# Chip-Firing and Rotor-Routing on Trees

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Joint work with Itamar Landau and Yuval Peres.

### Deterministic analogue of random walk.

Priezzhev-Dhar-Dhar-Krishnamurthy ("Eulerian walkers")

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  - 1. Turns the rotor clockwise by 90 degrees;
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  - 1. Turns the rotor clockwise by 90 degrees;
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- For a general directed graph, fix a cyclic ordering of the outgoing neighbors.

#### **Rotor-Router Aggregation**

Sequence of lattice regions

$$A_1 = \{o\}$$
$$A_n = A_{n-1} \cup \{x_n\}$$

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$$A_1 = \{o\}$$

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where  $x_n \in \mathbb{Z}^d$  is the site at which rotor walk first leaves the region  $A_{n-1}$ .

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### How close to circular?

How fast does

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п	R(n)
10	0.822
10 <sup>2</sup>	1.588
10 <sup>3</sup>	1.637
104	1.683
10 <sup>5</sup>	1.724
10 <sup>6</sup>	1.741

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### Three Approaches to Circularity

1. Try to bound

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Two ways to get sharper results:

- 2. Modify the dynamics: Divisible Sandpile
- 3. Modify the underlying graph.
  - The tree is easier than the lattice.

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▶ **Theorem** (L.-Peres) Let *A<sub>n</sub>* be the region of *n* particles formed by rotor-router aggregation in  $\mathbb{Z}^d$ .

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▶ **Theorem** (L.-Peres) Let *A<sub>n</sub>* be the region of *n* particles formed by rotor-router aggregation in  $\mathbb{Z}^d$ . Then

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•  $B_{\rho}$  is the ball of radius  $\rho$  centered at the origin.

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- c, c' depend only on d.
- **Corollary**: Inradius/Outradius  $\rightarrow 1$  as  $n \rightarrow \infty$ .

#### Perfect Circularity on the Tree

► Let A<sub>m</sub> be the region formed by rotor-router aggregation on the infinite d-regular tree, starting from m chips at the origin.

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where  $B_n$  is the ball of radius *n* centered at the origin, and  $b_n = \#B_n$ .

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• In particular, if  $b_n < m < b_{n+1}$ , then

$$B_n \subset A_m \subset B_{n+1}.$$

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- **Sandpile**: Leave the extra particles where they are.
- **Rotor**: Send extra particles according to the usual rotor rule.

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#### Bounds for the Abelian Sandpile

► Theorem (L.-Peres) Let S<sub>n</sub> be the set of sites visited by the abelian sandpile in Z<sup>d</sup>, starting from n particles at the origin.

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► Theorem (L.-Peres) Let S<sub>n</sub> be the set of sites visited by the abelian sandpile in Z<sup>d</sup>, starting from n particles at the origin. Then

$$\left( \mathsf{Ball of volume } \frac{n - o(n)}{2d - 1} \right) \subset S_n \subset \left( \mathsf{Ball of volume } \frac{n + o(n)}{d} \right)$$

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Improves the bounds of Le Borgne and Rossin.

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## (Disk of area n/3) $\subset S_n \subset$ (Disk of area n/2)

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### **Chip-Firing on Graphs**

- ► Finite connected graph *G* with a distinguished vertex *s* called the **sink**.
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- The sink never topples.
- Order of topplings does not affect the final state σ°.

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### The Sandpile Group of a Graph

• A chip configuration  $\sigma$  on G is **stable** if

 $\sigma(v) \leq \mathsf{deg}(v) - 1$ 

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Stable configurations form a finite commutative monoid
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▶ Babai-Toumpakari: The minimal ideal of *M* is a finite abelian group SP(G) called the sandpile group of G.

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### Two Other Constructions of the Sandpile Group

• 
$$SP(G) \simeq \mathbb{Z}^{n-1} / \Delta \mathbb{Z}^{n-1}$$
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Matrix-tree theorem:

$$\#SP(G) = \det \Delta = \#\{\text{spanning trees of } G\}.$$

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SP(G) is the group of recurrent chip configurations on G,
i.e. configurations σ such that

$$\sigma = (\sigma + \tau)^{\circ}$$

for some configuration  $\tau \neq 0$ .

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Moreover, if  $\sigma$  is recurrent, then every vertex topples exactly once in reducing  $\sigma + \beta$  to  $\sigma$ .

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Proof:

$$\beta = \sum_{v \neq s} \Delta_v.$$

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- ► Finite rooted tree *T*.
- Collapse the leaves to a single sink vertex.
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- What are the recurrent configurations?
- What is the structure of the sandpile group?

▶  $x \in T$  is **critical** for a chip configuration u if  $x \neq s$  and  $u(x) \leq \#$  of critical children of x. (1)

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  - A critical vertex cannot burn before its parent.

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  - A critical vertex cannot burn before its parent.
  - If strict inequality holds at x, then x will never be burned.

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## A Recurrent Configuration on the Regular Ternary Tree



Critical vertices are circled.

### Structure of the Sandpile Group

► Theorem (L.) Let T<sub>n</sub> be a branch of the regular ternary tree of height n. Then

$$SP(T_n) \simeq \mathbb{Z}_{2^{n-1}} \oplus \mathbb{Z}_{2^{n-1}-1} \oplus \ldots \oplus (\mathbb{Z}_7)^{2^{n-4}} \oplus (\mathbb{Z}_3)^{2^{n-3}}$$

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▶ Similar decomposition for the *d*-regular tree for any *d*.

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- ▶ Note that if *u* is recurrent, then

$$u + \hat{r} = u + (e + \delta_r)$$
  
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• Multiples of the root in  $T_4$ :

ŕ	$2\hat{r}$	$3\hat{r}$	$4\hat{r}$	$5\hat{r}$	$6\hat{r}$	$7\hat{r}$	$8\hat{r}$	$9\hat{r}$	$10\hat{r}$	$11\hat{r}$	$12\hat{r}$	$13\hat{r}$	$14\hat{r}$	$15\hat{r} = e$	
2	0	1	2	0	1	<b>2</b>	2	2	0	1	2	0	1	2	
0	1	1	1	2	<b>2</b>	<b>2</b>	<b>2</b>	0	1	1	1	2	2	2	
2	<b>2</b>	2	<b>2</b>	2	2	<b>2</b>	0	1	1	1	1	1	1	1	
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# The Order of $\hat{r}$

> A recurrent configuration constant on levels has the form

$$u = (2, \ldots, 2, 0, a_1, \ldots, a_k)$$

with  $a_i \in \{1, 2\}$ .

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- Lemma: (r̂) consists of all recurrent configurations that are constant on levels of the tree.
- ln particular,  $\hat{r}$  has order

$$\sum_{k=0}^{n-1} 2^k = 2^n - 1.$$

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• Lemma: Let T be any finite tree, with principal branches  $T_1, \ldots, T_k$ .

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▶ **Lemma**: Let T be any finite tree, with principal branches  $T_1, \ldots, T_k$ . Then

$$SP(T)/(\hat{r}) \simeq \bigoplus_{i=1}^{k} SP(T_i)/((\hat{r}_1,\ldots,\hat{r}_k))$$

where r,  $r_i$  are the roots of T,  $T_i$  respectively.

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▶ Proof sketch: Map 
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#### The Sandpile Group of a Tree, In Terms of its Branches

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- After modding out by  $\hat{r}$ , the branches become independent.
- Since  $(k+1)\hat{r} \mapsto (\hat{r_1}, \dots, \hat{r_k})$  we have to mod out by this on the right.

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**Lemma**: Let  $T_n$  be the regular ternary tree of height n. Then

$$SP(T_n) = \mathbb{Z}_{2^n-1} \oplus SP(T_{n-1})^2 / \mathbb{Z}_{2^{n-1}-1}.$$

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$$SP(T_n) = \mathbb{Z}_{2^n-1} \oplus SP(T_{n-1})^2 / \mathbb{Z}_{2^{n-1}-1}.$$

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Note if u is already constant on levels, then

$$p(u)=2^n u=u$$

since  $u = k\hat{r}$  and  $\hat{r}$  has order  $2^n - 1$ .

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$$\approx 1.364.$$

So the probability that a stable state is recurrent is about

$$\left(\frac{2^c}{3}\right)^{2^n} = (0.858)^{2^n}.$$

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Three ways to measure the size of an avalanche:

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• Fact: 
$$RR(G) \simeq SP(G)$$
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## Holroyd-Propp Invariant

► A function *H* on the vertices of *T* is **harmonic** if

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- Starting chip configuration *u*, ending configuration *v*.
- Lemma: If H is harmonic, and the initial and final rotor configurations are the same, then

$$\sum_{x\in T} H(x)u(x) = \sum_{x\in T} H(x)v(x).$$

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- Enough to show  $A'_{c_n} = B_n$  for some sequence  $c_n$ .

▶ Induct on *n* to show  $A'_{c_n} = B_n$ .

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• Thus 
$$A'_{c_n} = B_n$$
, where

$$c_n = c_{n-1} + 3(2^n - 1).$$

# Escape sequence

$$a_j = \begin{cases} 0, & \text{if the } j^{th} \text{ chip returns to the origin;} \\ 1, & \text{if the } j^{th} \text{ chip escapes to infinity.} \end{cases}$$

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  - ► Every subword of a<sup>(j)</sup> of length 2<sup>k</sup> − 1 contains at most 2<sup>k−1</sup> ones.

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► Does there exist a rotor configuration on Z<sup>3</sup> which causes every chip to return to the origin in finitely many steps?

Find a bijective proof that

$$\#SP(T_n) = 3^{2^{n-3}}7^{2^{n-4}}\cdots(2^{n-1}-1)(2^n-1).$$

Aggregation on general trees

- What takes the place of a ball?
- On a transient tree, level sets of the function

$$g(x) = \mathbb{P}_o(T_x < \infty).$$

- ▶ Does there exist a rotor configuration on Z<sup>3</sup> which causes every chip to return to the origin in finitely many steps?
  - Known to exist for  $\mathbb{Z}^2$  (Jim Propp) and for the *d*-regular tree.

- Fix an integer  $h \in (-\infty, 2]$ .
- Start every site in  $\mathbb{Z}^2$  at height *h*.

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- Fey and Redig (2007) Case h = 2: The limiting shape of  $S_{n,2}$  is a square.
- In all other cases, even the existence of a limiting shape is open.
- Even for h = 2, the rate of growth of the square is not known.

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$$h = 1$$



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