutely in $\{\mathbf{s} \in \mathbb{C}^2; \sigma_i > D \forall i\}$ ($D := e(\mathcal{F}) + 2$).

Set:

$$\begin{aligned}\Delta(\mathcal{F}_x) &:= \{\|\mathbf{m}_1 - \mathbf{m}_2\|; (\mathbf{m}_1, \mathbf{m}_2) \in \mathcal{F}_x^2\} \\ &:= \{\delta_1, \dots, \delta_{N_x}\} \quad (N_x = \#\Delta(\mathcal{F}_x)) \\ \{\|\mathbf{m}\| : \mathbf{m} \in \mathcal{F}_x\} &:= \{\lambda_1, \dots, \lambda_{M_x}\} \\ \nu_{i,k_1,k_2} &:= \#\{(\mathbf{m}_1, \mathbf{m}_2) : \|\mathbf{m}_j\| = \lambda_{k_j} \forall j, \\ &\quad \|\mathbf{m}_1 - \mathbf{m}_2\| = \delta_i\}.\end{aligned}$$

$$\therefore \sigma_1, \sigma_2 > D \implies \zeta_{dis}(\mathcal{F}, \mathbf{s}) = \sum_{(k_1, k_2)} \frac{\sum_i \delta_i^2 \cdot \nu_{i,k_1,k_2}}{\lambda_{k_1}^{s_1} \lambda_{k_2}^{s_2}}$$

Sketch of proof of Theorem 7.

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Step 2:

Upper bound for coefficient averages $\mathcal{A}(x) := \sum_{\forall j, \lambda_{k_j} < x} \sum_i \delta_i^2 \cdot \nu_{i,k_1,k_2}$.

Claim 1: $\mathcal{A}(x) \ll_{\varepsilon} N_x \cdot x^{e(\mathcal{F})+n+\varepsilon}$.

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- $\mu_i(x) := \#\{(\mathbf{m}_1, \mathbf{m}_2) \in \mathcal{F}_x^2 : \|\mathbf{m}_1 - \mathbf{m}_2\| = \delta_i\}$.
 - $\mathcal{A}(x) = \sum_{i=1}^{N_x} \delta_i^2 \mu_i(x)$.
 - *Uniform bound for $\mu_i(x)$: (via integral points on spheres).*

$\forall \mathbf{m}_2 \in \mathcal{F}_x$:

$$\#\{\mathbf{m}_1 \in \mathbb{Z}^n; \|\mathbf{m}_1 - \mathbf{m}_2\|^2 = t^2, \|\mathbf{m}_1\| \leq x\} \ll_{\varepsilon} t^{n-2+\varepsilon}$$

where implied constant is *uniform* in m_2 .

$$\text{Setting } t = \delta_i \implies \mu_i(x) \ll_{\varepsilon} \sum_{\mathbf{m}_2 \in \mathcal{F}_x} t^{n-2+\varepsilon} \ll_{\varepsilon} x^{e(\mathcal{F})+n-2+\varepsilon}.$$

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Lower bound for $\mathcal{A}(x)$ - Harder part

Claim 2: $\mathcal{A}(x) \gg_{\varepsilon} x^{2e(\mathcal{F})+2-\varepsilon}$.

So:

$$\text{Claims 1 + 2} \implies x^{2e(\mathcal{F})+2-\varepsilon} \ll_{\varepsilon} \mathcal{A}(x) \ll_{\varepsilon} x^{e(\mathcal{F})+n+\varepsilon} \cdot N_x$$

$$\therefore N_x := \#\Delta(\mathcal{F}_x) \gg_{\varepsilon} x^{e(\mathcal{F})-n+2-\varepsilon} \gg_{\varepsilon} (\#\mathcal{F}_x)^{1-\frac{n-2}{e(\mathcal{F})}-\varepsilon}.$$

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Idea behind Proof of Claim 2

- Write

$$\begin{aligned}\zeta_{dis}(\mathcal{F}, \mathbf{s}) &= \zeta(\mathcal{F}, s_1)\zeta(\mathcal{F}, s_2 - 2) + \zeta(\mathcal{F}, s_1 - 2)\zeta(\mathcal{F}, s_2) - 2\zeta_3(\mathbf{s}) \\ &= \zeta_1 + \zeta_2 - 2\zeta_3\end{aligned}$$

where

$$\zeta_3(\mathbf{s}) := \sum_{(\mathbf{m}_1, \mathbf{m}_2) \in \mathcal{F}' \times \mathcal{F}'} \frac{\langle \mathbf{m}_1, \mathbf{m}_2 \rangle}{\|\mathbf{m}_1\|^{s_1} \|\mathbf{m}_2\|^{s_2}} = \sum_{i=1}^n \zeta_{3,i}(s_1) \zeta_{3,i}(s_2).$$

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- ζ_1 is analytic in $\{\sigma_1 > D - 2, \sigma_2 > D\}$
 - ζ_2 is analytic in $\{\sigma_1 > D, \sigma_2 > D - 2\}$
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 - Each ζ_i is meromorphic on $\mathbb{C}^2$ with moderate growth.

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- (“Initial”) Poles of $\zeta_1, \zeta_2, \zeta_3$:

- ζ_1 has “first” pole (real part) at $Q_1 = (D - 2, D)$;
- ζ_2 has “first” pole (real part) at $Q_2 = (D, D - 2)$;
- *Real part of “first” pole (u_i, v_i) of $\zeta_{3,i}(s_1)\zeta_{3,i}(s_2)$ lies in or below $\text{Int}[Q_1, Q_2]$.*

Step 4:

Perron formula - if Latticelike

$\xi = (\xi, \xi) \in \mathbb{R}^2$ set $(\xi) := (\xi) \times (\xi)$. Then $\xi > D (= e(\mathcal{F}) + 2) \implies$

$$\begin{aligned} \mathcal{H}(x_1, x_2) &:= \sum_{\{\mathbf{m}_i \in \mathcal{F}' : \|\mathbf{m}_i\| < x_i \ \forall i\}} \|\mathbf{m}_1 - \mathbf{m}_2\|^2 \cdot \prod_i \left(1 - \frac{\|\mathbf{m}_i\|}{x_i}\right) \\ &= \int_{(\xi)} (\zeta_1 + \zeta_2 - 2\zeta_3) \cdot \prod_i \frac{x_i^{s_i}}{s_i(s_i + 1)} ds_1 ds_2 \end{aligned}$$

Next: Iterate Cauchy residue method.

For ζ_1 resp. ζ_2 move to $(Q_1 - \eta)$ resp. $(Q_2 - \eta)$ ($\eta \ll 1$) etc.

• $\implies \exists A, B$ s.t. $A + B < 2D - 2$ and

$$\begin{aligned} \mathcal{H}(x_1, x_2) &= x_1^{D-2} x_2^D \phi_1(\log x_1, \log x_2) + x_1^D x_2^{D-2} \phi_2(\log x_1, \log x_2) \\ &\quad + \sum_{i=1}^t \pm x_1^{u_i} x_2^{v_i} \psi_i(\log x_1, \log x_2) + O(x_1^{A-\varepsilon} \cdot x_2^{B-\varepsilon}) \end{aligned}$$

where ϕ_1, ϕ_2, ψ_i are *non zero* almost periodic functions.

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Step 5:

Monomialisation-if Latticelike

- Polygon from $\mathcal{H}$ monomials: vertices at Q_1, Q_2 .

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- Choose, say, $Q_1 = (D-2, D)$. $\forall \kappa' > \kappa > 1$ show:

$$\exists \mathbf{x}_k = (x_{k,1}, x_{k,2}) \text{ s.t. } x_{k,1}^\kappa < x_{k,2} < x_{k,1}^{\kappa'},$$

$$\text{and } \begin{cases} \phi(\log x_{k,1}, \log x_{k,2}) \gg 1 \\ x_{k,1}^D x_{k,2}^{D-2} + \sum_i x_{k,1}^{u_i} x_{k,2}^{v_i} = o(x_{k,1}^{D-2} x_{k,2}^D) = o(\mathbf{x}_k^{Q_1}) \end{cases}$$

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- $\therefore \mathcal{H}(x_{k,1}, x_{k,2}) = \mathbf{x}_k^{Q_1} \phi(\log x_{k,1}, \log x_{k,2}) + o(\mathbf{x}_k^{Q_1}) \gg \mathbf{x}_k^{Q_1}$.

$$\therefore \boxed{\mathcal{A}(\mathbf{x}) \gg_\varepsilon x^{2e(\mathcal{F})+2-\varepsilon}} \quad (1)$$

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- **Non latticelike case:** Variant of monomialisation proves (1) but uses “screens” - needed due to possible pole clustering on $\sigma = D, D-2$.

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- **Forthcoming article proves Erdős distinct distance problem for x -balls of any self-similar subset of $\mathbb{Z}^2$.**
- **Need use Ingham's Tauberian theorem (1965) instead of Cauchy Residues and "screens" if non latticelike.**

Angles

For any $\mathbf{m}_1, \mathbf{m}_2 \in \mathcal{F}'$ set:

$\theta(\mathbf{m}_1, \mathbf{m}_2) :=$ angle formed between $\mathbf{m}_1, \mathbf{m}_2$.

$$Ang(x) := \#\{\cos(\theta(\mathbf{m}_1, \mathbf{m}_2)); \mathbf{m}_1, \mathbf{m}_2 \in \mathcal{F}_x\}.$$

Find bound on $e(\mathcal{F})$ s.t. $Ang(x) \nearrow +\infty$.

Theorem 8 [PLMS 2015].

$e(\mathcal{F}) > n - 2$ and $n \geq 4 \implies$

$$Ang(x) \gg_{\varepsilon} [\#\mathcal{F}(x)]^{1 - \frac{n-2}{e(\mathcal{F})} - \varepsilon} \quad (x \gg 1).$$

- Uses Angle Zeta function:

$$\zeta_{ang}(\mathcal{F}; s_1, s_2) := \sum_{\mathbf{m}_1, \mathbf{m}_2 \in \mathcal{F}'} \frac{\left\langle \frac{\mathbf{m}_1}{\|\mathbf{m}_1\|}, \frac{\mathbf{m}_2}{\|\mathbf{m}_2\|} \right\rangle^2}{\|\mathbf{m}_1\|^{s_1} \|\mathbf{m}_2\|^{s_2}}.$$

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