

## THREE MANIFOLDS NOTES

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### 1. UNIQUENESS OF PRIME DECOMPOSITION

Last time we showed the existence of prime decomposition. This class we are going to show the uniqueness of the prime decomposition.

**Theorem 1.1.** *Let  $M^3$  be compact, connected, and orientable. Then there is a unique prime decomposition, up to insertion or deletion of  $S^3$ 's, i.e., if  $P_i$  and  $Q_i$  are irreducible and not  $S^3$  and*

$$\begin{aligned} M &\cong P_1 \# \cdots \# P_n \# (\#_{i=1}^k S^1 \times S^2) \\ &\cong Q_1 \# \cdots \# Q_m \# (\#_{i=1}^l S^1 \times S^2), \end{aligned}$$

then the list  $\underline{Q} = (Q_1, \dots, Q_m)$  is a permutation of  $\underline{P} = (P_1, \dots, P_n)$  and  $k = l$ .

*Remark 1.2.* For any non-orientable manifold, there is also a unique prime decomposition if you prohibit the  $S^1 \times S^2$  summands.

*Proof.* The existence of such a prime decomposition was shown in last class.

**Definition 1.3.** Let  $\Sigma$  be a system of 2-spheres in  $M$ .  $\Sigma$  decomposes  $M$  into  $\underline{P}$  if the components of  $M \setminus \Sigma$  which are not punctured 3-spheres are in bijective correspondence with the entries of  $\underline{P}$ , where the  $P_i$ 's are punctured.

Suppose

$$\begin{aligned} M &\cong P_1 \# \cdots \# P_n \# (\#_{i=1}^k S^1 \times S^2) \\ &\cong Q_1 \# \cdots \# Q_m \# (\#_{i=1}^l S^1 \times S^2). \end{aligned}$$

We may assume that  $P_i$  and  $Q_i$  are not  $B^3$  by factoring off any  $S^2$  components of the  $\partial M$ . Then there are systems  $\Sigma_P, \Sigma_Q$  so that  $\Sigma_P$  decomposes  $M$  into  $\underline{P}$ , and  $\Sigma_Q$  decomposes  $M$  into  $\underline{Q}$ . Suppose  $\#(\Sigma_P \cap \Sigma_Q)$  is minimal among pairs of such systems. (We may always suppose this is a transverse intersection.) We claim that

$$\#(\Sigma_P \cap \Sigma_Q) = 0.$$

Suppose not. We choose a loop of intersection that is innermost on a sphere of  $\Sigma_Q$ , bounding a disk  $\alpha$ . See Figure 1. Let  $S \subset \Sigma_P$  be the sphere containing  $\alpha$ . Let  $\Sigma'_P = (\Sigma_P \setminus S) \cup (S_1 \cup S_2)$ . Note that  $\#(\Sigma'_P \cap \Sigma_Q) < \#(\Sigma_P \cap \Sigma_Q)$  and  $\Sigma'_P$  still decomposes  $M$  into  $\underline{P}$  by irreducibility of the components of  $M \setminus \Sigma_P$ .

So  $\Sigma_P \cup \Sigma_Q$  decomposes  $M$  into  $\underline{P}$  and decomposes  $M$  into  $\underline{Q}$  (again using irreducibility of the components of  $M \setminus \Sigma$ ). But the list of irreducible non- $S^3$  summands is recoverable from such a system (plug in balls to  $\partial(M \setminus \Sigma)$  and throw away  $S^3$ 's). So  $\underline{P} = \underline{Q}$  up to reordering. To see  $k = l$ , note that

$$H_1(M) = H_1(\# \underline{P}) \oplus \mathbb{Z}^k = H_1(\# \underline{Q}) \oplus \mathbb{Z}^l.$$

Therefore  $k = l$ . □

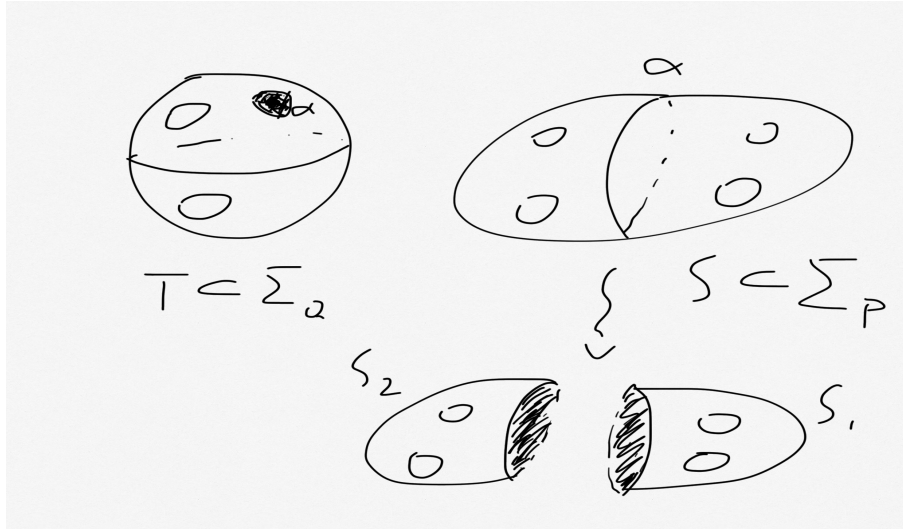


FIGURE 1

**Proposition 1.4.** *Suppose  $p : \tilde{M} \rightarrow M$  is a covering of 3 manifolds and  $\tilde{M}$  is irreducible. Then  $M$  is irreducible.*

*Proof.* We can show  $p|_B : B \rightarrow p(B)$  is a covering map. The covering space is one sheeted on  $S^2$ , hence one sheeted on all of  $B$ .  $\square$

*Remark 1.5.* The converse is also true, but it requires “tower argument”.

**Corollary 1.6.** *Any manifold  $M$  covered by  $S^3$  on  $\mathbb{R}^3$  is irreducible.*

Examples include  $\mathbb{R}P^3$ , lens spaces, Poincaré dodecahedral space,  $\Sigma \times S^1$ , where  $\chi \leq 0$ . Note that there exist irreducible, simply connected 3-manifolds  $\not\cong S^3$  or  $\mathbb{R}^3$ . But they don’t cover compact 3-manifolds (follows from geometrization.)

**Theorem 1.7** (Waldhausen). *The universal covering of a Haken 3-manifold is  $\mathbb{R}^3$ .*

**Exercise:** what’s the universal cover of  $L_1 \# L_2$  where  $L_1, L_2$  are lens spaces?  
Extensive reading: Whitehead manifold

## 2. TORUS DECOMPOSITION

**Definition 2.1.**  $\Sigma^2 \subset M^3$  which is not a disk or a two sphere  $S^2$  is called incompressible if every simple loop in  $\Sigma$  bounding a disk in  $M$  also bounds one in  $\Sigma$ .

If  $\Sigma \neq S^2, D^2$  is not incompressible, we call it compressible. A compressible surface can be compressed. Figure 2 illustrates the innermost loop argument: there is an embedded disk whose boundary is essential in  $\Sigma$ , and we can get a simpler surface  $\Sigma'$  which is homologous to  $\Sigma$ .

**Definition 2.2.** Let  $M^3$  be closed, irreducible, and orientable. We say  $M$  Haken if it contains an incompressible 2-sided surface.

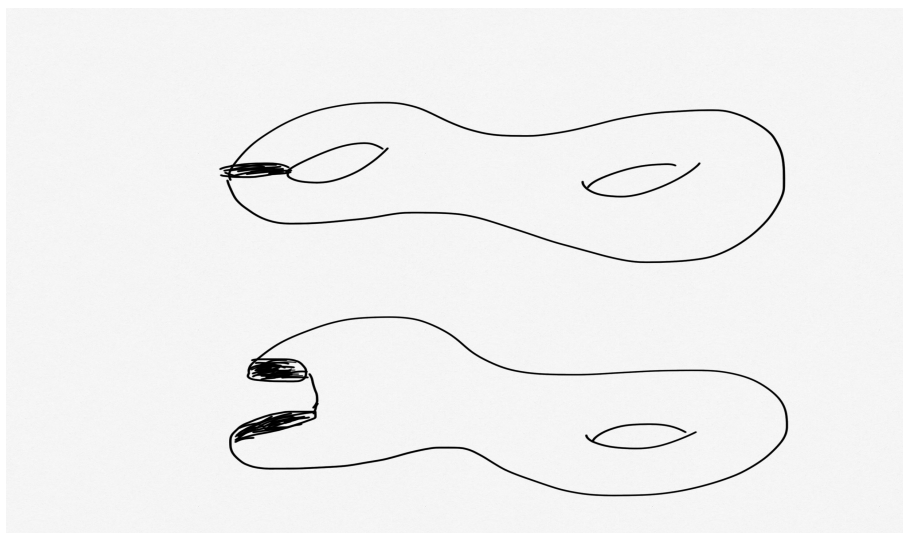


FIGURE 2

- Remark 2.3.* (1)  $\chi(\Sigma) \leq 0$ ,  $\Sigma \hookrightarrow M$   $\pi_1$ -injective, then  $\Sigma$  is incompressible.  
(Converse requires  $\Sigma$  2-sided & Loop Theorem)
- (2)  $\chi(\Sigma) \leq 0$ ,  $\Sigma \hookrightarrow \mathbb{R}^3$  is always compressible. (Think about the proof of Alexander's Theorem)